

**National Department of Human Settlements**

**ANNUAL PERFORMANCE PLAN  
2016-2017**

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**FOREWORD BY THE MINISTER**



**L N SISULU, MP**

**MINISTER FOR HUMAN SETTLEMENTS**

The current term marks a significant moment in our commitment to accelerate the provision of integrated and sustainable human settlements. While we note the remarkable progress made over the past years, we are also conscious of the challenges and the limitations we have to contend with in achieving our goal of service delivery in human settlements context. The 2015- 2020 Strategic Plan of the department of Human Settlements responds to housing challenges in a different way and fundamentally informs a new paradigm in the provision of integrated and sustainable human settlements. We are therefore committed to the National Development Plan’s 2030 vision of transforming human settlements and the spatial economy to create more functionally integrated, balanced and vibrant urban settlements. The 2016/20117 Annual Performance Plan articulates our acknowledgment that we cannot discharge our responsibilities towards the citizens of South Africa in the same manner as we have done previously. As such, strategies and programmes articulated in this plan aim to reinforce the goal to create social and economic livelihoods through decent housing that affords citizens to build family and community life in a holistic and inclusive sense. Evidence suggests that there are widespread conditions of insecure tenure in our towns and cities impacting on the urban poor. We will turn this around because when the urban poor have access to secure tenure, they will invest in the improvement of their homes and neighbourhoods. Further*,* the Department is still committed to eradicate informal settlements, formalize hostels through the provision of basic infrastructure for our people. The main objective is to ensure that we provide quality and sustainable housing for our citizens.

To ensure that human settlements are a major driver in developing South African towns and cities, the following key programmes will be implemented:

* Upgrading of informal settlements
* Social and Rental Housing
* Mixed use human settlement projects, designed to have a catalytic effect on spatial, social and economic integration
* Fully subsidised housing units
* Finance Linked Subsidy
* Revitalisation of inner cities and other programmes that will ensure that our citizens have access to adequate housing.

We continue to take lead in the creation of sustainable human settlements and we mobilise the participation of other stakeholders in order to make significant and measurable changes. As we strive to ensure that all South Africans are housed in quality living environments, we commit to implement programmes that will ensure the security of tenure and improved functioning of the residential property market. I am pleased to table an Annual Performance Plan for 2016/2017 to Parliament and the people of the Republic of South Africa, in accordance to the relevant legislation and National Treasury Rules.

**L N SISULU, MP**

**MINISTER FOR HUMAN SETTLEMENTS**

**DATE:**

**Official Sign Off**

It is hereby certified that the departmental Annual Performance Plan 2016 / 2017 and for the outer years (2018- 2019):

* Was reviewed by the Management of the Department for Human Settlements under the guidance of the Honourable Minister L N Sisulu.
* Takes into account all the relevant policies, legislation, the medium term strategic framework as well Treasury Regulation 6.2.1 and other mandates for which the Department of Human Settlements is responsible for.
* Accurately reflect the strategic outcome oriented goals and performance targets which the Department of Human Settlements will endeavour to achieve given the resources made available in the budget for the period of 2016/19.

Funani Mahlatsi Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Chief Financial Officer

Neville Chainee Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Chief of Operations

Mbulelo Tshangana Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Director-General

Lindiwe Sisulu Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Executive Authority

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**Acronyms**

ABSA: Amalgamated Banks of South Africa

AGSA: Auditor-General of South Africa

BAS: Basic Accounting System

BEPP: Built Environment Performance Plan

BNG: Breaking New Grounds

CCT: City of Cape Town

CD: Chief Director

### CODHI: Community Driven Housing Initiative

CRU: Community Residential Units

CSOS: Community Schemes Ombud Service

DDG: Deputy Director-General

DFI: Development Finance Institution

DoHS: Department of Human Settlements

DHS: Department of Human Settlements

DPSA: Department of Public Service and Administration

EAAB: Estate Agency Affairs Board

ENE: Estimated National Expenditure

FLISP: Finance Linked Individual Subsidy Programme

GDP: Gross Domestic Product

HAD: The Housing Development Agency

HLA: Housing Land Availability

HR: Human Resource

HSDG: Human Settlements Development Grant

HS: Human Settlements

HSS: Housing Subsidy System

ICT: Information and Communications Technology

IGR: Inter-Governmental Relations

IMS: Information Management System

IT: Information Technology

ITIS: Internet Technologies and Information Systems

MeC: Member of the Executive Council

MIS: Management of Information Systems

MOU: Memorandum of Understanding

MP: Member of Parliament

MPAT: Management Performance Assessment Tool

MSP: Master Spatial Plan

MTEF: Medium Term Expenditure Framework

MTSF: Medium Term Strategic Framework

NHBRC: National Home Builders Registration Council

NHFC: National Housing Finance Corporation

NURCHA: National Urban Reconstruction and Housing Agency

PAIA: Promotion of Access to Information Act

PERSAL: Personal and Salary System

PFMA: Public Finance Management Act

PICC: Presidential Infrastructure Coordination Commission

PHP: People’s Housing Process

POA: Programme of Action

PRTs: Professional Resource Teams

PSCBC: Public Service Coordinating Bargaining Council

PSETA: Public Service Sector Education and Training Authority

RHLF: Rural Housing Loan Fund

SA: South Africa

SDF: Spatial Development Framework

SHRA: Social Housing Regulatory Authority

SIPs: Strategic Integrated Projects

SOP: Standard Operating Procedure

STATSSA: Statistics South Africa

UISP: Upgrading of Informal Settlements Programme

USDG: Urban Settlements Development Grant

WSP: Workplace Skills Plan

**PART A - STRATEGIC OVERVIEW**

**Vision**

A nation housed in sustainable Human Settlements.

