



science
& technology

Department:
Science and Technology
REPUBLIC OF SOUTH AFRICA



HSRC
Human Sciences
Research Council

2013/14 annual report presentation





Vision and Mission

Vision:

The HSRC intends to serve as a knowledge hub for research-based solutions to inform human and social development in South Africa, the African continent and the rest of the world.

Mission

The mission of the HSRC is to be a research organisation that advances social sciences and humanities for public use.



Objectives of the HSRC (Section 3, Act 17 of 2008)dfhsgdgh

- Address developmental challenges,
- Inform effective formulation of policies and monitoring and evaluation,
- Stimulate public debate,
- Help build research capacity and infrastructure,
- Foster research collaboration, networks and institutional linkages,
- Respond to the needs of vulnerable and marginalised groups, and
- Develop and make available data sets.



Specific Policy Mandates

- Outcome 1 (Improved quality of basic education)
 - Outcome 2 (A long and healthy life for all South Africans), and
 - Outcome 5 (A skilled and capable workforce to support an inclusive growth path).
-
- Strategic Intent
 - To have addressed **key priorities** facing South Africa through its research, and to have generated **new knowledge** that helps us understand the changing human and social environment in which we live.

Strategic outcome oriented goals

- A – “Knowledge Advancement”**: Advancing social sciences and humanities for public use by initiating, undertaking and fostering basic and applied research in human and social sciences, and geopolitical issues; stimulated public debate and disseminated research results.
- D – “Contribution to Development and Social Progress in Africa”**: Conducting research, analysing and publishing data that aims to address developmental challenges in South Africa and elsewhere in Africa and the rest of the world.
- E – “Enhanced Skills”**: Contribution to the development of a skilled and capable workforce in the Republic and elsewhere in Africa by providing developing research skills & capacity.
- P – “Preserved data & knowledge”**: Digitisation and preservation of data sets.
- T – “Transformation”**: Transformation at senior level to reflect the national demographic composition with respect to race and gender.
- S – “Financial Sustainability”**: Improvement and implementation of effective and efficient systems of financial management and good corporate governance; and ensuring sustainability of research funding through long-term research projects and longitudinal studies.

performance against
predetermined
objectives



Performance Indicators 2013/14

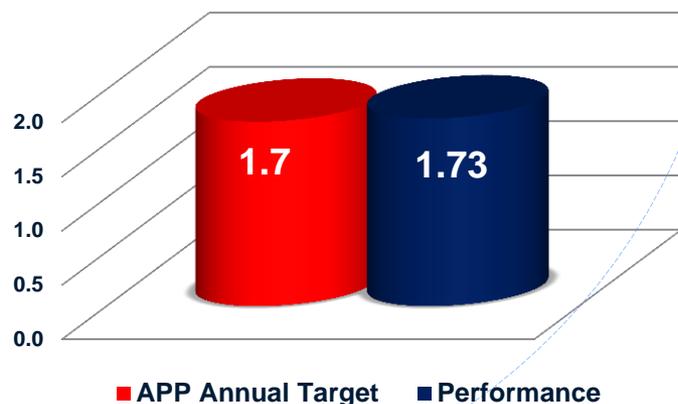
Overall achievement 72% (Achieved 26 out of 36)
Based on revised annual performance targets

- **A-Advance** 80% (Achieved 8 out of 10)
- **D-Develop** 100% (Achieved 4 out of 4)
- **E-Enhance** 38% (Achieved 3 out of 8)
- **P-Preserve** 100% (Preserved 23 datasets)
- **T-Transform** 83% (Achieved 5 out of 6)
- **S-Sustain** 71% (Achieved 5 out of 7)

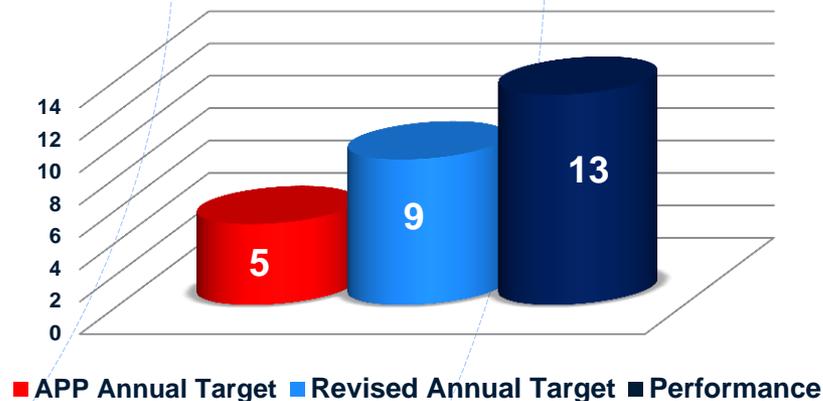
HSRC 2013/14 Performance against targets:

