###### National Assembly

###### Question Number: 2317

**Ms S P Kopane (DA) to ask the Minister of Transport:**

Whether any (a) internal and/or (b) external forensic reports pertaining to (i) her department and/or (ii) each entity reporting to her were completed from 1 January 2009 up to the latest specified date for which information is available; if not, in each case, why not; if so, what is the (aa) name, (bb) subject matter and (cc) date of conclusion of each of the specified forensic reports? NW2649E

**REPLY**

**PREFACE**

The cases referred to in this reply are those which the investigations processes have been completed and have revealed adverse findings against officials, former officials and third parties which had business relations with the department.

| **NO** | **SUBJECT MATTER** | **NAME** | **DATE CONCLUDED** | **INTERNAL/EXTERNAL** |
| --- | --- | --- | --- | --- |
| 1 | Irregular authorising of Change Note 47. The delegated authority to approve was exceeded. The change note was not submitted to Bidding Committee for approval. | Mr Z Thwala | 19 April 2013 | Gobodo Investigative and Forensic Accounting |
| 2 | Irregular extension of contract with Quintogyn | Mr T Ngidi  Mr S Monareng | 04 September 2012 | KPMG |
| 3 | Irregular appointment of Deloitte & Touche through reprioritization of scope of existing service provider | Mr R Jock | 08 July 2013 | Internal capacity |
| 4 | Irregular procurement of services from SITA and the subsequent conclusion of the tripartite agreement between the SITA, PM Focus Consulting and Department of Transport to institutionalize the e-Natis system | Mr R Jock  Mr W Koekemoer  Ms. E Coetzee | 08 July 2013 | Internal capacity |
| 5 | Irregular procurement of the Master License Agreement with SAS (Pty) Ltd | Mr R Jock | 08 July 2013 | Internal Capacity |
| 6 | Irregular procurement of the PABX System from Huawei Technologies and Letsore IT Solutions | Mr R Jock  Mr G Mahlalela | 08 July 2013 | Internal Capacity |
| 7 | Irregular procurement of services for the 2011 Investor’s Conference | Mr M Vilana  Mr. T Ngidi, Mr Venkile | 15 August 2015 | Nexus Forensic Services |
| 8 | Irregular appointment of a consortium of firms ( Bowman Gilfillan, Morar Inc. and Ngidi and Company Inc., to address findings by Auditor General in 2010/2011 | Mr G Mahlalela | 21 August 2014 | Nexus Forensic Services |
| 9 | Irregular stopping and reallocation of Provincial Road Maintenance Grants in contravention of Division of Revenue Act | Mr C Hlabisa | 03 September 2014 | Internal Capacity |
| 10 | Fraudulent /dishonest appointment of Evaluation Panel in respect of Bid TE/2013/001 | Mr R Jock | 08 July 2013 | Internal Capacity |
| 11 | Irregular/illegal extension of the contract with Prodiba for the production of Drivers Licenses., contract RT (G) 6969 SA | Mr G Mahlalela  Mr Jock | 2013/2014 | Internal Capacity |
| 12 | Irregular procurement of trophies to be presented at the inaugural Transport Awards. | Mr M Maswanganyi  Ms L Mahlangu | 02 February 2016 | Internal Capacity |
| 13 | Fraud, nepotism and corruption | Mr V Ndwamato | 2010/2011 | ENS Forensics (Pty) Ltd |
| 14 | Fraud/theft relating to use of card to purchase groceries for the Ministry | Ms R Seabi, Ms C Nkosi | 13 May 2016 | Internal Capacity |
|  |  |  |  |  |
| 15 | Salary overpayment to former employee Ms Jola | Ms. Jola | 16 July 2016 | Internal Capacity |
| 16 | Irregular procurement of furniture for Cape Town Office of the Director-General | Ms M Tshabalala | 21 September 2016 | Internal capacity |
| 17 | Investigation into upgrading of Mthatha Airport Runway | Mr M Vilana | 24 July 2013 | ENS Forensics (Pty) Ltd  National Treasury |
| 18 | Submission of fraudulent travel and Subsistence Claim | Bhikshu N | 28 September 2011 | Internal Capacity |
| 19 | Report on verification of current financial spending/expenditure of SANTACO Services | SANTACO | 20 June 2011 | Z. Adam & Co. |
| 20 | Report into payment of an acting allowance of R84,089.24 to Ms P Mokale | Mr. P Mokale  Mr C Letsoalo | 2009/2010 | Internal Capacity |
| 21 | Investigation report into fruitless and wasteful expenditure at Driving License Card Account regarding MTN SIM Cards | DLCA | 24 October 2012 | ENS Forensics (Pty) Ltd |
| 22 | Procurement fraud relating to irregular extension of Tender No. DOT/19/2006/FL& CD allowing the Road Traffic Management Corporation to participate in the contract | RTMC | 2010 | Internal Capacity |
| 23 | Irregularities relating to the award of Bid No DoT/19/2008/IP & IC, awarded to Safiri and CSIR Joint Venture | Mr M Vilana | 2010 | Internal Capacity |
| 24 | Investigation report into acts of misconduct, fraud and corruption | Zerk Bester | 2011 | G9 Forensic Consulting (Pty) Ltd |

**Ports Regulator of South Africa**

There have been no (a) internal and (b) external forensic investigations that have taken place from 01 January 2009 up to 20 October 2016.

**SAMSA**

The SAMSA Board commissioned a Forensic Investigation in May 2016, the investigation is at an advanced stage, to be completed in November 2016.