**Mission**

To facilitate the creation of Sustainable Human Settlements and Improved Quality of Household Life.

**Values**

The core values guiding and regulating the department are based on the Constitution of the Republic of South Africa and supporting legislation and includes:

* Accountability
* Fairness and Equity
* Choice, Quality and Affordability
* Sustainability
* Innovation
* Batho Pele Principles

**Medium Term Strategic Framework Sub-Outcomes**

* Providing Adequate housing and improved quality living environments
* Transforming the residential property market
* Enhancing institutional capabilities for effective coordination of spatial investment decisions

The strategic goals of the department have since been revised and are responsive to the long term goals and objectives of the Human Settlements Strategic Agenda. The revised Strategic goals and Objectives of the Department are as follows:

**DEPARTMENTAL PROGRAMMES IN LINE WITH THE BUDGET STRUCTURE**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Programme** | **Purpose** | **Strategic Goal**  **(High level impact)** | **Strategic Objectives**  **(High Level Output)** |
| 1. | Administration | Provide strategic leadership and administrative support services to the department | Enhanced efficiency and effectiveness of the department | * Promote efficient and effective administrative processes * A capacitated and diverse workforce in a conducive working environment * Effective and efficient legal support * Promote internal and external communication on human settlements * The ICT infrastructure is reliable, secure and available |
| 2. | Policy, Strategy and Planning | Manage the development of, and compliance with, human settlements sector delivery and intergovernmental relations frameworks, and oversee integrated human settlements strategic and planning services. | Integrated and responsive human settlements sector planning and policy environment | * Development of human settlements policies and programmes for improved alignment |
| * Establishment and strengthening of partnerships for human settlements development |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Programme** | **Purpose** | **Strategic Goal**  **(High level impact)** | **Strategic Objectives**  **(High Level Output)** |
| 3. | Programme Monitoring and Delivery Support | * The purpose of this programme is to support the execution, and monitor and evaluate the implementation, of human settlements programmes and projects. * Manage the building of capacity and skills in the sector and provide oversight of public entities. | Increased delivery of adequate housing in quality living environments | * Provide implementation support on the delivery of adequate housing |
|  |  |  |  | * Enhanced sector monitoring and evaluation |
| * Improved support and capacity for the human settlements sector |
| 4. | Housing Development Finance | Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector. | Efficient and effective utilization of human settlements grants and monitoring lending patterns by financial institution |

**PART A: STRATEGIC OVERVIEW**

1. **Updated Situational Analysis**

South Africa reached a tipping point in the early 1990’s when just over 50 percent of the total population resided in urban areas. Throughout, there has been a steady pace towards greater urbanization and today 63 percent of South Africans live in urban areas, (20 Year Review: 1994-2014). Even though government provided just about 2, 8 million housing opportunities through subsidy assistance to poor households, inadequate housing remains as one of the main problems facing the country. This is exacerbated by the relentless trends towards urbanisation that in most cases lead to the proliferation of informal settlements, social exclusion and the inability of municipalities to provide basic infrastructure to the urban poor households. Access for the poor to urban land and housing is one of the challenges in the country. Urban management trends continue to reinforce fragmentation and marginalization through the growth of peripheral formal and informal settlements, driven by migration, poor land use management and lack of income.

The main challenge in South Africa is still the spatial exclusion of low-income families from the main socio-economic facilities of cities and regions. Locations close to employment areas, opportunities and services are not accessible to all people because of issues such as high land costs, limited availability of space, limits to planning and financial instruments, and lack of infrastructure in appropriate places. Notwithstanding the efforts to transform South Africa’s urban areas, major challenges regarding the sustainability of human settlements development continue to exist. The country’s human settlements patterns remain dysfunctional across the country, the housing market is fractured with inequitable access to its workings and benefits, and there is an on-going property affordability problem across various housing-markets. The rapid urbanization rate resulting in the proliferation of informal settlements around urban centres exacerbates the need for housing.

The 2013 General Household Survey shows that 13.6 per cent of all dwellings are informal. This is lower than the 15.7 per cent of 2005; but at the current rate of delivery, the eradication of informal settlements, originally anticipated for 2014, is likely to be achieved only in 2030. Causes of this include the rise in property prices between 2000 and 2007, which made housing unaffordable for many low-income families; and supply-side constraints such as access to suitable land, finance and basic services infrastructure. Government’s comprehensive plan for the development of sustainable human settlements has shifted the state’s focus from delivering commoditised housing to supporting the entire property market and ensuring that housing is delivered in sustainable and habitable settlements. Underpinned by policy that responds to demand and to the needs of poor households, sustainable human settlements can be achieved through integrated planning and good governance that supports optimal land use and stimulates private investment

While the departments’ focus is at accelerating the delivery of adequate housing in quality living environments, the ability to meet the housing challenge remains a challenge. Addressing this challenge requires extensive attention to the credit markets and the reality of over-indebtedness. Given the state’s constraints and the recent budget cuts on the Human Settlements Grant and the Urban Settlement Development Grant, delivering at scale will be a challenge. As part of accelerating delivery, over the MTEF the department will focus on: Given the provincial decline in the human settlements budget over the MTEF the Department cannot sustain the current housing provision model. A new, alternative and more cost effective model is needed to meet demand provincially and nationally. The National Department of Human Settlements (NDoHS) has identified expanding social and rental housing opportunities as an alternative solution to the housing problem:

1. Scaling up of the informal settlement-upgrading programme
2. Thetransfer of all title deeds for subsidy units
3. Locating new human settlement developments closer to major transport nodes and corridors, economic opportunities and social amenities by implementing Catalytic Projects together with the private sector
4. Increasing the development of housing in the gap market by developing partnerships with the private sector and encouraging greater investment in social housing projects and integrated housing and mixed used developments
5. Deal with the affordable market and strengthen partnerships with the private sector to improve delivery.
6. Strengthening coordination to ensure sustainable integrated human settlements. Although this is a radical strategy to deal with the housing problem and also to drive local economy by creating jobs, unblocking the property market requires much more than the government effort. The current challenging economic and financial situations require government in partnership with the private sector to lay a stronger foundation for growth and stability for a functional residential property market. This includes the use of existing instruments to accommodate citizens particularly those that are in the gap market and currently not sufficiently catered for by government subsidies or mortgage financing. The informal settlements upgrading programme is proving to be a viable instrument in dealing with the rapid growth of urbanization.

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5. Deal with the affordable market and strengthen partnerships with the private sector to improve delivery.
6. Strengthening coordination to ensure sustainable integrated human settlements. The identified priorities will be implemented in collaboration with provinces, municipalities, entities and private sector.
   1. **Performance Delivery Environment**

The dawn of democracy in 1994 created a new dispensation in which access to basic services such as housing, water and sanitation was recognized as a fundamental human right. South Africa inherited high levels of poverty and it continues to be confronted with unequal and often inadequate access to resources, infrastructure and social services. The Bill of Rights enshrined the right to basic services and commanded that the state must take reasonable measures to achieve the progressive realisation of these rights.

The characteristics of the dwellings in which households live and their access to various services and facilities provide an important indication of the well-being of household members. It is widely recognised that shelter satisfies a basic human need for physical security and comfort. According to the 2014: General Household Survey, the percentage of households that fully owned the dwellings they inhabited increased slightly from 52,9% in 2002 to 61,4% in 2008, before declining to 55,3% in 2014. This increase was accompanied by a decrease of about five percentage points for households that partially owned their houses, and a slight increase in the percentage of households that rented accommodation. Households that maintained ‘other’ tenure arrangements increased from 11, 7% in 2002 to 12,4% in 2014.

In 2014, more than three-quarters (79, 4%) of South African households lived in formal dwellings, followed by 12, 9% who lived in informal dwellings, and 6, 8% in traditional dwellings. The highest concentration of households in Limpopo (93, 6%) lived in formal dwellings, followed by the households in Mpumalanga (88, 1%). Although the highest concentrations of informal dwellings were found in North West (21%) and Gauteng (19, 2%), it is worth noting though that the majority of households in these two provinces lived in formal dwellings: with 78, 9% of Gauteng households living in formal dwellings and 78% of North West living in formal households. More than one-fourth of households (27, 7%) in Eastern Cape resided in traditional dwellings compared to 17% of households in KwaZulu-Natal.

The department’s delivery environment is in the main influenced by the increase in urbanisation resulting in the increase demand for housing. Even though South Africa has progressively accommodated an additional 4.1 million households in formal dwellings, an upward growth from 74.4% in 2003 to 79.4% in 2014, demand for housing continue to exist (Development Indicators Presidency: 2014).

Households are also experiencing challenges in spending patterns and recurring low savings. The low savings combined with consumers’ credit-risk profiles contribute to the inability to access credit particularly for mortgages. This offers evidence of vulnerability of low income earners to access credit particularly mortgage loans which is the main form of funding for housing development. With the increase of the repo rate by 0.25 basis points in November 2015, the prime interest rate by commercial banks increased to 9.75% (SA Reserve Bank, November 2015). The increase in interest rate has had a severe impact on disposable income for individuals with mortgages and other forms of credit exposure. The report by International Monetary Fund (IMF) indicates that in 2014, the South African economy was estimated to have grown by just 1.4%, after expanding by 1.9% in 2013, 2.5% in 2012, 3.6% in 2011, and 3.1% in 2010.

Even though South Africa has had the second biggest economy in the continent over the past ten years, the country’s GDP growth is still lagging behind. This has resulted to increasing dependency from government as 68,8% households in rural areas and 30,9% of residents in urban areas are living in poverty. Only 30% of South Africans are able to afford a house of more than R500 000 as house prices, inflation, and income have affected the affordability levels. Low-income earners have found it increasingly difficult to enter the property market because of stringent lending regulations and declining levels of disposable income arising from increasing interest rates.

Supply constraints continue to bedevil both the subsidy and affordable housing market segments. The current offering is neither sustainable (fiscal affordability), nor has the required critical mass been achieved, with the result that housing backlogs within both the subsidy and affordable market segments have almost doubled since 1994 and the number of informal settlements have increased. The Department’s approach to housing arises from two perspectives. On the one hand, the department seeks to address the housing crisis directly through the scale delivery of subsidised housing for low income households. On the other hand, government seeks to create an environment conducive for the operations of the subsidised housing market within the larger non-the economy. With the resources at the disposal of government and of the Department, dealing with housing demand could take longer.