Publications as at 31 March 2014

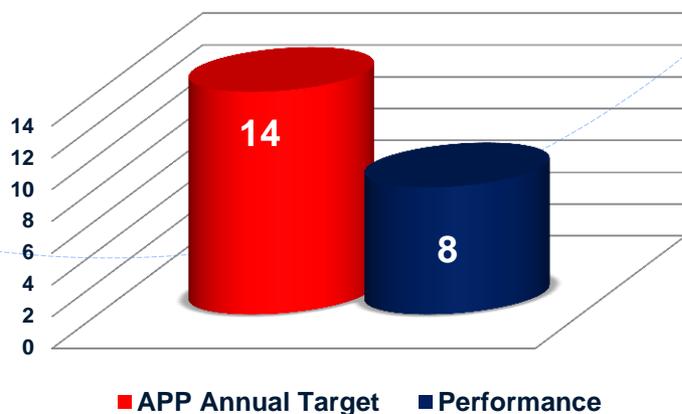
Peer-reviewed journal articles



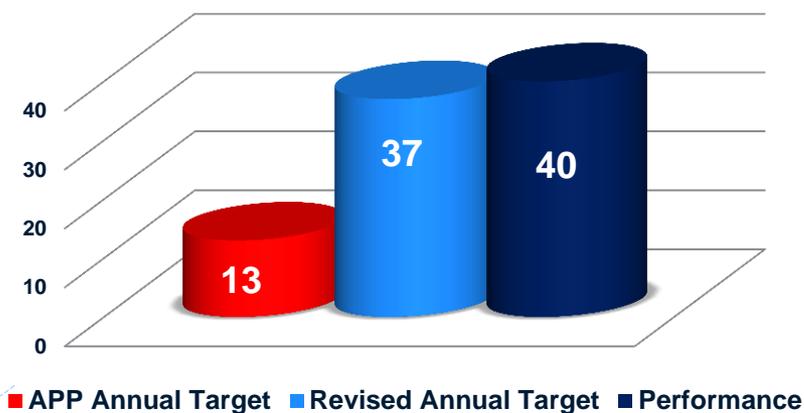
Scholarly books published



Policy Briefs



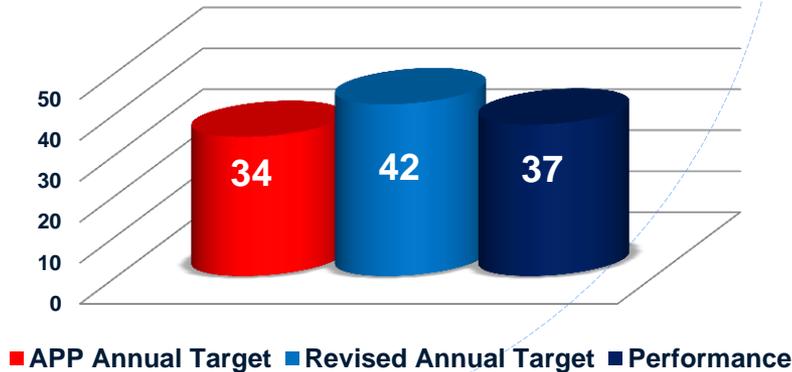
Scholarly book chapters published



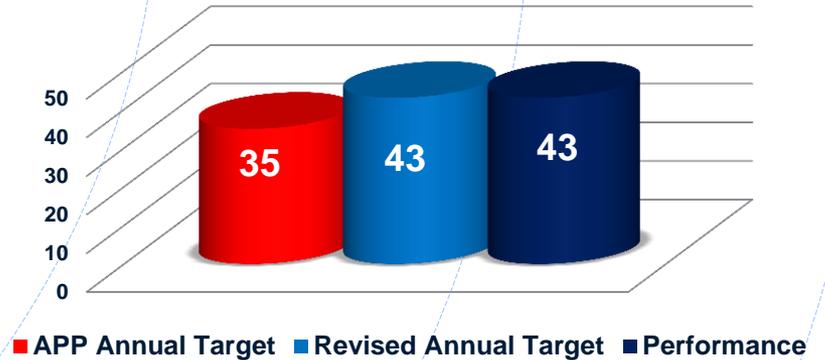
HSRC 2013/14 Performance against targets:

Research Capacity Enhancement as at 31 March 2014

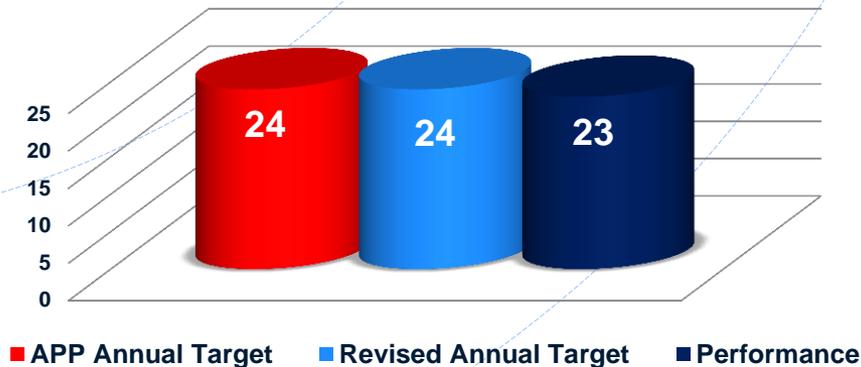
Master's interns



PhD interns

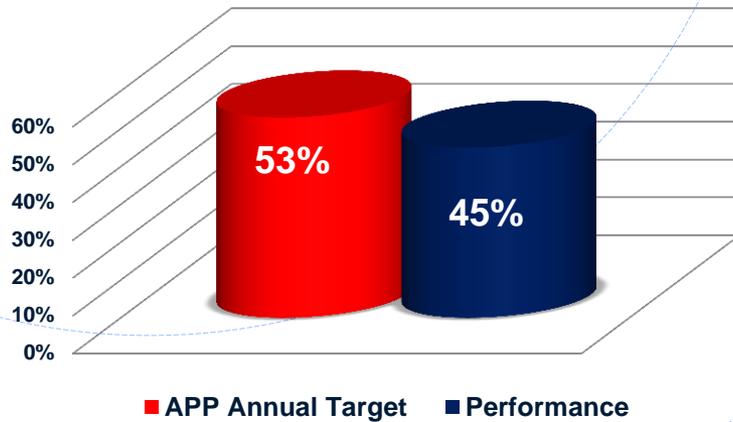


Post Docs

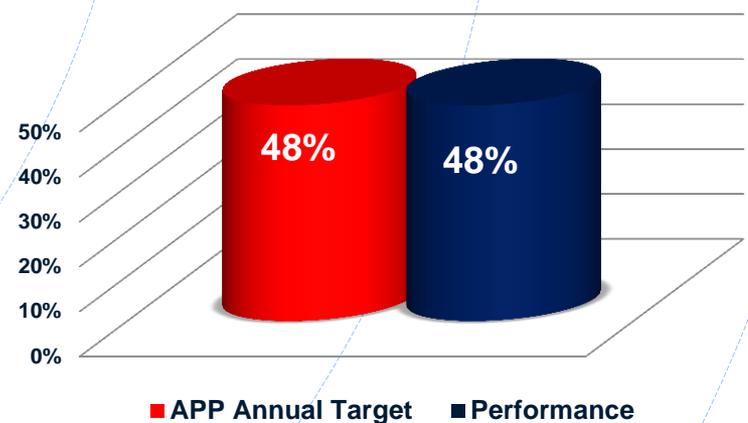


HSRC 2013/14 Performance against targets: Transformation as at 31 March 2014

African Senior Researchers

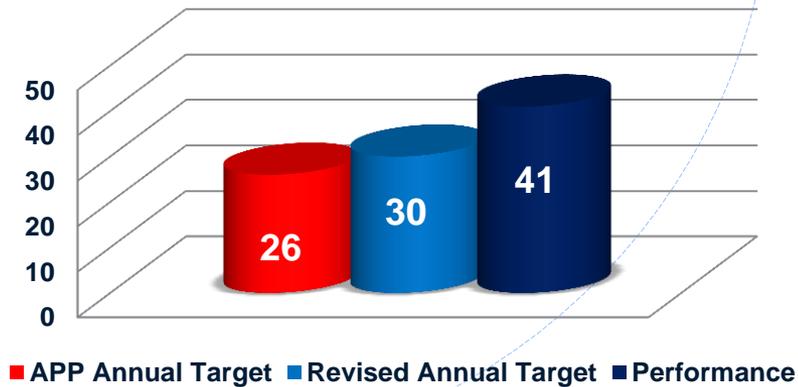


Female Senior Researchers

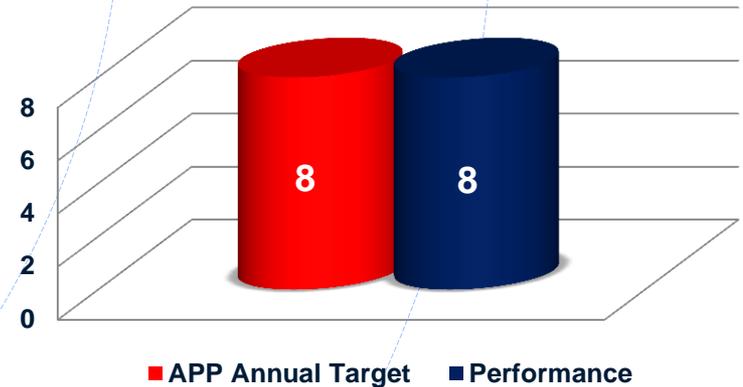


HSRC 2013/14 Performance against targets: Collaboration, Public Dialogue & Data Preservation as at 31 March 2014

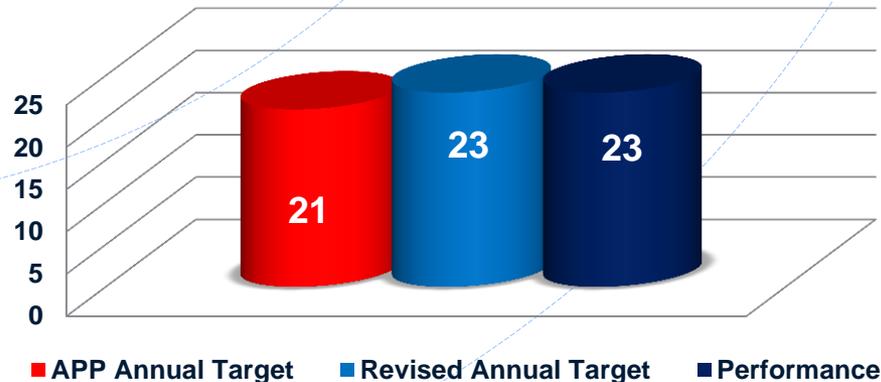
Active MoUs



HSD Seminars



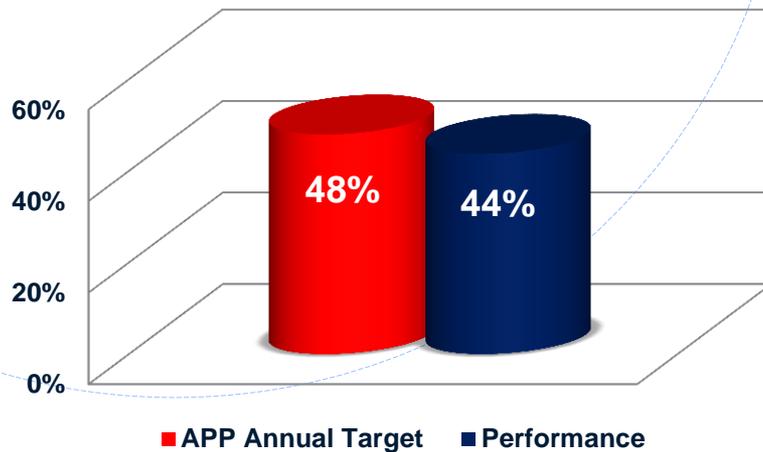
Preserved Datasets



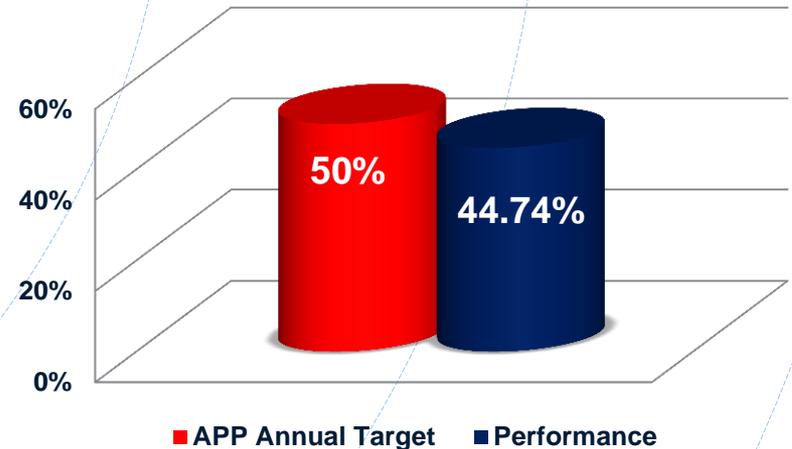
HSRC 2013/14 Performance against targets:

Financial Sustainability as at 31 March 2014

Extra-Parliamentary funding



Multi-year grants





research highlights



Highlights: HSRC (1)

- Chaired the plenary session of the General Assembly of the ISSC
- Co-host with Codesria for the 2015 World Social Science Forum in Durban
- Completed the 10th R&D Survey
- CESTII authored the 2nd African Innovation Outlook
- HSRC asked to incubate the South African BRICS Think Tank and led BRICS countries in the preparation of the Vision and Strategy document, which eventually was negotiated by BRICS countries. It rests on five pillars:
 - Promoting cooperation for economic growth and development;
 - Maintaining peace and security;
 - Promoting social justice, sustainable development and quality of life;
 - Reforming the global political and economic governance architecture and
 - Attaining progress through knowledge and innovation sharing.