**REPLY**

**AIRPORT COMPANY SOUTH AFRICA (ACSA)**

| **Date** | **Description** | **Conclusion** |
| --- | --- | --- |
| 20 May 2011 | “I would like to report that there was a tender irregularity with the awarding of the Office automation tender to a Supplier. The current CEO, of Supplier, is a blood relative to one of your ACSA employees, Group Specialist - Procurement Services. In the tender document, this relationship was not declared as per terms and requirements stated. Secondly, this Supplier was given an opportunity to re-submit pricing whilst other vendors were not given that opportunity.” | No adverse findings and the investigation was closed and feedback sent to whistleblower. |
| 20 October 2011 | This memo relates to the approved investigations related to the note sent to one of the ACSA Debtors allegedly by an ACSA to change the ACSA Bank details into which the Debtor should pay the amounts due. The email was received on the 20th October 2011. | No ACSA employee was found to have been implicated and there was no loss of cash as the scam was unsuccessful.  *Note: A company-wide investigation on the state of payments and possible irregularities was launched using Deloitte during 2012.* |
| 29 May 2011 | This memo relates to the investigations undertaken as a response to the email received from Marelize Labuschagne on 29th April 2011. The author suspected that there might have been an “internal link” on the fraudulent payments to a Business Connection’s Standard Bank Account. | No ACSA employee was found to have been implicated and there was no loss of cash.  *Note: A company-wide investigation on the state of payments and possible irregularities was launched using Deloitte during 2012.* |
| 21 November 2011 | A payment effected by ACSA SSC on the 31st Oct to the account of Cura Risk management Software (Pty) Ltd to the value of R123, 594.92 was made to fraudulent bank details.  The bank details were submitted to ACSA SSC on 25th August 2010 – reflected as Absa Bank. | No loss of cash by ACSA.  The above was reported to SAPS ref: CAS 193/11/2011 on 17/11/2011 at OR Tambo.  Note: *A company-wide investigation on the state of payments and possible irregularities was launched using Deloitte during 2012.* |
| 20 June 2011 | An anonymous call was logged on the Tip-Offs Anonymous Hotline on the 20th of June 2011whereby the following allegations were made regarding the maintenance of the Public Address system tender at O.R. Tambo International Airport (ORTIA). | Internal Audit could thus not find any conclusive evidence which would indicate that the process was not equitable and transparent. |
| 01 August 2012 | This investigation was referred to Internal Audit by the Group Executive: Commercial on 01 August 2012. This request came about after a tender (IDA/11/11) of the ORTIA Arts, Crafts and Curio Retail Stores was cancelled and notifications to this effect dated 20 March 2012 were sent to the two Bidders. | The bases used for the cancellation of the Tender were valid as per ACSA Procurement Policy; however, the process followed by ORTIA Procurement to cancel the Bid had general weaknesses and flaws that were observed.  Corrective active was recommended and implemented. |
| 30 July 2012 | This report relates to the investigation conducted after a tip-off was received by Internal Audit on the alleged unauthorised removal of some furniture from the premises by an employee. | The evidence available indicates that the employee removed the furniture from the ACSA premises without approval and did not intend to return them.  Disciplinary action was launched and recommendations implemented. |
| 19 February 2013 | A call was logged in relation to the following allegations:     * Allegations of unethical behaviour and corruption by the Electrical Supervisor. * Intimidation and victimisation of employees by Electrical Management. * Sowing of division among employees by Electrical Supervisor (1st Electrician). * Favouritism or preferential treatment of staff by Electrical Department management. * Training and exposure to various aspects of works. | The following recommendations were put forward:  The King Shaka Electrical department team are experiencing challenges with regard to team dynamics, as is evident in the allegations regarding favouritism and preferential treatment.  This is compounded by the fact that there are aspects of policies and procedures which have not been adhered to particularly as it relates to overtime. In addition the conduct with regard to procurement related aspects also indicates non adherence to policies and procedures.  These non-adherences may be construed as subversion to the system of internal controls. Subversion of the system of internal controls could lead to irregular transactions being perpetuated and remaining undetected which will result in financial losses for ACSA. We recommend that King Shaka International Airport (KSIA) management act decisively and swiftly on matters and recommendations noted during this investigation and raised in this report. This will contribute towards the cultivation of a culture of compliance to the Policies and procedures throughout its business. |
| 12 November 2014 | PORT ELIZABETH AIRPORT: Caller alleges that an employee from the airport is accepting bribes from baggage wrapping company. | * The Airport Manager matter, conciliation was unsuccessful on 7 July 2016 and now Arbitration is set down for later during the year; * The Airport Manager matter, conciliation was unsuccessful on 7 July 2016 and now Arbitration is set down for later during the year; * The Department Head: Operations’ matter has been concluded and termination has been finalised. |
| 13 November 2014 | CAPE TOWN INTERNATIONAL AIRPORT:   * The complainant reported alleged favouritism and bribery in securing posts for 15 security officers. * One officer submitted an application after the deadline and was appointed. * Another assaulted a female security officer, but was not disciplined. | * The whole process was documented and was found to be compliant with ACSA recruitment policies and procedures. All requirements were evaluated objectively and there was no scope for subjective interpretation; * The officer did not submit his application late, but did it manually, before the closing date; and * The suspected officer was not appointed as his qualifications were found to be suspect. This led to his automatic disqualification from further consideration. |
| 03 November 2014 | OR TAMBO INTERNATIONAL AIRPORT: An employee complained of nepotism regarding the appointment of a person into a senior position in the Human Resources department. | The airport management team conducted an investigation and could not find any evidence to support the allegation. They confirmed that the whole process was documented and was found to be compliant with ACSA recruitment policies and procedures. |
| 29 September 2014 | KIMBERLEY AIRPORT: A caller alleged that a security officer is using an administrator PIN code for making telephone calls instead of the personal PIN code assigned to him. | No evidence could be found to confirm the allegation. |
| 27 September 2014 | OR TAMBO INTERNATIONAL AIRPORT: A caller alleged that a passenger travelling on an African based flight to Johannesburg would detonate a test device that will bring down the aircraft. AVSEC was notified and they liaised with the State Security Agency, SAPS and SAA to assess and mitigate the threat. | The passenger was identified from the information supplied. He, and his luggage were screened and was cleared for flying.  No incident occurred and the investigation was closed. |
