



## ANNEXURE B

### SUPPORTING SCHEDULE

#	Description	Amount (R)	Root cause	Non-compliance with?	Responsible Employee/s (alleged)	Approved/ Not Approved
1	<p>AMD CENTRAL BASIN OPERATIONS AND MAINTENANCE: Contract – 08 – 030, Intelligent Water Solutions (IWS) The December 2016 settlement agreement provided for the continued operations with revised costs to operate the plant from 1 January 2017 until 30 June 2017 to allow for an operator to be appointed through an open tender process. The irregular expenditure declared is for the period 1 January 2017 to 31 March 2017.</p>	<b>22 246 073</b>	Board and NT approved, however linked to irregular letter of award by former SCM Manager.	N/A (Irregular by association)	<ul style="list-style-type: none"> <li>Board</li> <li>Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved

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2	AMD CENTRAL BASIN OPERATIONS AND MAINTENANCE: Contract – 08 – 030, Intelligent Water Solutions (IWS)	<b>49 823 227</b>	Board approved.  NT approved until 30 June 2017.  However, linked to irregular letter of award by former SCM Manager.	N/A  (Irregular by association)	<ul style="list-style-type: none"> <li>• Board</li> <li>• Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved
3	AMD EASTERN BASIN & CENTRAL BASIN OPERATIONS AND MAINTENANCE: Suppliers of Consumables and Spares Contracts	<b>22 499 489</b>	Delay in award of contract by accounting authority.  Resulted in emergency single sourcing.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	<ul style="list-style-type: none"> <li>• Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved

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4	AMD EASTERN BASIN OPERATIONS AND MAINTENANCE: Contract – 08 – 030, CMC-PG Mavundla	<b>1 978 031</b>	Operated plant without NT prior approval for single sourcing.	Legislation	<ul style="list-style-type: none"> <li>Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved
5	AMD EASTERN BASIN OPERATIONS AND MAINTENANCE: Contract – 08 – 032, Tecroveer Thanda Manzi JV	<b>14 433 840</b>	Delay in award of contract by accounting authority.  Resulted in emergency single sourcing and subsequent extension.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	<ul style="list-style-type: none"> <li>Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved
6	AMD CENTRAL BASIN OPERATIONS AND MAINTENANCE: Contract – 08 – 030, Proxa Propriety Limited	<b>12 549 173</b>	Delay in award of contract by accounting authority.  Resulted in emergency single sourcing and subsequent extension.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	<ul style="list-style-type: none"> <li>Exco (Exec PF&amp;T, Exec HR&amp;OD, CRO, CSO, Exec PMID)</li> </ul>	Not Approved
7	Variation Orders	<b>280 020 107</b>	Failure to comply with TCTA Operational DOA.	Internal Policy	EM: PMID	Not Approved

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8	Appointment of Edward Nathan Sonnenberg (ENS) through single sourcing to provide labour law advisory services to TCTA with regards to investigation and institution of disciplinary action. Request for deviation was rejected by National Treasury.	<b>10 426 126</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	Audit Committee	Not Approved
9	The budget for legal fees for MMTS-2 and AMD was exceeded as a result of new legal matters which did not form part of the initial budget.	<b>3 417 686</b>	The budget was exceeded because of several legal matters that needed attention.	Internal Policy	CRO.	Not Approved

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10	Appointment of Tshisevhe Gwina Ratshimbilani Incorporated through single sourcing to render legal advisory	<b>1 320 047</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	CRO.	Not Approved

#	Description	Amount (R)	Root cause	Non-compliance with?	Responsible Employee/s (alleged)	Approved / Not Approved
	services on fund raising activities for LHWP. Request for deviation was rejected by National Treasury.					
11	Lesotho Highlands Operations and Maintenance: Continuous use of Lifton Construction to provide services to TCTA for the operation and maintenance of the LHWP on an open-ended contract basis, which contravenes National Treasury Instruction Number 3 of 2017/2016.	<b>1 224 474</b>	Failure to comply with the NT instruction note on open ended contracts.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: PMID	Not Approved
12	DENTONS KAPDITWALA Inc. was appointed through a quotation process for R 404 408.00. This amount was then exceeded, given the extent of the matter.	<b>1 217 488</b>	The legal budget was exceeded and there were no funds available.	Internal Policy	CRO	Not Approved
13	Lesotho Highlands Operations and Maintenance: PV Engineering	<b>685 251</b>	Failure to comply with the NT instruction note on open ended contracts.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: PMID Former CFO CRO in his capacity as the Acting CEO at the time.	Not Approved

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14	Appointment of Selomo Attorneys through a single sourcing to represent and advise TCTA in labour disputes and disciplinary actions. Request for deviation was rejected by National Treasury.	<b>531 198</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	CRO in his capacity as the Acting CEO at the time.	Not Approved
15	Procurement for goods and services with a value under R30 000 was followed expiry of the contract with two travel	<b>439 557</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: ESS (Demoted) Facilities Manager Shared Services Manager SCM Front of House	Not Approved

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	agencies, whilst the tender process was being finalised. However, majority of travel agencies did not respond on time. This resulted in the following travel agencies being awarded work in excess of the R30 000 limit.					
16	Appointment of Ellen of Overy law firm on a single source to represent TCTA on a dispute with Tecrover.	<b>411 582</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	CRO in his capacity as the Acting CEO at the time.	Not Approved
17	Appointment of Fluxman's attorneys on a single source to represent TCTA on a legal matter.	<b>387 481</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	Board & CRO in his capacity as the Acting CEO at the time.	Not Approved
18	Appointment of Corporate Executive Board on a single source.	<b>222 620</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: HR Former CFO (Resigned) CRO in his capacity as the Acting CEO at the time.	Not Approved
19	Extension of Banqobi Consulting for the placement of a Senior Manager: Company Secretary for a period of six months.	<b>184 680</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: HR Exec HR&OD.	Not Approved



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20	The appointment of JVR Consulting psychologist (PTY) LTD to conduct psychometric assessment for a period of five years without following due Procurement process.	<b>127 073</b>	Failure to comply with SCM policies and PFMA.	Legislation (PFMA section 51	EM: HR Exec HR&OD.	Not Approved
21	Appointment of Werksmans on a single source basis to advise TCTA Board on a labour matter.	<b>27 379</b>	Failure to obtain NT prior approval for a deviation.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	Board & CRO in his capacity as the Acting CEO at the time.	Not Approved

#	Description	Amount (R)	Root cause	Non-compliance with?	Responsible Employee/s (alleged)	Approved / Not Approved
22	Lesotho Highlands Operations and Maintenance: Lifton Authorisation Construction not yet initiated. Continuous use of Lifton Construction to provide services to TCTA for the operation and maintenance of the LHWP on an open-ended contract basis which contravenes National Treasury Instruction Number 3 of 2017/2016.	<b>10 469 993</b>	Failure to comply with the NT instruction note on open ended contracts.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	EM: PMID	Not Approved
23	Provision of Disaster Recovery services with Continuity SA after the contract had expired.	<b>58 965</b>	Failure to obtain NT prior approval for an expansion of a contract.	Legislation (PFMA section 51, NT instruction note 3 of 2016/2017)	Former CEO (JN) His contract ended. EM: ESS (Demoted) Former CFO (Resigned) CRO	Not Approved
<b>Total</b>		<b>434 701 540</b>				