Highlights: HSRC (2)

- Developed the draft BRICS Universal Health Coverage Monitoring and Evaluation Tool
- Finalised and launched the 1st **South African National Health and Nutrition Examination Survey (SANHANES-1)** in South Africa- report covered internationally
- Worked on a project funded by the Open Society South Africa in Zambia, Malawi and Swaziland on early childhood development and education.
- Finalised and launched the 4th SABSSM report on HIV/AIDS in South Africa, report covered internationally
- 2016 AIDS conference local –co-chair of this Global AIDS Conference
- Contributed to research for, and writing of, United Nations guidelines for National Urban Policies in Africa.

Highlights: HSRC (3)

- Started work on the MIMMS project that aims to strengthen the existing surveillance system and strategies to monitor maternal and child morbidity and mortality. The project is funded by CDC.
- Worked with the ILO's programme on HIV/AIDS and the Working World to assess what initiatives have worked in the workplace within Africa.

Highlights: HSRC (4)

- Worked on the assessment of the impact of decisions of the Constitutional Court and Supreme Court of Appeal on the transformation of society with the University of Fort Hare
- SASAS team worked on the IEC Voter Participation Survey and Election Satisfaction Survey.
- Appointed as a lead partner by the Nelson Mandela Children's Fund to provide empirical evidence on the status of children in SA for local, national, regional and global advocacy

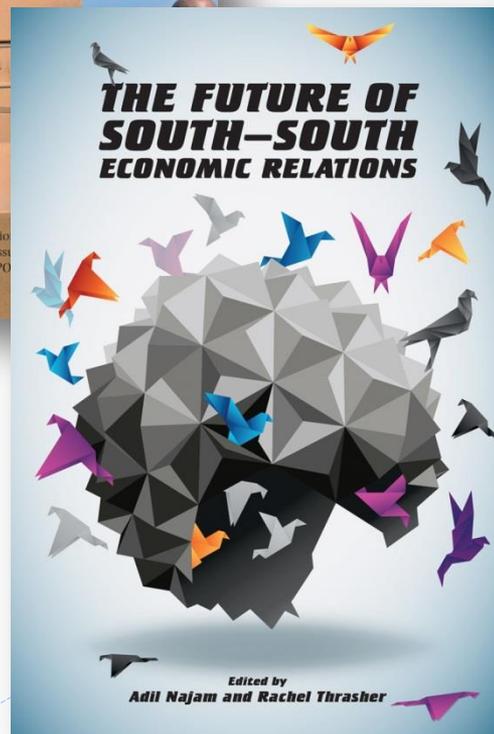
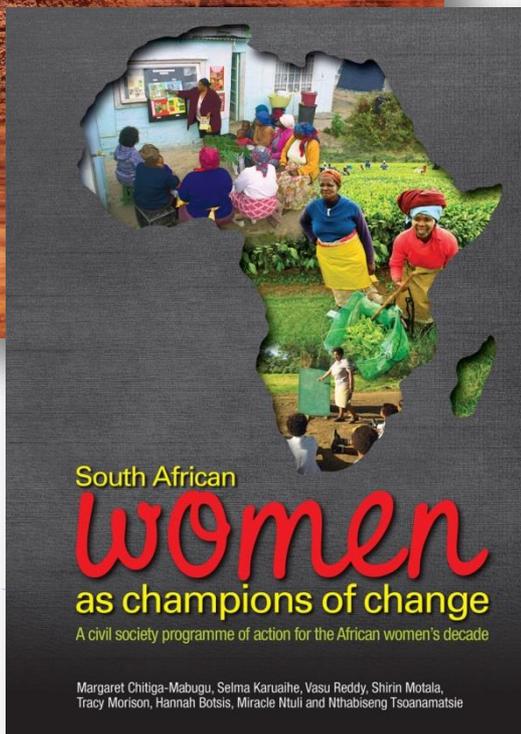
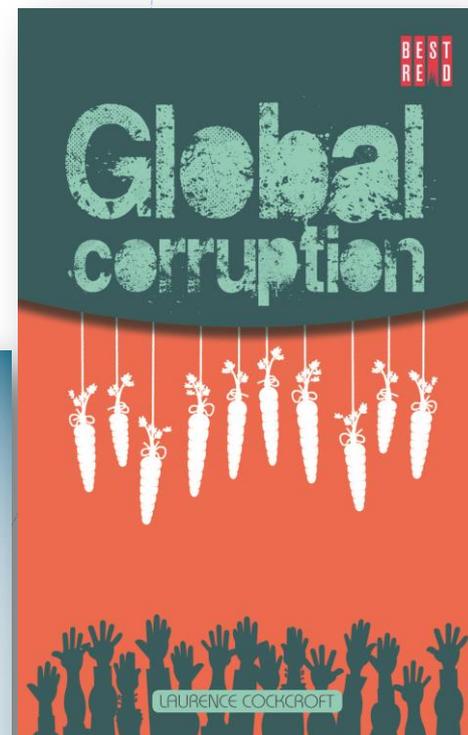
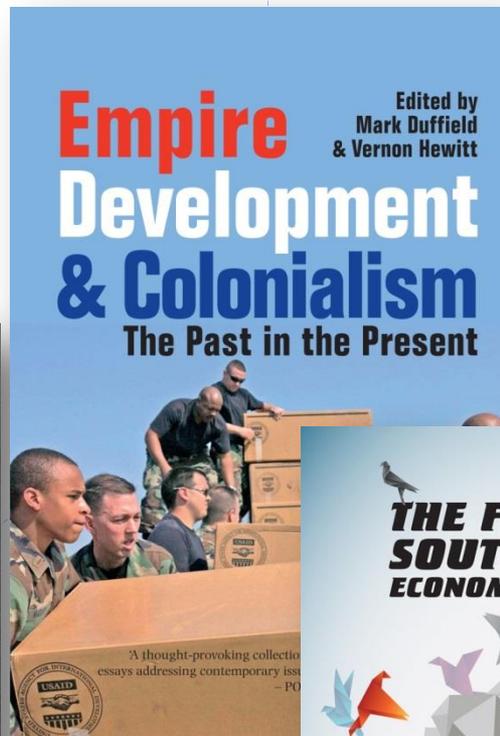
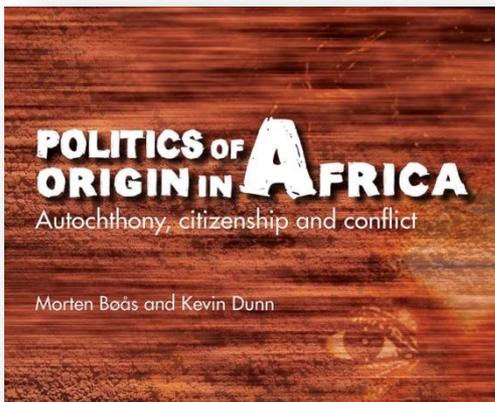
Highlights: HSRC (5)