| 16 September 2014 | OR TAMBO INTERNATIONAL AIRPORT: A caller alleged that a senior buyer at the airport is disqualifying bidders from the grass cutting tender for no apparent reason. Another employee from Surface Maintenance excused himself because he did not want to be part of a panel corrupting people. | Upon request from the OR TAMBO General Manager, the CEO approved that an investigation be conducted by SNG.  The investigation has been concluded and the report issued to EXCO and Audit and Risk Committee.  Disciplinary action was taken accordingly and recommendations were implemented. |
| 12 September 2014 | OR TAMBO INTERNATIONAL AIRPORT: M&E requested and received a quote via the SSC, for disposable ear protectors that appeared high in value.  Furthermore, the recommended supplier was not in the business of selling such goods.  M&E challenged the SSC about this and obtained their own quotation which was 7.5 times less than the quote.  It appeared that an employee in SSC could be conflicted as the name supplied on the SSC quote belonged to an employee that was actually a buyer for ACSA. | A director check with the CIPC/CIPRO indicated that the employee implicated was not a director or shareholder in the company referred to in the allegation. No further investigation is required in this matter. |
| 28 August 2014 | OR TAMBO INTERNATIONAL AIRPORT: A passenger on an international flight ordered a cup of tea at the coffee shop while waiting to board. After having the tea he “woke” up and realized he had missed his flight and experienced further drowsiness. He alleged that the waiter had drugged him. | The OR Tambo International Airport Public Safety and Security management team investigated by reviewing the cameras against the report, and the incident went undetected. The team could not establish the reason for blackout.  Internal Audit has assessed and no further investigation is required in this matter. |
| 22 August 2014 | KIMBERLEY AIRPORT: A caller alleged that one of the supervisors claim overtime for time not worked. | The regional airport management suspended the employee while the investigation was being done. Regional airport management in conjunction with HR conducted an investigation and found no evidence to support the allegations. The suspension was lifted and the supervisor was re-instated in his position.  Internal Audit has assessed management review and no further investigation is required in this matter. |
| 14 August 2014 | OR TAMBO INTERNATIONAL AIRPORT: An employee working in the Property department at OR Tambo International airport collected rent monies from tenants and pocketed the cash instead of declaring it as income received. | Investigations revealed that an ACSA employee was collecting rent from cargo companies. The employee was suspended while management conducted an investigation to determine the extent of this fraud matter.  Internal HR interventions have been finalised and employee dismissed. |
| 12 August 2014 | OR TAMBO INTERNATIONAL AIRPORT: A caller alleged that there are copies of an upcoming tender for the upgrade of CCTV cameras floating around. He was alleging that ACSA is already approaching certain vendors in order to give them an advantage over others. | Internal Audit consulted with SCM and was informed that the tender has not yet been finalised for issue. The RFP document was still in draft as SCM were finalising the technical specifications and evaluating criteria for the tender.  Hence there was no way that a copy of the document could be in circulation in the public domain. |
| 04 August 2014 | OR TAMBO INTERNATIONAL AIRPORT: The caller stated that a friend she met on a dating site sent her a parcel from the UK containing money and diamonds to the value of R1.2m. He requested various amounts of money, gradually increasing his demands in order to have the parcel released. | Internal Audit met with the caller and suggested that the matter be raised by her with the SAPS Commercial Crimes unit as well as SARS as they are better equipped to deal with her matter.  The modus operandi is very similar to the 419 scams that have been comprehensively covered on TV documentaries, newspapers and the radio. |
| 28 July 2014 | CORPORATE: Two senior employees from the Strategic Planning and Projects Division left the employ of ACSA and joined a company who submitted a bid and won part of the tender. It was alleged that the two employees could have influenced the submission. | The ACSA BEC did identify the two employees during the tender evaluation process and obtained a legal opinion on the restraint of trade and cool-off periods applicable to these employees. The legal opinion stated that since no restraint of trade or cool-off period agreements were signed with these individuals, ACSA could not prevent them from seeking employment after termination of their services or prevent them from forming part of a company that was bidding on tenders to provide goods and services to ACSA. |
| 15 July 2014 | KIMBERLEY AIRPORT: A caller alleged that airport staff was using a company vehicle for personal errands around town. | Management investigated the call and provided feedback. Management‘s review found no malice in the use of the company vehicle. |
| 11 July 2014 | CAPE TOWN INTERNATIONAL AIRPORT An anonymous report was delivered to the CEO alleging that a supplier delivered poor quality work on fencing. | Management investigated the process and found no evidence to support the allegation of poor quality workmanship. |
| 22 April 2014 | OR TAMBO INTERNATIONAL AIRPORT: An employee working in Maintenance and Engineering indicated that a police case has been opened against her that the employee was using her position to coerce a service provider to buy equipment for her business, at their cost, in order for her to process job orders for their company, otherwise they cannot earn an income from their contract with ACSA. | An investigation was conducted by SizweNtsalubaGobodo (SNG). The investigation is complete and the report issued to management, and Audit and Risk Committee. The allegations made by supplier could not be substantiated with evidence. The SAPS had declined to prosecute due to insufficient evidence to substantiate the claims made by the supplier.  The employee went through an internal disciplinary process. The whistleblowing policy was updated and approved by the ARC and the BOARD. The policy also includes a clause that deals specifically with calls made in bad faith. |
| 15 April 2014 | UPINGTON REGIONAL AIRPORT: A caller reported that an ACSA employee was abusing a company vehicle. | The regional airport management team investigated the matter and found that there was irregular use of the vehicle. An employee was transferred from Gauteng to Upington and had the use of the company vehicle while HR was arranging for their vehicle to be transported to Upington. There was a delay in the transporting arrangement and the employee used the vehicle after her vehicle was delivered to Upington. The airport employee and the HR team responsible for arranging the move were issued with warnings for this transgression. |