**The table below sets out housing delivery through the national housing programme since** **2009**

| **Year** | **Serviced Sites Completed** | **Houses/Units Completed** | **Total Housing Opportunities** |
| --- | --- | --- | --- |
| **2009/10** | 64,362 | 161,854 | 226,216 |
| **2010/11** | 63,546 | 121,879 | 185,425 |
| **2011/12** | 58,587 | 120,610 | 179,197 |
| **2012/13** | 45,698 | 115,079 | 160,777 |
| **2013/14** | 48,193 | 105,936 | 154,129 |
|  |  |  |  |
| **TOTAL** | **280,386** | **625,358** | **905,744** |

* 1. **Organisational Environment**

The Department provides leadership in the fulfilment of the Constitutional Mandate ofproviding access to adequate housing to all. The Department has revised its strategic goals and objectives and aligned them to the long term goal of achieving the sustainable human settlements. The strategic goals and objectives have the five year targets.

To accelerate delivery and to address capacity related challenges, the department is currently reviewing its organisational structure. The review of the structure has been informed by the departmental strategy of ensuring that good corporate governance is achieved, responsive policies and programmes are developed and there is increased support for better delivery. The realignment of the structure has also been informed by the identified need to transform the residential property market and strengthening the monitoring systems. Even though stringent measures have been applied in the allocation of compensation budget, the department will base its realignment of the structure with the available resources.

**DEPARTMENTAL ORGANISATIONAL STRUCTURE**



1. **Revision of Legislative and other mandates**

The mandate and core business of the Department of Human Settlements is underpinned by the Constitution and all other relevant legislation and policies applicable to the department. In addressing the mandate for integrated sustainable human settlements, the review of policies particularly the development of the White Paper for Human Settlements and the revision of the Housing Act to Human Settlements Act will enhance the departments ‘efforts in the provision of adequate housing by:

* Providing a framework for the realisation of sustainable human settlements and improved quality of household life.
* Providing a foundation for the establishment of a viable, socially and economically integrated communities that are located in areas allowing convenient access to economic opportunities as well as health, educational and social amenities

2.1 **Policies**

The mandate of the Department (DoHS) is set out in the Housing Act. Section 2 of the Housing Act compels all three spheres of government to give priority to the needs of the poor in respect of housing development. In addition, all three spheres of government must ensure that housing development:

a) Provides as wide a choice of housing and tenure options as is reasonably possible;

b) Is economically, fiscally, socially and financially affordable and sustainable;

c) Is based on integrated development planning; and

d) Is administered in a transparent, accountable and equitable manner, and upholds the practice of good governance. Section 2(1) (c)

The legislative mandate of the Department remains the same except for the inclusion of the following:

* Estate Agency Affairs Act, 1976

Following a Presidential Proclamation, the Department took over the oversights function of the Estate Agency Affairs Act, 1976 from the Department of Trade and Industry during May 2012. The objectives of the Act are to:

* Establish the Estate Agency Affairs Board (EAAB) to regulate the conduct of estate agents;
* Establish the Estate Agents Fidelity Fund;
* Monitor trends within the real estate industry; and
* Render education and training to estate agents and consumers

2.2 **Policy Mandates**

Other specific constitutional, legislative and policy mandates of the Department including government policy frameworks are:

* Constitution of the Republic of South Africa
* Housing Act, 1997 (Act No 107 of 1997)
* Comprehensive Plan for the Creation of Sustainable Human Settlements (BNG)
* The Housing Consumer Protection Measures Act, 1998 (Act No 19 of 1998)
* The Housing Development Agency Act, 2008 (Act No 23 of 2008)
* Public Finance Management Act, 1999 (Act No 1 of 1999-as amended by Act No 29)
* The Social Housing Act, 2008 (Act No 16 of 2008)
* The Division of Revenue Act, 2011 (Act No 6 of 2011)
* The Rental Housing Act, 1999 (Act No 50 of 1999)
* Home Loan and Mortgage Disclosure Act, 2000 (Act No 63 of 2000)
* Inclusionary Housing Bill
* Sectional Titles Schemes Management Act (Act 8 of 2011)
* Community Schemes Ombud Service Act (Act 9 of 2011)
* Intergovernmental Relations Framework (Act No 13 of 2005)
* National Development Plan (NDP)
* Spatial Planning and Land Use Management Act, 2013 (Act No 16 of 2013)
  1. **Relevant Court Rulings**

There are court rulings affecting the operations of the human settlements sector, though they are not impacting directly to the national department but they affect delivery and the achievement of targets. These cases are but not limited to the following;

* Government of the republic of South Africa v Irene Grootboom & Others: CCT 11/00. (Right of access to adequate housing)
* Thubelitsha Homes, Minister of Housing and Minister of Local Government &Housing, Western Cape v Various Occupants.
* HLA 8/3/2/109 - 2014 CASE NO. 2011/19 The EMM had brought a joinder application in the Constitutional Court seeking to join the Department in the proceedings instituted by Bapsfontein Community
* The City of Cape Town and Firstrand Bank Limited: CCT 22/08 (eviction of 20, 000 residents of Joe Slovo informal settlement) Bio-watch Trust v registrar Genetic resources & others: CCT 80/08 (Promotion of access to information Act) Dingaan Hendrik nyathi v MeC for the Department of Health, Gauteng, and Minister of Justice & Constitutional Development: CCT 19/07.
  1. **Planned policy initiatives over the long term:**
* Development of the White Paper on Human Settlements
* The review of the Housing Act into Human Settlements legislation
* The review of the Housing Code into Human Settlements Code