- Research projects undertaken in the financial year – 202 projects (224 in 2012/2013)
- 2013/2014 was spent on consolidating and analyzing data collected in the large SABSSM 4 and SANHANES surveys.
- Enhanced focus on publication and dissemination of information.
- Major funders included the CDC, Department of Higher Education, Department of Science and Technology, Department of Rural Development and Land Reform, the Independent Electoral Commission of South Africa, and the Development Bank of Southern Africa.

Publishing and Knowledge Dissemination

- Peer-reviewed journal articles: 115
- Other internationally accredited journal articles: 14
- Other peer-reviewed journal articles (SA and other): 34
- Non-peer reviewed journal articles: 54
- Scholarly books: 13
- Scholarly book chapters: 40
- Non-scholarly books: 3
- Non-scholarly book chapters: 3
- Client and other research reports: 45
- Policy briefs: 8

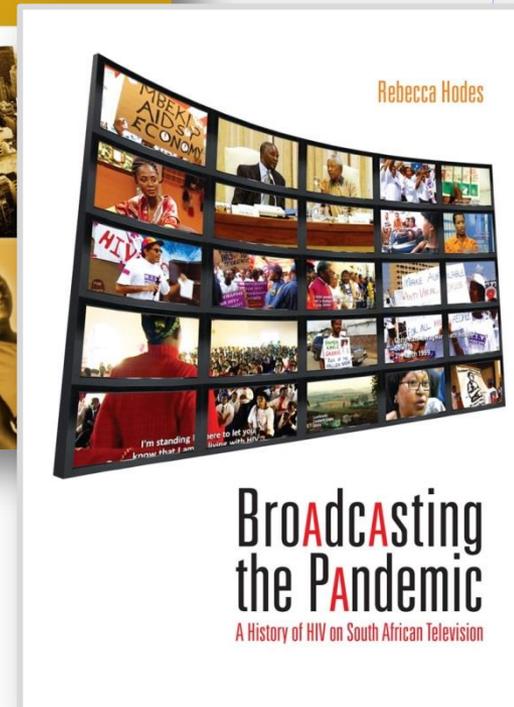
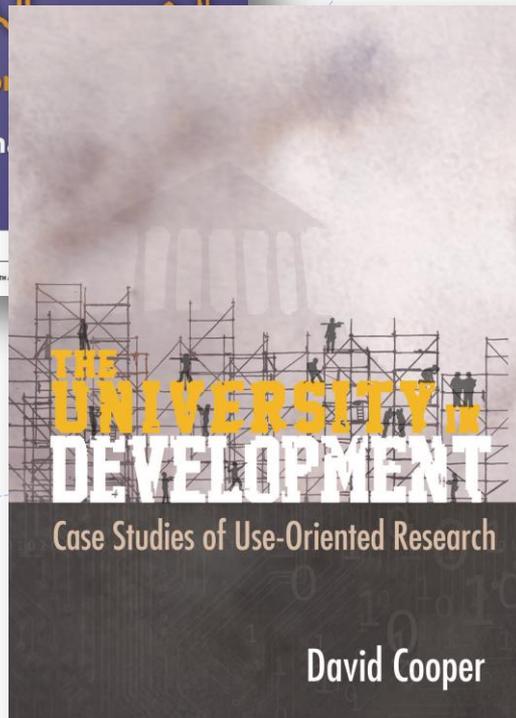
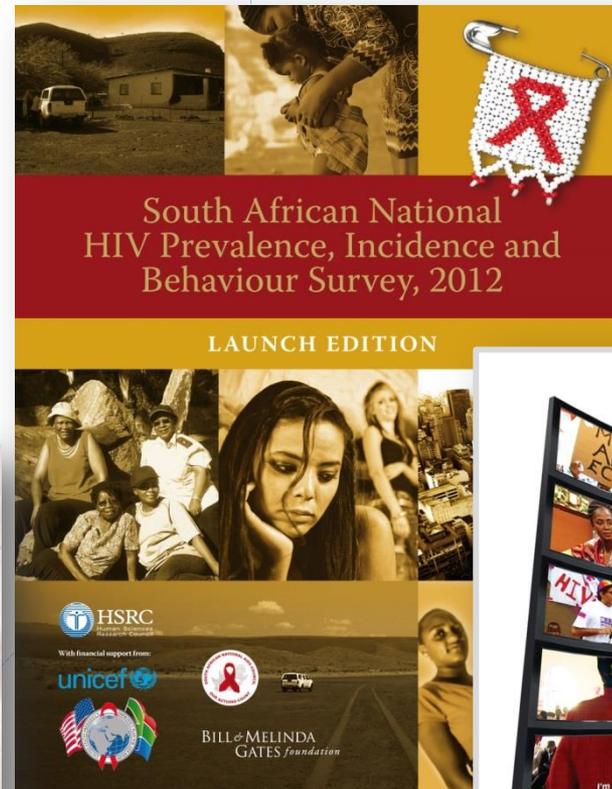
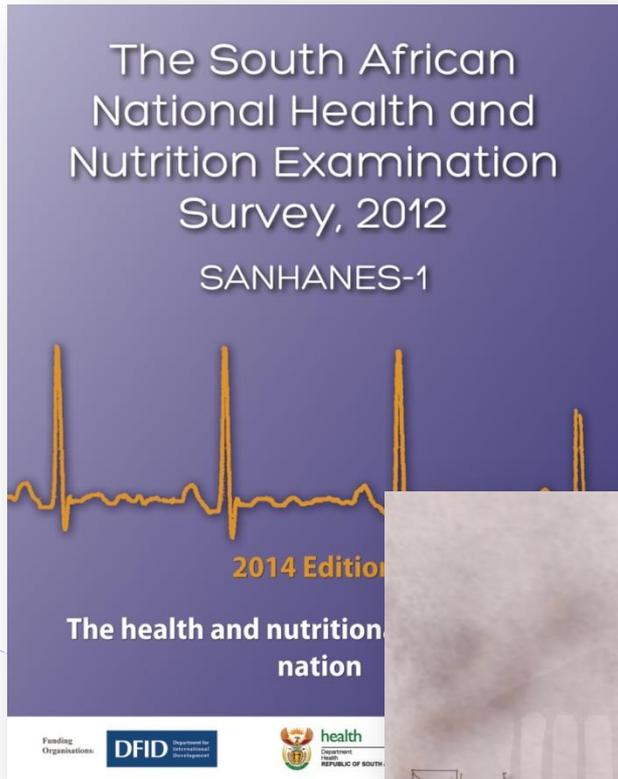




