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**November 2022**



| INTERNAL CONTROL & COMPLIANCE

## 1. OBJECTIVE

The objective of this report is to inform the Audit Committee of the progress made by management in implementation of action plans to address internal control deficiencies reported by Auditor-General for the financial year 2021/2022 and progress made by the management in implementation of action plans to address the internal control deficiencies reported by the Internal Audit.

## 2. LAYOUT OF THE REPORT

This report is separated into two sections. Sections A which provides information on progress made in addressing the issues raised by the Auditor-General and Section B which provides information on progress made in addressing the issues raised by the Internal Audit function of the Department of Transport.

### SECTION A

#### AUDITOR-GENERAL FINDINGS

##### PROGRESS MADE ON IMPLEMENTATION OF ACTION PLANS

## 3. ANALYSIS OF PERFORMANCE





As at the reporting date, the management has made a good progress in implementing action plans to address deficiencies identified during the financial year 2021/22 regulatory audits conducted by Auditor-General.

### 3.1 Progress Overview

During the 2021/22 financial year a total of 25 findings were reported by the Auditor-General in the final management letter. As at November 2022, fifteen (60%) of these findings have been resolved successfully and ten (40%) are being given adequate attention to be resolved.

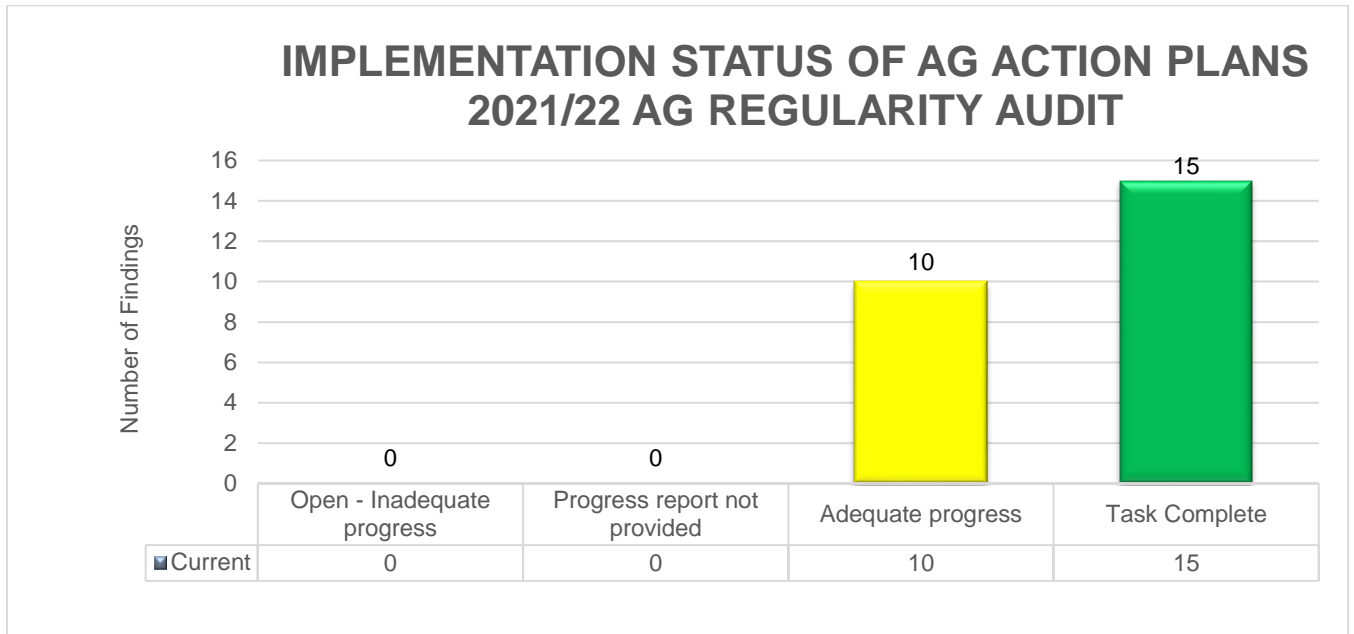
#### Executive Summary

The table below provides details of findings per business unit together with the number of findings raised:

Implementation status					
Business Unit	Total no. of findings	Open-inadequate progress	Progress report not provided	Open-adequate progress	Task Complete
					
Office of Chief Financial Officer	6				6
Supply Chain Management	2				2
Financial Administration	1				1
Office of the Director-General	1			1	
Information, Communication and Technology	4			2	2
Rail Transport	1				1
BEE	1				1
NLTIS	2			2	

Public Transport	2			2	
Roads Transport	2			1	1
Internal Audit	2			1	1
Policy Management	1			1	
<b>Total</b>	<b>25</b>			<b>10</b>	<b>15</b>

The graph below provides a view on progress made by management in implementing the action plans.



**NB:** For details on the Auditor-General findings that have not yet been completed as at the reporting date, refer to **Annexure A** attached.