| 16 September 2014 | OR TAMBO INTERNATIONAL AIRPORT: A caller alleged that a senior buyer at the airport is disqualifying bidders from the grass cutting tender for no apparent reason. Another employee from Surface Maintenance excused himself because he did not want to be part of a panel corrupting people. | Upon request from the OR TAMBO General Manager, the CEO approved an investigation to be conducted by SNG.  The employee went through a disciplinary process and was subsequently demoted. |
| 25 July 2014 | OR TAMBO INTERNATIONAL AIRPORT: The landside manager reported that a parking employee did not declare all the monies that she collected from taxi operators. Furthermore there was possible evidence of other aspects including that of a missing receipt book and an illegal parking card. | An investigation was conducted by the Parking Management team. The employee was dismissed with immediate effect after a disciplinary process. |
| 11 May 2015 | PORT ELIZABETH: The Auditor General reported that an employee alleged improper conducted in the management of taxi operators and kiddies’ rides at the airport, i.e. operators were allowed to conduct business without payment to ACSA. | Internal Audit conducted an investigation and found that not all the taxi operators’ contracts were available for review, but that the operators made their monthly payments.  The kiddies’ ride had a contract that was not yet signed. No payments were received for the seven (7) months that these rides operated at the airport.  Although no fraudulent activity was identified, Internal Audit recommended that management look at disciplinary process relating to non-adherence to ACSA policies and procedures and weak administrative controls.  Recommendations were implemented. |
| 01 June 2015 | CORP: Two individuals have information relating to retailer lease fraud, i.e. turnover rentals are not declared honestly. They want to meet with senior management and want possible compensation for the information they possess. | The issue was discussed at an Anti-corruption Steering Committee and it was concurred that this is a Commercial issue since the individuals want to sell ACSA a product to monitor retailers’ activities. The matter was referred back to Commercial division. |
| 8 June 2015 | ORTIA: Caller alleged that a cell phone was stolen from his luggage at ORTIA in November 2014. Calls to the value of around $38 000 were made. | ORTIA security investigated and found that the calls were made overseas before the passenger landed in South Africa and again after the passenger departed. Hence it was concluded that the phone could not have been stolen in South Africa. |
| 10 June 2015 | CTIA: An anonymous caller alleged that a security officer had a fraudulent matric certificate. | HR confirmed and send documentary proof that the security officer had a valid matric certificate. |
| 28 August 2015 | OR TAMBO INTERNATIONAL AIRPORT: Caller alleged that his car was towed while he was in the vehicle. Upon arrival at the impound lot the vehicle was released in an unsafe manner and suffered body damage. | The tow truck operator settled the costs of the repairs for fixing the damage to the vehicle. |
| 14 Sep 2015 | CORP: A call was logged via the CEO of possible irregular relationship between a Buyer within ACSA and a Supplier. | The disciplinary hearing was concluded and the employee was reinstated. . |
| 14 Dec 2015 | BRAM FISCHER AIRPORT: A caller alleged that an ACSA employee used a company vehicle to carry stolen property from the airside. | It was established after the investigation that the vehicle in question removed materials that belonged to a contractor from the airside after having obtained written permission from the HOD: M&E to do so. No further action is required regarding this matter. |
| 18 June 2015 | OR TAMBO INTERNATIONAL AIRPORT: A firm of attorneys requested ACSA to admit liability to their client who got injured while travelling up an escalator due to its malfunctioning and trapping her in it. They are claiming financial loss and medical expenses. | The matter is now handled through the Insurance process. |
| 15 Jan 2015 | OR TAMBO INTERNATIONAL AIRPORT: A caller alleged that a female permit office staff member was recording payments for permits on a manual receipt book and was pocketing the cash received. | Permits are linked to receipts or a GL account. If what this person alleged happened, the reconciliation will not balance as the system will indicate the types and cost of permits and a hash total for the day’s events which would not match the cash received and banked. For the period referred to, no exceptions were recorded in the daily reconciliations. |
| 14 Apr 2016 | OR TAMBO  INTERNATIONAL  AIRPORT – It has been allegedly reported that the Security Manager at the airport is putting staff under pressure to pay a certain Supplier all the time. The Complainant further states that Payments to the company are done quickly due to the corruption relationship between the Protection Company’s Manager and the Security  Manager. | An internal investigation was conducted and there was no sufficient evidence to implicate the alleged Security Manager to the allegations. |
| 18 May  2016 | CORP: Accenture  Issues – An incidence was noted whereby the Procurement  Manager’s signature was discovered on a PO Scorecard. | The employee was terminated as she was a seconded by an outsourced entity. |
| 24 May  2016 | CORP: Afrilink  HealthCare Goals case  – An email was received by the CFO alluding to irregularities within the Enterprise processes within ACSA. | The allegations were evaluated by Internal Audit and it was concluded that a Forensic investigation was necessary.    The appointment of the Service Provider was completed on 19 July 2016. |
| 01 June  2016 | CAPE TOWN  INTERNATIONAL  AIRPORT -  According to the complainant, she overheard people talking on the train about bribery which implicates one of the employees working at Cape Town International Airport security checkpoints. | An investigation was conducted by Airport Management which included the following:   * Character reference interview, performance records, conduct and attitude towards seniors and colleagues as well as towards passengers and stakeholders, * Background check was conducted by SAPS: Directorate of Priority Crime Investigations (DPCI) which included personal banking movements, * Activity check conducted on permit access and movement. This includes possible presence/access at Airport on rest days, * Possible connectivity to G4S Manager, and * Travelling arrangements to and from work.   The results obtained as a result of the above could not implicate ACSA Official and the matter was then closed. |
| 07 June 2016 | CAPE TOWN  INTERNATIONAL  AIRPORT - The complainant had reported a  Zimbabwean National who does not possess a working permit and is employed at Cape Town International  Airport. | An investigation was conducted by the Airport Management on the permit database and the results obtained could not match the alleged Zimbabwean National.  ACSA also has no further record or knowledge of a person of this name who is working at the airport.  No further information could be obtained from the Complainant and the matter was then closed off. |
| 27 September 2016 | On Saturday, 23rd September 2016, OR Tambo International Airport General Manager - Bongiwe Pityi received an email from an alleged whistle-blower alleging that one of the Supply Chain Management senior buyer is selling tenders. | The Procurement Official had since been suspended pending an investigation. |

