

A	B	C	D	E	F	G	H
No.	(b) Case information	(i) Investigation(s) / Synopsis of the facts and/or findings of each case	Amount	(ii) Persons and/or third parties responsible for each investigation	(iii) Total cost to date of each investigation	(iv) Appropriate steps taken against officials	(v) Third parties implicated in wrongdoing
5	1 Payment number: 2404839  Incurred in 2018/19 financial year but payment made in 2019/20 financial year  Hiring of chairs and tables	Irregular Expenditure incurred during the execution of National Dialogues held in the Western Cape during 2018/19 financial year.  Three (3) quotations not obtained as required by the supply chain management prescripts.  Reasons for deviating from following normal supply chain management processes were not recorded and approved by the Accounting Officer.  Lack of proof that the bid was awarded to a supplier who was requested to submit a quotation to provide the required service.  Prospective suppliers were given less than a day to respond to the request for quotations. The guidelines requires the prospective suppliers to be given 5 days to respond to the request.  Procurement document compiled and approved after the delivery date of the required service.	R 5 200. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Director: Financial Management  Former Chief of Staff  Provisional Administrator Clerk  Senior Supply Chain Management Practitioner  Admin Officer  Director: Young Women  Chief Financial Officer
6	2 Payment number: 2404862  Incurred in 2018/19 financial year but payment made in 2019/20 financial year  Hiring of chairs	Irregular Expenditure incurred during the execution of National Dialogues held in the Western Cape during 2018/19 financial year.  Three (3) quotations were not obtained as required by the SCM Prescripts  Lack of proof that the bid was awarded to a supplier who was requested to submit a quotation to provide the service.  Reasons for deviating from following normal Supply Chain Management processes were not recorded and approved by the Accounting officer.  Purchase order not issued to the service provider for appointment to render the services required.  Procurement document compiled and approved after the delivery date of the required service	R 4 600. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Director: Financial Management  Former Chief of Staff  Provisional Administrator Clerk  Senior Supply Chain Management Practitioner  Admin Officer  Director: Young Women  Chief Financial Officer
7	3 Payment number 2405111 Payment number 2405112 Payment number 2405113 Payment number 2405114  Hiring of transport services	Irregular expenditure incurred during the launch of Sanitary Dignity Programme at Makana municipality in Grahamstown, in the Eastern Cape Province on 13 April 2019.  Three (3) quotations were not obtained as required by the SCM Prescripts.  Lack of proof that the bid was awarded to a supplier who was requested to submit a quotation to provide the service.  Reasons for deviating from following normal Supply Chain Management processes were not recorded and approved by the Accounting officer.  Purchase order not issued to the service provider for appointment to render the services required.  Compulsory procurement documents not submitted by the service provider e.g. Standard Bidding Documents (SBD) forms, valid tax clearance certificate and BBBEE certificate or sworn affidavit.	R 245 470. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Director: Social Empowerment and Participation  Accounting Clerk: Financial management
8	4 Payment number 2404975  Hiring of mobile toilets	Irregular expenditure incurred during the launch of Sanitary Dignity Programme at Makana municipality in Grahamstown, in the Eastern Cape Province on 13 April 2019.  Three (3) quotations were not obtained as required by the SCM Prescripts.  Lack of proof that the bid was awarded to a supplier who was requested to submit a quotation to provide the service.  Reasons provided for deviating from the supply chain management processes not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  Procurement document compiled and approved after the delivery date of the required service.	R 18 250. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisional Administration Clerks  Senior Supply Chain Management Practitioner  Director: Social Empowerment and Participation  Assistant Director: Social Empowerment and Participation  Former Acting Director General  Ministries Office

	A	B	C	D	E	F	G	H
10	5	Payment number 2405369 Hiring of sound system	Irregular expenditure incurred during the launch of Sanitary Dignity Programme at Makana municipality in Grahamstown, in the Eastern Cape Province on 13 April 2019.  Three (3) quotations were not obtained as required by the SCM Prescripts.  Reasons provided for deviating from the supply chain management processes not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  <u>The invoiced amount is not the same as the quoted amount.</u>	R 5 000. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provincial Administration Clerks  Director: Social Empowerment and Participation  Acting Director General  Ministries Office
11	6	Payment number 2405981 Procurement of GBV Booklets	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019:  Three (3) quotations not obtained.  Reasons for deviating from following normal supply chain management processes were not recorded and approved by the Accounting Officer  Doing business with a supplier which is not tax compliant  Compulsory procurement documents not submitted by the service provider e.g. Standard Bidding Documents (SBD) forms, valid tax clearance certificate and BBBEE certificate or sworn affidavit.	R 49 500. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Chief of Staff  Director: Communication
12	7	Payment number 2405191 Procurement of branded T-shirts and caps	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019:  Three (3) quotations were not obtained  Reasons for deviating from following normal supply chain management processes were not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  Request for Quotation (RFQ) did not specify minimum threshold for local production and content  Prospective suppliers given less than a day to respond to the request for quotations.	R211 600.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerk  Senior Supply Chain Management Practitioner  Director Financial Management  Acting Chief Director: GTJS  Acting DDG: PSCKM  Acting Director General
13	8	Payment number 2405245 Procurement of branded paper bags	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019:  Three (3) quotations were not obtained  Reasons for deviating from following normal supply chain management processes were not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  Prospective suppliers given less than a day to respond to the request for quotations  Request for Quotation (RFQ) did not specify minimum threshold for local production and content	R31 701.36	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerk  Senior Supply Chain Management Practitioner  Director Financial Management  Acting Chief Director: GTJS  Acting DDG: PSCKM  Acting Director General