RESEARCH INNOVATION



Titles for 2013/2014



governance report



Governance: Ethics

- Ethical leadership: Board approved HSRC Code of Ethics and the Code of Conduct and circulated to staff
- The Anti-Corruption Strategy: approved by the board to provide a framework for the management of ethics risks across the HSRC
- Enforced policy on conflict of interest
- HSRC commemorates the international anti-corruption day on 9 December every year since 2010
- Research ethics and integrity unit established
- Research Ethics Committee considered 61 proposals



Governance: Sustainability

- Financial sustainability: multi-year funding
- Social responsibility review
 - Distinctive research approach
 - Considering the human factor
 - Bridging the gaps between theory and practice
 - Commitment to research quality and capacity development
 - Community-oriented activities
- Environmental protection

Governance of risk

- The HSRC has a well-established risk management policy that is complemented by our Enterprise Risk Management Strategy
- We conduct regular risk assessments to determine the effectiveness of our risk management strategy and to identify new and emerging risks
- There is a Risk Management Committee that advises management on the overall system of risk management, especially the mitigation of unacceptable levels of risk
- The Audit and Risk Committee advise the HSRC on risk management and independently monitors the effectiveness of the system of risks management
- There have been steady rises in the level of our risk maturity and the increasingly improved audit opinions reflect good progress in risk management.

Reduction of Administrative Costs

- A moratorium is in place on filling any new positions, including funded vacancies. The goal is to achieve an optimal researcher to administrative staff ratio of 1:1 in financial year 2015/16. A reduction in administrative positions will appreciably reduce the organization's salary bill, while an increase in research staff conversely allows the HSRC to continue to produce world-class, policy relevant research.

Social Responsibility Activities

- The HSRC is driven by and aspires to maintain a positive social impact through its research outputs and its programme of research.
- Our research activities reflect the HSRC's commitment to conduct its research in a socially responsible and beneficial manner.
- Our staff are sensitive to developmental issues and to the special needs of marginalised and vulnerable groups.
- Some of our activities have been around:
 - The Mandela Day
 - World AIDS Day
 - Santa Shoe Box donations

AISA Incorporation into the HSRC (1)

- Cabinet endorsed the Minister's proposal to incorporate AISA into the HSRC in February 2012,
- Working committees were then set up to drive the process. This included the DST-convened Incorporation Management Committee (IMC), and the HSRC-AISA convened Incorporation Working Group (IWG).
- AISA was formally incorporated into the HSRC on 1 April 2014.
- The process is underway to realign research programs of AISA with those of the HSRC

AISA Incorporation into the HSRC (2)

- Planning and implementation of the incorporation, including change management, proceeded well on the basis of the support provided by parliament, DST, and the Boards and staff of AISA and the HSRC.
- The envisaged research agenda of AISA in the HSRC will ensure that:
 1. The Institute undertakes basic, applied and comparative research devoted to the study of Africa and African Diasporas;
 2. It collaborates with and provides research-based policy advice to African multilateral organisations on public affairs; and
 3. It makes a contribution to addressing the many dimensions of the study of Africa in Africa and reversing the marginality, in global terms, of knowledge production in Africa.
- Recruitment of an Executive Director for AISA in the HSRC commenced.