**AIR TRAFFIC AND NAVIGATION SERVICES (ATNS)**

a) One (1) internal forensic report

aa) Allegation of Subsistence and Travelling (S&T) Forex returns embezzlement

bb) Subsistence and Travel (Forex returns)

cc) 2016

**SOUTH AFRICAN CIVIL AVIATION AUTHORITY**

As the Civil Aviation Regulator, the South African Civil Aviation Authority (SACAA) also receives tip-offs relating to non-compliance to applicable legal prescripts. As such, the list below entails both internal (employee related) as well as external (Civil Aviation Act, regulations and technical standards compliance measures) forensic investigations.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Year** | **Name** | **Subject Matter** | **Date of Conclusion** | **Internal** | **External** |
| 2009/10 | N/A | N/A | N/A | N/A | N/A |
| 2010/11 | Dereliction of duties | Alleged misconduct of two senior employees | 19-Nov-11 | Internal |  |
| Procurement irregularity | Alleged failure to follow Supply Chain Management policies and procedures in the appointment of a service provider | 3-Mar-11 | Internal |  |
| 2011/12 | Aviation irregularity | Alleged contravention of the SACAA regulations | 2-Nov-11 | Internal |  |
| Procurement irregularity | Alleged irregular Tender Committee processes | 26-Aug-11 | Internal |  |
| Undeclared interest | Alleged conflict of interest. | 26-Oct-11 | Internal |  |
| Abuse of position | Alleged abuse of position by a manager | 26-Oct-11 | Internal |  |
| Fraud | Alleged forged signature | 24-Jan-12 | Internal |  |
| Fraud | Alleged unauthorised debit transactions against SACAA's bank account | 1-Jul-12 | Internal |  |
| Irregular Business Practice | Alleged irregular business practice within Aircraft Personnel Standards | 1-Aug-12 |  | External |
| Abuse of Position | Alleged abuse of position and intimidation by Inspectors | 1-Aug-12 | Internal |  |
| Irregular HR Practices | Alleged irregular appointment of two employees | 1-Aug-12 | Internal |  |
| Irregular HR Practices | Alleged irregular recruitment processes | 1-Aug-12 | Internal |  |
| Procurement Irregularity | Alleged procurement irregularity with regard to an inflated quotation for printing services | 19-Jun-12 | Internal |  |
| 2012/13 | Abuse of Position | Alleged unauthorized inspections at an Aviation Training Organisation | 17-Aug-12 | Internal |  |
| Aviation Irregularity | Alleged irregular issuing of Aircraft Operating Certificates | 26-Oct-12 |  | External |
| Abuse of Position | Alleged irregular flagging of a Student Pilot's license | 17-Aug-12 | Internal |  |
| Procurement Irregularity | Alleged failure of the Payroll department to pay Workmen's Compensation in time | 05-Dec-12 | Internal |  |
| Irregular Business Practice | Alleged unauthorised payment for MBA studies for an employee who is in breach of the bonding agreement | 09-Jan-13 | Internal |  |
| Procurement Irregularity | Alleged failure to follow procurement processes with regards to the Cadet Programme | 14-Feb-13 | Internal |  |
| Dishonesty | Alleged submission of a plagiarized thesis when applying for the Designated Flight Examiner designation | 18-Feb-13 | Internal |  |
| Irregular HR Practice | Alleged recruitment and procurement irregularities in the sourcing and appointment of temp employees | 24-Oct-13 | Internal |  |
| Irregular Business Practice | Alleged irregular business practices in their creation of the APS Section | 01-Aug-12 |  | External |
| Irregular HR Practice | Alleged irregular appointment and conflict of interest of employees in a remunerated role of Designated Flight Examiner | 01-Aug-12 | Internal |  |
| Irregular HR Practice | Alleged irregular recruitment processes | 01-Aug-12 | Internal |  |
| Procurement Irregularity | Alleged inflation of quotations for services by external service provider | 19-Jun-12 | Internal |  |
| Irregular HR Practice | Alleged irregularities with regards to grounding of Inspector | 10-May-12 | Internal |  |
| Irregular HR Practice | Alleged recruitment irregularities in the use of psychometric testing | 10-May-12 | Internal |  |
| Abuse of Position | Alleged unauthorised audit/investigation against Flight Inspection Unit | 10-May-12 | Internal |  |
| Aviation Irregularity | Alleged contravention of Civil Aviation Regulations | 27-Jun-12 | Internal |  |
| Irregular Business Practice | Alleged irregular departmental performance and reporting | 22-Dec-12 |  | External |
| Breach of Confidentiality | Alleged leaking of Auditor General's financial year internal audit | 28-Feb-13 | Internal |  |
| Negligence | Alleged calculation errors of pension fund contributions to the Government Employee Pension Fund | 05-Dec-12 | Internal |  |
| Procurement Irregularity | Alleged irregular procurement of services | 05-Dec-12 | Internal |  |
| Aviation Irregularity | Alleged falsification of pilot logbook information | 03-Jun-13 | Internal |  |