	A	B	C	D	E	F	G	H
14	9	Payment number 2406261 Poetry performance services	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019: Three (3) quotations were not obtained Reasons for deviating from following normal SCM processes were not recorded and approved by the Accounting officer The following procurement documents were not completed, yet the service provider was appointed by the End user. - Application to purchase goods or services form was not completed for this transaction even thou there was an approved procurement in place. - Request for quotation - Purchase order Compulsory procurement documents not submitted by the service provider e.g. Standard Bidding Documents (SBD) forms, valid tax clearance certificate and BBBEE certificate or sworn affidavit.	12500. 00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the official	Director: Government, Transformation, Justice and Security (GTJS)
15	10	Payment number 2405197 Procurement of video and photography services	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019: Three written price quotations not requested and obtained from prospective suppliers who are registered on the Central Supplier Database list Prospective suppliers were given a day to respond to the request for quotations The is no proof that bids were evaluated	R27 800.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerk Senior Supply Chain Management Practitioner Director Financial Management Ministries office
16	11	Payment number 2405528 Hiring of music performance services	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019: Three (03) written price quotations not obtained Reasons for deviating from following normal supply chain management processes were not justifiable The Department did a business with a supplier which was not tax compliant The following procurement documents were not completed, yet the service provider was appointed by the End user. - Application to purchase goods or services form was not completed for this transaction even thou there was an approved procurement in place. - Request for quotation - Purchase order Compulsory procurement documents not submitted by the service provider e.g. Standard Bidding Documents (SBD) forms, valid tax clearance certificate and BBBEE certificate or sworn affidavit.	R 42 000.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Administrative Officer Deputy Director: Governance, Transformation, Justice and Security Director: Governance, Transformation, Justice and Security (GTJS) Acting Director General

	A	B	C	D	E	F	G	H
17	12	Payment number 2405533 Hiring of facilitation services	Irregular expenditure incurred during the launch of Public Hearings on Gender Based Violence (GBV) at Atteridgeville in Gauteng on 26 April 2019:  Three (03) written price quotations not obtained  Reasons for deviating from following normal supply chain management processes were not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  The following procurement documents were not completed, yet the service provider was appointed by the End user. - Application to purchase goods or services form was not completed for this transaction even though there was an approved procurement in place. - Request for quotation - Purchase order  Compulsory procurement documents not submitted by the service provider e.g. Standard Bidding Documents (SBD) forms, valid tax clearance certificate and BBBEE certificate or sworn affidavit.	R22 840.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Deputy Director: Governance, Transformation, Justice and Security  Director: Governance, Transformation, Justice and Security  Acting Director General
18	13	Payment number 2405731 Hiring of sound system	Irregular expenditure incurred during the launch of Sanitary Dignity Programme at Mangosuthu University of Technology in Umlazi in the Kwazulu-Natal Province on 03 May 2019  Three (3) quotations were not obtained  Reasons for deviating from following normal supply chain management processes were not justifiable. The reasons don't qualify for emergency nor single source service supplier status.  Prospective suppliers given less than a day to respond to the request for quotations. The guidelines requires the prospective suppliers to be given five (05) days to respond to the request.	R40 000.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerk  Senior Supply Chain Management Practitioner  Director: Financial management  Acting Director General
19	14	Payment number 2405172 Hiring of transport services	Irregular expenditure incurred during the boys and mens dialogue in Gauteng on 09 December 2018.  Three (03) written price quotations not obtained  Reasons for deviating from following normal supply chain management processes were not recorded and approved by the Accounting Officer  Prospective suppliers given less than a day to respond to the request for quotations.  SBD forms (SBD 4, 8 and 9) were compiled and submitted after the closing date of the RFQ and after the services have been rendered	R104 500.00	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerks  Director: Financial management  Director: Young Women  Deputy Director General: PSCKM  Acting Director General
20	15	Payment number 2407701 Hiring of venues	Irregular expenditure incurred during the consultative meeting held in Gauteng on 20-21 January 2020  The Department did a business with a supplier which was not tax compliant  Prospective suppliers given less than a day to respond to the request for quotations.	R347 446.32	Directorate: Internal Audit	Contract worker appointed at Deputy Director Level, R 869 007. 00.	Warning letters issued to the officials	Provisioning Administrator Clerks  Director: Financial management