financial statements



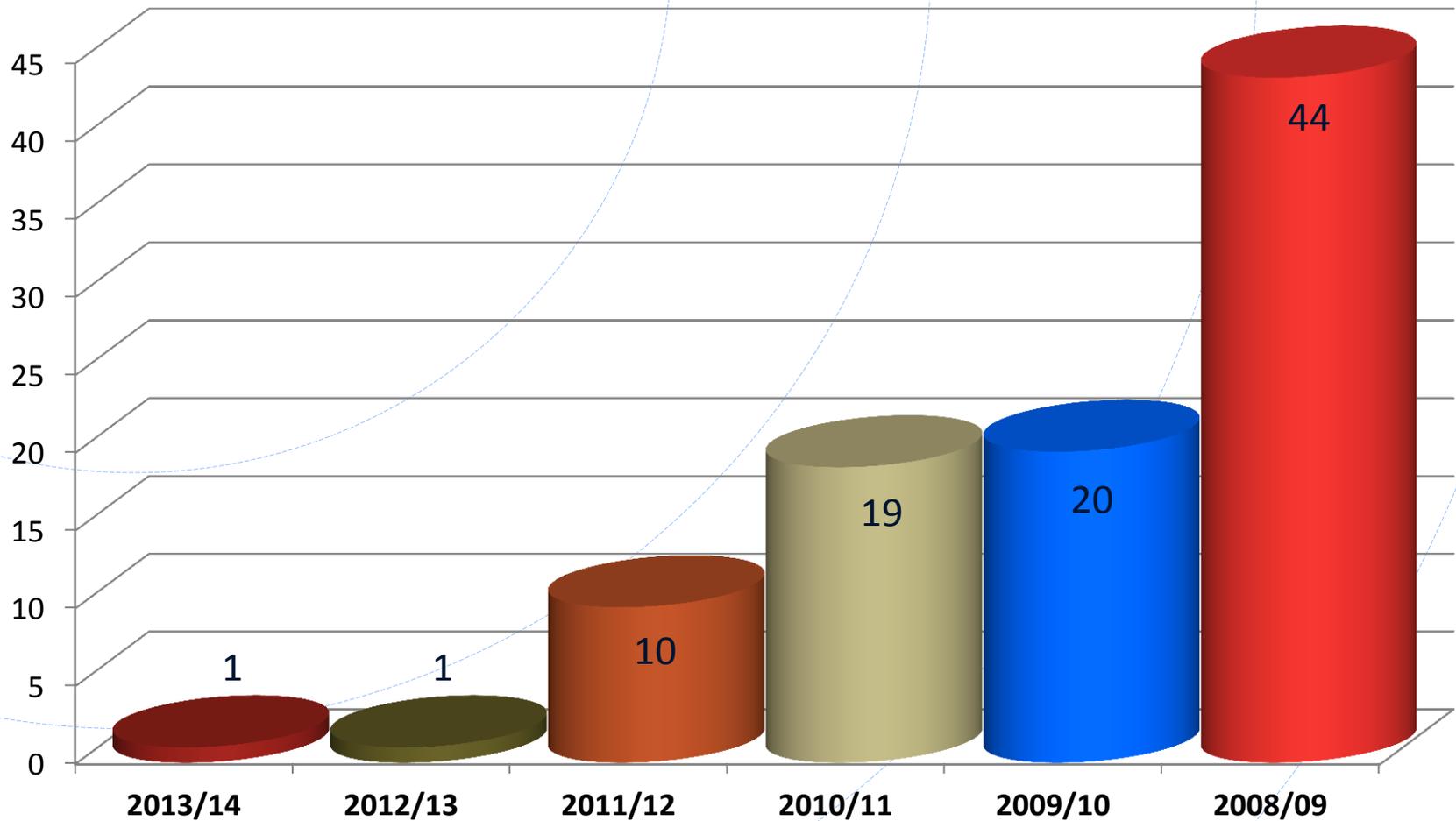


Audit Outcomes

- AGSA Audit for the HSRC has been completed and the following are major highlights of the audit outcomes:
 - **UNQUALIFIED CLEAN** Audit Opinion issued by the AGSA;
 - **NO** Audit Adjustments were processed to our AFS;
 - **1** Audit Finding was raised by the AGSA (Declaration of interests);
 - The HSRC **Dashboard** remains **ALL GREEN** faces (as assessed by the AG). This is testimony to strong internal controls within the HSRC