| Aviation Irregularity | Alleged working under the influence of alcohol | 25-Oct-12 | Internal |  |
| Abuse of Position | Alleged abuse of position when attending international conference | 30-Nov-12 | Internal |  |
| Aviation Irregularity | Alleged irregular issuance of pilot license without minimum knowledge requirements | 04-Nov-12 | Internal |  |
| Conflict of Interest | Alleged conflict of interest when investigating an aircraft accident | 31-Jan-13 | Internal |  |
| Abuse of Position | Alleged abuse of position | 31-Jan-13 | Internal |  |
| Aviation Irregularity | Alleged lack of maintenance on aircraft | 01-Mar-13 | Internal |  |
| Conflict of Interest | Alleged conflict of interest and dual employment by employee | 12-Mar-15 | Internal |  |
| Abuse of Position | Alleged threat of death of employee relating to his duties | 12-Mar-15 | Internal |  |
| Irregular HR Practice | Alleged irregular re-employment of employee | 12-Mar-15 | Internal |  |
| Dishonesty | Alleged misrepresentation of facts by employee relating to work commitments in Swaziland | 12-Mar-15 | Internal |  |
| License Irregularity | Alleged leaking of examination questions and sale to the public | 27-Aug-13 | Internal |  |
| Abuse of Position | Alleged interference of enforcement action against a pilot and making pronouncements on behalf of SACAA without authority | 24-Feb-14 | Internal |  |
| 2013/14 | Abuse of Position | Alleged abuse of positions by Inspectors when conducting inspections | 03-Dec-13 | Internal |  |
| Aviation Irregularity | Alleged operating of Aircraft Maintenance Organisation from guest lodge | 20-Aug-13 | Internal |  |
| Aviation Irregularity | Alleged operating of Aircraft Maintenance Organisation under several names | 18-Sep-13 | Internal |  |
| Conflict of Interest | Alleged conflict of interest with SACAA inspector having a partnership in an Aircraft Maintenance Organisation | 22-Nov-13 | Internal |  |
| Conflict of Interest | Alleged conflict of interest with SACAA inspector having close ties with an operator | 22-Nov-13 | Internal |  |
| Abuse of Position | Alleged controversial statements on a social platform being made by SACAA Inspector | 24-Feb-14 | Internal |  |
| Dishonesty | Alleged unauthorised written notes taken into exam by candidate | 25-Nov-14 | Internal |  |
| Fraud | Alleged misrepresented facts to SACAA in terms of being medically unfit for work | 11-Sep-14 | Internal |  |
| Conflict of Interest | Alleged conflict of interest in terms of undeclared/unauthorised business interests | 10-Mar-14 | Internal |  |
| Intimidation | Alleged intimidation of Exco member | 25-Nov-13 | Internal |  |
| Irregular Business Practice | Alleged irregular business practice by owner of dog training facility for aviation security services | 08-Apr-14 | Internal |  |
| Irregular Business Practice | Alleged employment irregularities | 17-Jan-14 | Internal |  |
| Irregular Business Practice | Alleged irregular invoices for services rendered | 05-Sep-13 | Internal |  |
| Fraud | Alleged irregular transfer of aircraft ownership | 28-Jan-14 | Internal |  |
| Conflict of Interest | Alleged conflict of interest | 19-Sep-13 | Internal |  |
| Environmental Issues | Alleged irregular storage of aviation fuel | 18-Sep-13 | Internal |  |
| Conflict of Interest | Alleged conflict of interest | 11-Apr-14 | Internal |  |
| Aviation Irregularity | Alleged irregular issuance of aircraft rating | 14-May-14 | Internal |  |
| Irregular HR Practice | Alleged conflict of interest | 09-Jul-14 | Internal |  |
| Aviation Irregularity | Alleged use of civil aircraft for military operations. | 10-Jan-14 | Internal |  |
| Aviation Irregularity | Alleged awarding of contracts to private aircraft operators by the South African National Defense Force | 10-Jan-14 | Internal |  |
| Aviation Irregularity | Alleged transfer of ownership of aircraft signed under duress | 19-Dec-14 | Internal |  |
| Intimidation | Alleged threatening and intimidating cell phone calls | 20-May-14 | Internal |  |
| Intimidation | Alleged threatening and intimidating cell phone calls | 27-Nov-14 | Internal |  |
| Dishonesty | Alleged abuse of company resources | 23-Oct-14 | Internal |  |
| Conflict of Interest | Alleged undeclared and/or unapproved interests in the aviation industry | 22-Jun-15 | Internal |  |
| Abuse of Position | Alleged abuse of position by an Inspector when conducting an inspection | 22-Jun-15 | Internal |  |
| Intimidation | Alleged threatening and intimidating cell phone calls | 27-Nov-14 | Internal |  |
| Fraud | Alleged fake and/or fraudulent pilot's license | 21-Apr-15 | Internal |  |
| Conflict of Interest | Alleged conflict of interest | 12-Mar-15 | Internal |  |
| Complaint | Alleged failure to pay bursaries to students in terms of The Transport Training Authority grants | 24-Feb-14 | Internal |  |
| 2014/15 | Dishonesty | Alleged misrepresentation of facts in respect of qualification when seeking employment at SACAA | 18-Feb-15 | Internal |  |
