

**ANNEXURE A**

INVESTIGATION						RECOMMENDED REMEDIAL ACTION			OUTCOME		
YEAR	ITEM NUMBER	REFERENCE NUMBER	CASE TYPE	STATUS	AMOUNT INVOLVED	DC	CRIMINAL	CIVIL	DC	CRIMINAL	CIVIL
2019/2020	1	REG19/1541	Fraud	Report Issued	-	Yes	-	-	In Progress	-	-
2019/2020	2	SCM19/1536	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2019/2020	3	SR19/1526	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2019/2020	4	REG19/150	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	In Progress	-	-
2019/2020	5	News18/1438	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2019/2020	6	SR18/1460	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2019/2020	7	RAD19/1508	Non-compliance to policies and Procedures	Report Issued	R252,000.00	Yes	-	Yes	In Progress	-	In Progress
2019/2020	8	RAD18/1457	Non-compliance to policies and Procedures	Report Issued	R2,090,500.00.	Yes	-	Yes	In Progress	-	In Progress
2019/2020	9	LOG19/1519	Fraud	Report Issued	R1,291.45		x		-	-	Closed
2019/2020	10	RAD18/1442	Non-compliance to policies and Procedures	Report Issued	R67,882.56	Yes	-	Yes	In Progress	-	In Progress
2019/2020	11	PRO19/1492 (B)	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2019/2020	12	REG18/1450	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	In Progress	-	-
2019/2020	13	NEWS18/1484	Non-compliance to policies and Procedures	Report Issued	R 752 700.00 + R6,513 022	Yes	-	Yes	In Progress	-	In Progress
2019/2020	14	TECH18/1478	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	Yes	In Progress	-	In Progress
2019/2020	15	NEWS18/1453	Fraud	Report Issued	R28,483.69	Yes	-	Yes	Completed	-	Completed
2019/2020	16	PRO19/1492	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Closed.	-	-
2019/2020	17	SR19/1504	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	In Progress	-	-
2019/2020	18	REG18/1462	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2019/2020	19	REG18/1469	Non-compliance to policies and Procedures	Report Issued – Unsubstantiated	-	-	-	-	-	-	-
2019/2020	20	REG18/1486	Unethical Behavior	Report Issued	-		x		-	-	-
2019/2020	21	TV18/1482	Fraud	Report Issued	-	Yes	Yes		Completed	In Progress	-
2019/2020	22	REG18/1464	Non-compliance to policies and Procedures	Report Issued	R1,180,465.56		x		-	-	-
2019/2020	23	TV17/1393	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	In Progress	-	-
2019/2020	24	TECH18/1411	Fraud	Report Issued - Unsubstantiated	-		x		-	-	-