1. **OVERVIEW OF THE 2016/17 BUDGET & MTEF ESTIMATES**
   1. **Expenditure Estimates**

Overview of 2015/16 Budget and Expenditure Estimates (MTEF)

| **Programmes**  **R`000** | **Audited  outcome** | | | **Adjusted**  **Appropriation** | **Medium Term Expenditure estimates** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | | **2018/19** |
| Administration | 285678 | 280 399 | 433 080 | 420 616 | 442 274 | 469 920 | 467 322 | |
| Human Settlements Policy, Strategy and Planning | 63200 | 73 327 | 78 703 | 73 538 | 83 063 | 88 298 | 86 951 | |
| Human Settlements Delivery Support | 128486 | 98 745 | 133 712 | 185 600 | 224 582 | 208 339 | 199 921 | |
| Housing Development Finance | 23719519 | 26 990 843 | 28 712 737 | 29 863 627 | 29 940 937 | 33 799 769 | 35 865 833 | |
| **Total** | **24 196 883** | **27 443 314** | **29 358 232** | **30 543 381** | **30 690 856** | **34 566 326** | **36 620 027** | |

| **Economic classification**  **R`000** | **Audited  outcome** | | | **Adjusted**  **Appropriation** | **Medium Term Expenditure estimates** | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| ***Current payments*** | ***473 297*** | ***454 542*** | **605 798** | **605 798** | ***758 924*** | ***776 371*** | ***763 034*** |
| *Compensation of employees* | *222 882* | *240 226* | *290 856* | *290 856* | 383 491 | 387 519 | 381 217 |
| *Goods and services* | *250 415* | *214 280* | *314 918* | *314 918* | 375 433 | 388 852 | 381 817 |
| *Interest and rent on land* | *-* | *36* | *24* | *4* | - | - | - |
| ***Transfers and subsidies*** | **23 566 909** | **26 879 746** | **28 507 685** | **29 692 705** | ***29 826 466*** | ***33 634 215*** | ***35 692 263*** |
| *Provinces and municipalities* | *22 787 238* | *26 105 232* | *27 669 053* | *28 957 020* | 29 123 459 | 32 532 590 | 34 333 980 |
| *Departmental agencies and accounts* | *760 833* | *764 648* | *798 100* | *724 507* | 692 395 | 1 090 485 | 1 346 496 |
| *Higher education institutions* | *3 090* | *3 949* | *4 499* | *-* | - | - | - |
| *Foreign governments and international organisations* | *1 279* | *1 075* | *1 269* | *1 150* | 1 211 | 1 272 | 1 346 |
| *Public corporations and private enterprises* |  |  | *4 000* |  | - | - | - |
| *Non-profit institutions* | *400* | *-* |  |  | - | - | - |
| *Households* | *14 069* | *4 842* | *30 764* | *10 028* | 9 401 | 9 868 | 10 441 |
| **Payments for capital assets** | **4 616** | **8 870** | **14 717** | **14 826** | **5 466** | **5 740** | **6 030** |
| *Buildings and other fixed structures* | *-* | *-* |  |  | - | - | - |
| *Machinery and equipment* | *4 586* | *8 173* | *13 310* | *14 526* | 5 197 | 5 458 | 5 732 |
| *Software and other intangible assets* | *30* | *697* | *1 407* | *55* | 269 | 282 | 298 |
| **Payments for financial assets** | **152 061** | **100 155** | **230 123** | **161 094** | **100 000** | **150 000** | **158 700** |
| **Total** | **24 196 883** | **27 443 314** | **29 358 232** | **30 543 381** | **30 690 856** | **34 566 326** | **36 620 027** |

3.2 **Relating expenditure trends to strategic outcome oriented goals**

Over the MTEF period, the Department of Human Settlements will take progressive steps towards achieving the national development plan’s vision of transforming human settlements and the spatial economy to create more functionally integrated, balanced and vibrant urban settlements. Even though there has been a budget cut that could impact negatively in the realization of the 2014-2019 medium term strategic framework’s objectives, the department will upscale delivery through the use of alternative technologies where possible, partner with private sector and support provinces and municipalities in packaging projects. While the department transfers grants to municipalities and provinces, the department is also ensuring that there is capacity in place to monitor grants performance. The Consolidation of the DFI’s is expected to yield positive results in the realisation of the strategic objectives and the strategic outcome oriented goals. The department’s goal is to deliver 1.495 million housing opportunities by 2019 as set out in the 2014-2019 Medium Term Strategic Framework. Over the medium term, the department will work towards the 2019 target largely by developing mixed use human settlement projects, designed to have a catalytic effect on spatial, social and economic integration. The housing opportunities are implemented by provinces, metropolitan municipalities and public entities. These implementing agents are funded by contributions from the department in the form of transfers. The transfers are in the *Housing* *Development Finance* programme, and will increase at an average annual rate of 6.3 per cent to R35.9 billion by 2018/19. Significant private investment is expected for catalytic projects in the form of rental and affordable housing, and economic infrastructure, such as shopping centres and other commercial developments.