| Conflict of Interest | Alleged undeclared and/or unapproved interests in the aviation industry | 12-Mar-15 | Internal |  |
| Scam | Alleged impersonation of the DCA | 11-Mar-15 | Internal |  |
| Abuse of Position | Alleged approval of amendments to the SAA security procedures without authority to do so | 02-Apr-15 | Internal |  |
| Fraud | Alleged gross irregularities and fraud with regards to SACAA's online examination | 20-Apr-15 | Internal |  |
| Theft incidents | Alleged theft incidents within SACAA in the period between the 4th June 2014 and the 4th December 2014 | 29-Apr-15 | Internal |  |
| Negligence | Alleged compromise of the integrity and confidentiality of a board pack | 23-Apr-15 | Internal |  |
| Dishonesty | Alleged submission of a plagiarised report as a formal CAA Aircraft Accident Investigation Report | 26-May-15 | Internal |  |
| Abuse of Position | Alleged abuse of position and breach of procedures when conducting inspections | 27-May-15 | Internal |  |
| Aviation Irregularity | Alleged operation of an aircraft without a valid Certificate of Airworthiness | 22-Jun-15 | Internal |  |
| Abuse of Position | Alleged abuse of position when conducting enquiries into the validity of inspections done by an Authorised Person | 22-Jun-15 | Internal |  |
| Dishonesty | Alleged misrepresentation of facts in respect of the validity and/or existence of aviation licenses | 22-Jun-15 | Internal |  |
| Dishonesty | Alleged misrepresentation of facts with regards to travel claims and allowance | 21-Sep-15 | Internal |  |
| Dishonesty | Alleged misrepresentation of facts with regards to qualifications | 02-Feb-15 | Internal |  |
| Abuse of Position | Alleged abuse of position by Aviation Personnel Standards officials to over-rule decisions taken by their superiors | 31-Mar-15 | Internal |  |
| Abuse of Position | Alleged abuse of positions by two Inspectors when conducting an inspection | 16-Feb-15 | Internal |  |
| Abuse of Position | Alleged abuse of position by an Inspector when conducting inspections | 16-Feb-15 | Internal |  |
| Dishonesty | Alleged unauthorised removal of notes from the exam centre | 16-Feb-15 | Internal |  |
| Dishonesty | Alleged possession of unauthorised notes in the exam centre | 16-Feb-15 | Internal |  |
| Dishonesty | Alleged submission of suspected fraudulent sick notes | 19-Feb-15 | Internal |  |
| 2015/16 | Abuse of Position | Alleged abuse of position by an Inspector when conducting inspections | 14-Apr-16 | Internal |  |
| Corruption | Alleged soliciting of a bribe to issue an Aircraft Operation Certificate | 09-Mar-16 | Internal |  |
| Abuse of Position | Alleged abuse of positions by Inspectors when conducting inspections | 25-Apr-16 |  | External |
| Fraud | Alleged use of a fraudulent South African Commercial Pilot License in the Democratic Republic of Congo | 11-Mar-16 | Internal |  |
| Theft | Alleged theft of a laptop from an office | 09-Mar-16 | Internal |  |
| Corruption | Alleged corruption by an Licensing Officer | 14-Apr-16 | Internal |  |
| Theft | Alleged theft of laptop from employee's vehicle | 09-Mar-16 | Internal |  |
| Theft | Alleged theft of laptop from employee's vehicle | 09-Mar-16 | Internal |  |
| Theft | Alleged theft of laptop from employee's vehicle | 09-Mar-16 | Internal |  |
| Dishonesty | Alleged inflated flying hours to qualify for an Airline Transport Pilot License | 08-Jul-15 | Internal |  |
| Fraud | Alleged use of fraudulent Commercial Pilot License | 09-Mar-16 | Internal |  |
| Procurement Irregularity | Alleged procurement of an employee's business to render services to SACAA | 07-Oct-15 | Internal |  |
| Dishonesty | Alleged dishonesty and misrepresentation of facts relating to medical information | 07-Oct-15 | Internal |  |
| License Irregularity | Alleged fronting by an Air Services Operator when applying for an International and Domestic Air Services License | 23-Sep-15 | Internal |  |
| Fraud | Alleged fraudulent use of a SACAA letterhead | 06-Jan-16 | Internal |  |
| License Irregularity | Alleged irregular issuance of Aircraft Operating Certificates | 23-Sep-15 | Internal |  |
| Aviation Irregularity | Alleged servicing of an aircraft engine by an Aircraft Maintenance Engineer without being rated to work on the engine type. | 14-Apr-16 | Internal |  |
| 2016/17 | Conflict of Interest | Alleged facilitation of a charter flight by an employee for remuneration | 28-Jul-16 | Internal |  |
| Abuse of Position | Alleged abuse of position by an Inspector when he entered restricted areas at the Cape Town International Airport | 08-Jul-16 | Internal |  |
| Dishonesty | Alleged irregular issuance of Remote Piloted Aircraft Systems Operating Certificate | 21-Oct-16 | Internal |  |
| Theft | Alleged theft of a client's cell phone at the client services desk | 22-Jul-16 | Internal |  |