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2019/2020	25	RAD18/1418	Non-compliance to policies and Procedures	Report Issued	-	Yes	-	Yes	Completed	-	Not implemented
2019/2020	26	GRP19/1496	Non-compliance to policies and Procedures	Report Issued	-	x			-	-	-
2019/2020	27	TECH18/1420	Non-compliance to policies and Procedures	In Progress	-	Yes	-	-	In Progress	-	-
2019/2020	28	REG18/1426	Non-compliance to policies and Procedures	In Progress	-	Investigation In Progress			-	-	-
2019/2020	29	TV18/1451	Fraud	In Progress	-	Investigation In Progress			-	-	-
2019/2020	30	REG19/1507	Fraud	In Progress	-	Investigation In Progress			-	-	-
2019/2020	31	REG19/1518	Corruption	In Progress	-	Investigation In Progress			-	-	-
2019/2020	32	REG19/1533	Corruption	In Progress	-	Investigation In Progress			-	-	-
2018/2019	1	RAD17/1347	Non-compliance to policies and procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	2	REG17/1352	Non-compliance to policies and procedures	Report Issued	-	x			-	-	-
2018/2019	3	REG17/1391	Non-compliance to policies and procedures	Report Issued	R2,755,844.00 and R772,974.68	x			-	-	-
2018/2019	4	NEWS17/1374	Unethical Behavior	Report Issued	R404,777.87 and R141,435.96	-	-	Yes	-	-	In Progress
2018/2019	5	LOG17/1369	Theft	Report Issued	R5,901.00	Yes	-	Yes	Completed	-	Completed
2018/2019	6	COM17/1360	Fraud	Report Issued	R559,027.12	Yes	-	Yes	Completed	-	Completed
2018/2019	7	NEWS17/1339	Fraud	Report Issued	R8,150.00	Yes	-	Yes	In Progress	-	Completed
2018/2019	8	GRP17/1363	Fraud	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	9	REG17/1381	Non-compliance to policies and procedures	Report Issued	-	x			-	-	-
2018/2019	10	REG17/1386 (2)	Non-compliance to policies and procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	11	REG17/1386 (1)	Non-compliance to policies and procedures	Report Issued	R130,468.19	Yes	-	Yes	Partially Completed	-	Completed
2018/2019	13	SPORT18/1417	Non-compliance to policies and procedures	Report Issued	R163,012.50	Yes	-	Yes	Completed	-	Outstanding
2018/2019	14	RAD17/1339	Non-compliance to policies and procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	15	RAD18/1424	Non-compliance to policies and procedures	Report Issued	R25,259.92	Yes	-	Yes	Completed	-	Completed
2018/2019	16	REG17/1372	Non-compliance to policies and procedures	Report Issued	-	Yes	-	-	In Progress	-	-
2018/2019	17	PRO18/1409	Non-compliance to policies and Procedures	Report Issued	-	x			-	-	-

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2018/2019	18	GRP17/1400	Non-compliance to policies and Procedures	Report Issued	-		x		-	-	-
2018/2019	19	REG18/1414	Non-compliance to policies and Procedures	Report Issued	R6,052.26	Yes		Yes	Completed	-	Completed
2018/2019	20	SR18/1432	Non-compliance to policies and Procedures	Report Issued	R8,632,080.00	-	-	Yes	-	-	Outstanding
2018/2019	21	SR18/1447	non-compliance to policies and Procedures	Unsubstantiated	-		x		-	-	-
2018/2019	22	GRP17/1401	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	23	REG17/1395	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	24	NEWS17/1373	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	25	REG17/1366	Unethical Behavior	Report Issued	-		x		-	-	-
2018/2019	26	NEWS18/1416	non-compliance to policies and Procedures	Report Issued	R2,406.20	Yes		Yes	Completed	-	Completed
2018/2019	27	RAD18/1430	non-compliance to policies and Procedures	Report Issued	R1,199.80 and R442.00	Yes	-	Yes	Completed		Completed
2018/2019	28	REG18/1439	Corruption	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	29	RAD18/1419	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	30	REG17/1398	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	31	SR18/1429	non-compliance to policies and Procedures	Report Issued	-	Yes	-	-	Completed	-	-
2018/2019	32	TECH17/1397	non-compliance to policies and Procedures	Report Issued	R97,961.84 and R39,205.75	Yes	-	-	In Progress	-	-
2018/2019	33	REG18/1415	non-compliance to policies and Procedures	Unsubstantiated	-		x		-	-	-
2018/2019	34	SR18/1463	non-compliance to policies and Procedures	Report Issued	R482,467.77	Yes	-	Yes	In Progress	-	In Progress

TERMINOLOGY	
<b>X</b>	Recommendations were made only in respect of the system of internal control
-	None/No recommendation made
<b>Substantiated</b>	There was evidence to support the allegations
<b>Unsubstantiated</b>	There was no evidence to support allegations
<b>In Progress (Investigation)</b>	Investigation has not yet been completed
<b>Yes</b>	A recommendation was made in the report
<b>Completed</b>	The recommendation was implemented
<b>In Progress (Outcome)</b>	Implementation of the recommendation still in progress
<b>Outstanding</b>	Management's decision of the implementation of the recommendation pending