**Part B: Programme and Subprogramme plans**

**PROGRAMME 1: ADMINISTRATION**

**Programme Purpose: Provide strategic leadership, management and support services to the department.**

4.1 **Strategic objective annual targets for 2016/17**

and

4.2 **Programme performance indicators and annual targets for 2016/17**

**Sub-Programmes: Executive Support, Internal Audit, Risk Management and Special Investigations, Financial Management and Enterprise Architecture**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/16**  **(Target)** | **Medium Term Target** | | |
| **2012/13**  **Baseline** | **2013/14**  **Baseline** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| To Promote efficient and effective administrative processes | Percentage compliance with statutory tabling and prescripts | 100 % compliance with statutory tabling and prescripts | New Target | New Target | New Target | 90% compliance with statutory tabling and prescripts | 100 % compliance with statutory tabling and prescripts | 100 % compliance with statutory tabling and prescripts | 100 % compliance with statutory tabling and prescripts |
| Unqualified audit report | Unqualified audit report | New Target | New Target | New Target | New Target | Unqualified audit report 2015/16 | Unqualified audit report | Unqualified audit report |
| Percentage adherence to Cabinet and Cluster schedule as per approved protocol | 100 % adherence to Cabinet and Cluster schedule as per approved protocol | New Target | New Target | New Target | New Target | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/16**  **(Target)** | **Medium Term Target** | | |
| **2012/13**  **Baseline** | **2013/14**  **Baseline** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
|  | Percentage implementation of the approved audit plans | 100% implementation of the approved audit plans | Approved Internal audit plan | Approved Internal audit plan | Approved Internal audit plan | 100% implementation of the approved internal audit plan | 100% implementa-tion of the approved internal audit plan | 100% implementa-tion of the approved internal audit plan | 100% implementa-tion of the approved internal audit plan |
|  | Percentage Implementation of the approved risk management plan | 100% implementation of the approved risk management plan | Risk Management plan, approved and implemented | Risk Management plan, approved and implemented | Risk Management plan, approved and implemented | 100% implementa-tion of the approved risk management plan | 100% implementa-tion of the approved risk management plan | 100% implementa-tion of the approved risk management plan | 100% implementa-tion of the approved risk management plan |
|  | Percentage implementation of approved Departmental anti-fraud and corruption | 100% implementation of the approved Departmental anti-fraud and corruption | Anti-Fraud and Corruption Strategy not approved | Approved and Implemented Anti-Fraud and Corruption Strategy | Approved Departmen-tal anti-fraud and corruption plan implemented | 100% implementa-tion of the approved Departmental anti-fraud and corruption | 100% implementa-tion of approved Departmental anti-fraud and corruption | 100% implementa-tion of approved Departmental anti-fraud and corruption | 100% implementa-tion of approved Departmental anti-fraud and corruption |

**Sub-Programme: Corporate Support**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited / Actual Performance** | | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | |  | **2016/17Target** | **2017/18 Target** | **2018/19Target** |
| To Promote efficient and effective administrative processes | % of PAIA information requests processed | 100% of PAIA information requests processed | New Target | New Target | | New Target | New Target | 100% PAIA information requests processed | 100% PAIA information requests processed | 100%  PAIA information requests processed |

**Sub-Programme: Human Resources**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited / Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| A capacitated and diverse workforce in a conducive working environment | Percentage compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements | 4 Reports on coordinated Human Resource services | 4 Reports on coordinated Human Resource admin services submitted | 4 Reports on Human Resource admin submitted | Draft Human Resource Plan | 100% compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements |
| Percentage implementation of HR Plan | 100% Percentage implementation of HR Plan | New Target | New Target | New Target | New Target | 100% Implementation of the HR Plan | 100% Implementation of the HR Plan | 100% Implementation of the HR Plan |

**Sub-Programme: Legal Services**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited / Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17 Target** | **2017/18 Target** | **2018/19 Target** |
| Effective and efficient legal support | Percentage of litigation matters received and attended to | 100% of litigation matters received and attended to | 4 Reports on litigation and legal advisory provided | 4 Reports on litigation and legal advisory provided | 4 Reports on litigation and legal advisory provided | 4 Reports on litigation and legal advisory provided | 100 % of` litigation matters received and attended to | 100 % of litigation matters received and attended to | 100 % of litigation matters received and attended to |
| Percentage of contracts processed within reasonable time frame | 100% of contracts processed within reasonable time frame | New Target | New Target | New Target | New Target | 100% of contracts processed within reasonable time frame | 100% of contracts processed within reasonable time frame | 100% of contracts processed within reasonable time frame |
|  | Number of reports on developed legislative framework as per approved Legislative programme | Human Settlements legislative framework developed | Developed legislative Framework | 4 reports on legislative frameworks developed | 4 reports on legislative frameworks developed | 4 reports on development of legislative framework as per approved legislative programme | 4 reports on developed legislative framework as per approved Legislative Programme | 4 reports on developed legislative framework as per approved Legislative Programme | 4 reports on developed legislative framework as per approved Legislative Programme |

**Sub-Programme: IMS and IT**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited / Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17 Target** | **2017/18 Target** | **2018/19 Target** |
| The ICT infrastructure is reliable, secure and available | Percentage availability of the HSS Database Systems to provinces | 90% availability of the HSS Database Systems to provinces - **MIS** | New | New | New | New Target | 90 percent availability of HSS Database Systems to provinces | 90 percent availability of HSS Database Systems to provinces | 90 percent availability of HSS Database Systems to provinces |
| Percentage availability of IT Services | 90% IT Services availability for DHS - **ITIS** | New | New | New | New Target | 90% availability of IT Services | 90% availability of IT Services | 90% availability of IT |