**Passenger Rail Agency of South Africa (PRASA)**

External forensic investigations:

1. Fuel Fraud Investigation by Valuecorp CC, trading as, Sudesh Dayanand Forensic Investigators – the contract term for this investigation lapsed in 2015.
2. Werksmans Attorneys – Investigations into various irregularities found by the Auditor-General SA in its 2014/15 audit and the irregularities found by the Public Protector in her report entitled “Derailed” issued in August 2015 – This investigation is ongoing.
3. National Treasury – Investigations into contracts that are above R10 million and concluded by PRASA between 2012 and 2015 – This investigation is ongoing.
4. Deloitte- Investigations into fraudulent transfer of funds in the Durban and Tshwane Regions which was terminated in 2010 and handover of the results to the South African Police Services.
5. Deloitte - allegations of irregularities in appointment of officials in the Eastern Cape region, mismanagement of company assets and tender processes concluded in 2010/11
6. PricewaterhouseCoopers – Forensic Audit into Eastern Cape supply chain management processes and related outstanding invoices for payment conclude in 2013.
7. Deloitte- Investigations into appointment of contractors, supply chain management processes and tenant revenue leakages concluded in 2013.
8. SizweNtsalubaGobodo - allegations of abuse of third party charter bus services concluded in 2013/14

**(ii) South African National Roads Agency Limited**

Please see attached spreadsheets marked (a) internal and (b) external forensic reports.

The requested information is in the spreadsheet **(Annexure ‘A’)**

**(ii) Cross-Border Road Transport Agency**

1. Internal forensic investigations were as follows for the (ii) Cross-Border Road Transport Agency:

There were two (2) investigations conducted and reported:

(aa) C-BRTA Official; (bb) Fraud that resulted in financial loss; and (cc): The investigations were concluded in January 2016 however the disciplinary enquiry is pending internally.

(aa) C-BRTA Official; (bb) Misuse of the Agency’s credit card; and (cc): The investigation was concluded in August 2015.

1. There were no external forensic investigations conducted from 1 January 2009 up to the latest specified date for the (ii) Cross-Border Road Transport Agency.

**(ii) Road Accident Fund**

The Road Accident Fund’s Forensics Investigations Department has (a) 10 415 external forensic investigation reports pertaining to possible fraudulent claims and (b) 1621 corporate investigations where RAF staff or service providers may be involved against the (ii) the Road Accident Fund, which were completed from 1 January 2009 up to the latest specified date for which information is available.

It is not possible to provide the (aa) name, (bb) subject matter and (cc) date of conclusion of each of the specified forensic reports, due to the short time frames provided and the volume of records that need to be examined, to extract the information requested. In addition, certain of the forensic reports may be subject to the mandatory protection provided by section 37 to section 39 of the Promotion of Access to Information Act, No. 2 of 2000.

**(ii) Road Traffic Management Corporation**

(b) Yes

ii) Yes they were forensic reports completed during the period under question

aa) ii) - Ministerial Task Team 2010

* Khuthele/PWC 2009
* Nkonki 2012
* Open Waters 2012

bb) Subject matter

* Task Team 2009
  + The task team was madated to investigate and review:
  + The Mandate of the RTMC
  + Transferred functions and remaining functions still to be transferred to the RTMC by DOT
  + The Capacity of RTMC to fulfill its mandate, including public entity oversight and transport regulation at DOT
  + The Location of the eNaTIS within theDoT structures and RTMC’s preparedness to assume responsibility
  + Allegations about financial mismanagement
  + Impact on AARTO implementation
  + Allegations about usage of transaction fees
  + Broad recommendations on the effectiveness and appropriateness of RTMC
* Khuthele/PWC - Alleged salary back payments that happened in 2007
* Nkonki 2012 – Fraudulent transactions relating to RTMC bank accounts
* Open Waters 2012 – irregularities supply chain management

cc) Date of conclusion

* Task Team concluded in 2010
* Khuthele/PWC investigation was concluded in 2009
* Nkonki investigation was concluded in 2013
* Open Waters investigations was concluded in 2013

**Road Traffic Infringement Agency**

(b) Yes, external forensic

(ii) Road Traffic Infringement Agency, Entity

(aa) SekelaXabiso (Pty) Ltd

(bb) Printing and Insertion of tabloids

(cc) 20 April 2015

**Railway Safety Regulator (RSR)**

One forensic report has been completed in the period 1 January 2009 to date:

*(aa) Name of the report:*

Allegations of Nepotism against the CEO by Sizwe, Ntsaluba and Gabodo (SNG)

*(bb) Subject Matter:*

Allegations of Nepotism against the CEO

*(cc) Date of Conclusion:*

August 2013