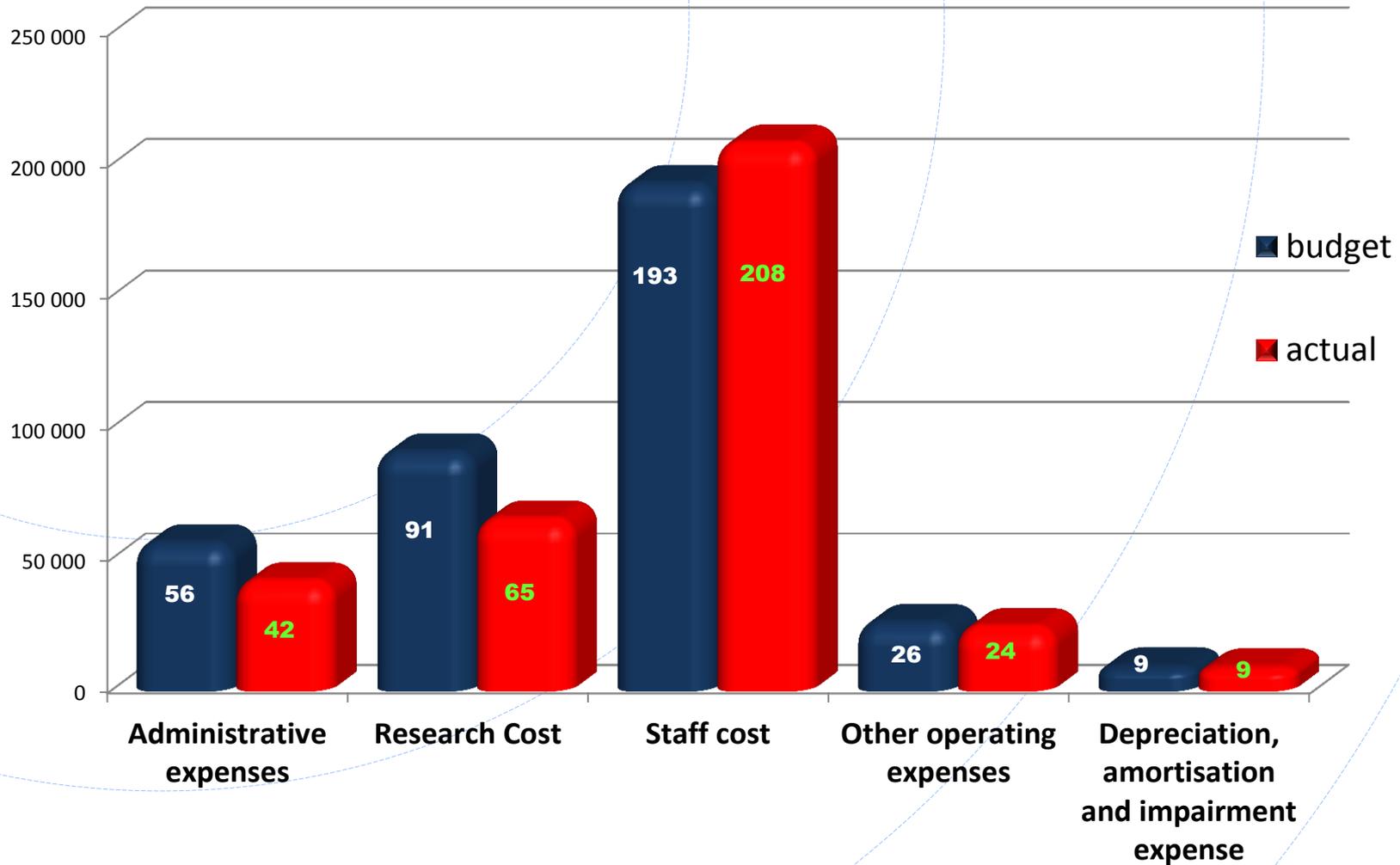
Analysis of Audit Findings

Audit Findings

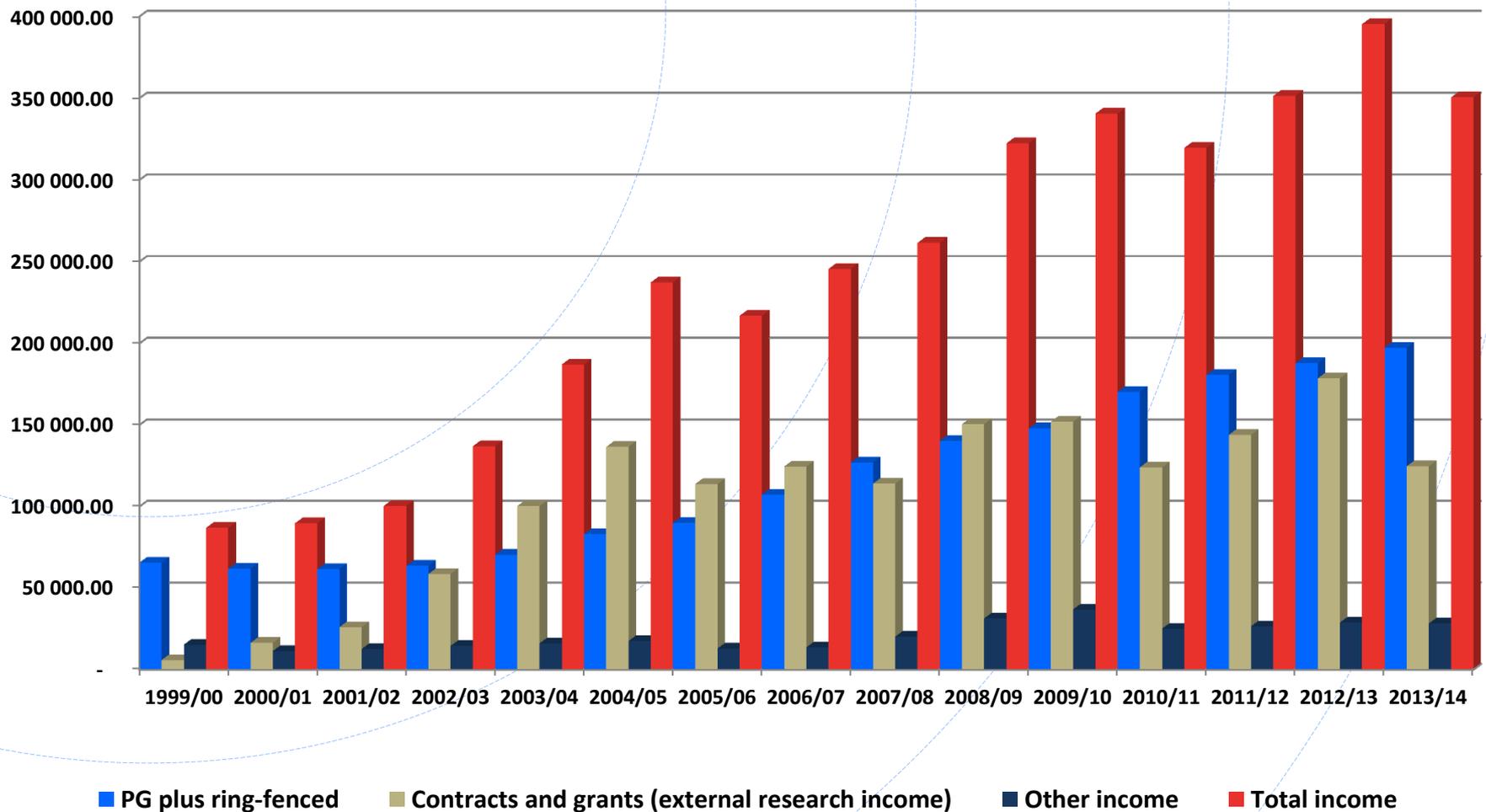


Financial Performance

Expenses Budget/Actual



Income generation over the years



Sources of Research Revenue 2013/2014

Funding Source	Rand Value	Percentage
Government Departments and Entities	-69,033,000.00	55%
International Funding	-50,693,640.95	41%
Private Sector	-3,021,999.88	2%
Secondment Income	-2,008,000.00	2%
TOTAL	-124,756,640.83	100%

Reflections

A group of diverse students, including young men and women of various ethnicities, are smiling and looking towards the camera. They are holding books and folders, suggesting a school or educational setting. The word "Reflections" is written in a bold, light green font and is enclosed within a blue dashed circular border in the upper left corner of the image.

Conclusion

- HSRC is a high performing and well governed institution, the Board chair management worked very well together;
- Continued to achieve the overwhelming majority of targets;
- Good absorptive capacity and managed finances very well;
- Continued to become more of a global player in knowledge production and dissemination.



Appreciation

- Portfolio Committee of S&T, chair and members
- Minister, Deputy Minister and Department of Science and Technology
- Board chair, members, committees
- Staff of the HSRC