**Sub-Programme: Communications**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited / Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17 Target** | **2017/18 Target** | **2018/19 Target** |
| Promote internal and external communication on human settlements | Percentage implementation of the approved communication strategy | 100 percent implementation of the approved communication strategy | 4 reports on the implementation of corporate communication, media services and public information and marketing strategy | Corporate communication, media services and public information and marketing plans approved and implemented | 4 reports on the implementation of corporate communication, media services and public information and marketing strategy | Communication strategy for the understanding of MTSF implemented | 100 %implementation of the approved communication strategy | 100 % implementation of the approved communication strategy | 100 % implementation of the approved communication strategy |
| Number of consumers reached through various communication platforms | 2 million consumers reached through various communication platforms | 4 reports on the implementation of corporate communication, media services and public information and marketing strategy | Corporate communication, media services and public information and marketing plans approved and implemented | 4 reports on the implementation of corporate communication, media services and public information and marketing strategy | Various communication platforms utilized to communicate the HS Strategic thrust | 500 000 consumers reached through various communication platforms | 500 000 consumers reached through various communication platforms | 800 000 consumers reached through various communication platforms |

**PROGRAMME 1: ADMINISTRATION**

**Strategic Objective:** To Promote efficient and effective administrative processes

4.3 **Quarterly Performance Targets for 2016/2017**

**Sub-Programmes: Executive Support, Internal Audit, Risk Management and Special Investigations, Financial Management and Enterprise Architecture**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Percentage compliance with statutory tabling and prescripts | Quarterly | 100% compliance with statutory tabling and prescripts | 100% compliance with statutory tabling and prescripts | 100% compliance with statutory tabling and prescripts | 100% compliance with statutory tabling and prescripts | 100% compliance with statutory tabling and prescripts |
| Unqualified audit report | Unqualified audit report | Submission of financial statements and performance information on predetermined objectives for 2015/16 to AGSA. | Unqualified Audit report | Implementation of Auditor-General’s recommendations | Implementation of Auditor-General’s recommendations |
| Development of audit action plan to address audit recommendations |
| % adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol | 100% adherence to Cabinet and Cluster schedule as per approved protocol |
| % implementation of the approved audit plans | 100% implementation of the approved internal audit plan | 25% implementation of the approved internal audit plan | 50% implementation of the approved internal audit plan | 75% implementation of the approved internal audit plan | 100% implementation of the approved internal audit plan |
| Implementation of the approved risk management plan | Quarterly | 100% Implementation of the approved risk management plan | 25% implementation of the approved risk management plan | 50% implementation of the approved risk management plan | 75% implementation of the approved risk management plan | 100% implementation of the approved risk management plan |

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Percentage implementation of approved Departmental anti-fraud and corruption | Quarterly | 100% implementation of the approved Departmental anti-fraud and corruption plan | 25% implementation of the approved Departmental anti-fraud and corruption plan | 50% implementation of the approved Departmental anti-fraud and corruption plan | 75% implementation of the approved Departmental anti-fraud and corruption plan | 100% implementation of the approved Departmental anti-fraud and corruption plan |

**Sub-Programme: Corporate Support**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| % of PAIA information requests processed | Quarterly | 100% PAIA information requests processed | 100% PAIA information requests processed  S32 report compiled and submitted to SAHRC | 100% PAIA information requests processed | 100% PAIA information requests processed.  S15 list submitted to DOJ&CD for publishing in government gazette | 100% public information access requests processed |

**Strategic Objective:** A capacitated and diverse workforce in a conducive working environment

**Sub-Programme: Human Resources**

| **Performance Indicators** | **Annual Targets 2015/16** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| % compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements and HR plan | 100% compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements | 100% compliance to HR Statutory Requirements |
| % implementation of the HR Plan | 100% implementation of the HR Plan | 25% implementation of HR Plan | 50% implementation of HR Plan | 75% implementation of HR Plan | 100% implementation of HR Plan |

**Strategic Objective:** Effective and efficient legal support

**Sub-Programme: Legal Services**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Percentage of litigation matters received and attended to | Quarterly | 100% of litigation matters received and attended to | 100% of litigation matters received and attended to | 100% of litigation matters received and attended to | 100% of litigation matters received and attended to | 100% of litigation matters received and attended to |
| Percentage of contracts processed within reasonable time frames | Quarterly | 100% of contracts processed within reasonable time frames | 100 % of contracts processed within reasonable time frames | 100 % of contracts processed within reasonable time frames | 100 % of contracts processed within reasonable time frames | 100% of contracts processed within reasonable time frames |
| Number of reports on developed legislative framework as per approved Legislative programme | Quarterly | Number of reports on developed legislative framework as per approved Legislative programme | 1 report on developed legislative framework as per approved Legislative programme | 1 report on developed legislative framework as per approved Legislative programme | 1 report on developed legislative framework as per approved Legislative programme | 1 report on developed legislative framework as per approved Legislative programme |

**Strategic Objective:** The ICT infrastructure is reliable, secure and available

**Sub-Programme: MIS &IT**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Percentage availability of the HSS Database Systems to provinces | Quarterly | 90 % availability of HSS Database Systems to provinces | 90% availability of HSS Database Systems to provinces | 90% availability of HSS Database Systems to provinces | 90% availability of HSS Database Systems to provinces | 90% availability of HSS Database Systems to provinces |
| Percentage availability of IT Services | Quarterly | 90% availability of IT Services | 90% availability of IT Services | 90% availability of IT Services | 90% availability of IT Services | 90% availability of IT Services |

**Strategic Objective:** Promote internal and external communication on human settlements

**Sub-Programme: Communications**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| % implementation of the approved communication strategy | Quarterly | 100 % implementation of the approved communication strategy | 25 % implementation of the approved communication strategy | 50 % implementation of the approved communication strategy | 75 % implementation of the approved communication strategy | 100 % implementation of the approved communication strategy |
| Number of consumers reached through various communication platforms | Quarterly | 500 000 consumers reached through various communication platforms | 150 000 consumers reached through various communication platforms | 150 000 consumers reached through various communication platforms | 100 000 consumers reached through various communication platforms | 100 000 consumers reached through various communication platforms |

**Programme 2: Human Settlements Policy, Strategy and Planning**

**Programme Purpose**: **Manage the development of, and compliance with, human settlements sector delivery and intergovernmental**

**relations frameworks, and oversee integrated human settlements strategic and planning services.**

5.1 **Strategic objective annual targets for 2016/17**

and

5.2 **Programme performance indicators and annual targets for 2016/17**

**Sub-Programme: HS PLANNING**

| **Strategic Objective (High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** | |
| Development of human settlements policies and programmes for improved alignment | A Multiyear human settlements development plan Developed | An approved Multiyear human settlements development plan | New Target | New Target | New Target | Multiyear human settlements development plans aligned with other sectoral spheres of government to increase coordination and collaboration | A Multiyear human settlements development plan Developed | No Target | No Target | |

| **Strategic Objective (High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** | |
|  | An approved National Human Settlements Development Plan for Provinces | Approved National Human Settlements Development Plans for Provinces | | Approved National integrated Human Settlements Development Plan for Provinces (HSDG) | Approved National Human Settlements Development Plan for Provinces (HSDG) | Approved National Human Settlements Development Plan for Provinces (HSDG) | Approved National Human Settlements Development Plan for Provinces (HSDG) | An approved National Human Settlements Development Plan for Provinces | An approved National Human Settlements Development Plan for Provinces | An approved National Human Settlements Development Plan for Provinces | |
|  | Revised Guidelines for Human Settlements Planning and Design approved  (Red Book) | | Guidelines for Human Settlements Planning and Design approved  (Red Book) | Red book | MOU signed by DHS and DST | SLA between the National Department and SCIR was approved in Feb 2015 | Set of overarching principles and norms for human settlements (Red Book) updated | 1st draft of the revised Guidelines for Human Settlements Planning and Design  (Red Book) approved for consultation | Guidelines for Human Settlements Planning and Design approved  (Red Book) | No target | |
| Development of human settlements policies and programmes for improved alignment. | An approved Human Settlements Master Spatial Plan | | Human Settlements Master Spatial plan approved | New target | New target | New target | A draft Human Settlements Master Spatial plan | An approved Human Settlements Master Spatial Plan | No target | No Target | |
| Number of title deeds Backlog eradicated | | 900 000 title deeds backlog eradicated | New target | New target | 900 000 title deeds backlog | Scoping report to determine extent and funding methodology to eradicate backlog approved | 100 000 title deeds backlog eradicated | 400 000 title deeds backlog eradicated | 400 000 title deeds backlog eradicated | |

| **Strategic Objective (High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** | |
|  | Number of Hectares of well-located land acquired, rezoned, and released for new developments | | 10’000 hectares of well-located land  acquired, rezoned, and released for new developments | New target | New target | 2 635.1 hectares has been released, and rezoned | 10’000 hectares identified through sector departments | 2 455 hectares of well-located land acquired, rezoned, and released for new developments | 2 455 hectares of well-located land acquired, rezoned, and released for new developments | 2 455 hectares of well-located land acquired, rezoned, and released for new developments | |

**Sub-Programme: Operational Frameworks**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Development of human settlements policies and programmes for improved alignment | Approved Human Settlements White Paper | Approved Human Settlements White Paper | 1994 White Paper | 1994 White Paper | 1994 White Paper | Green Paper on Human Settlements approved | Draft White Paper for Human Settlements | Approved White Paper for Human Settlements | No target |
| Human settlements Code approved | Human Settlements Code approved | Housing Code | Housing Code | Housing Code | Draft framework for the development of human settlements code | A framework for human settlements code developed | A draft human settlements code developed | Human settlements Code approved |
| Revised Accreditation Framework | Revised Accreditation Framework | New Target | New Target | A capacity support programme for assignment and accreditation was developed in the form of the HS capacity grant as per the guideline and the framework | No Target | Revised Accreditation Framework | No Target | No Target |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Baseline** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
|  | Number of municipalities assessed for accreditation. | 21 municipalities assessed for accreditation. | 28 municipalities assessed | Assessments for the readiness to receive level3 accreditation were completed for the 6 metro municipalities. | A capacity support programme for assignment and accreditation was developed in the form of the HS capacity grant as per the guideline and the framework | 6 Municipalities assessed for accreditation | No Target | 10 municipalities assessed for accreditation. | 11 municipalities assessed for accreditation. |

**Sub-Programme: Stakeholder Relations and IGR**

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Base line** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Establishment and strengthening of partnerships for human settlements development | Number of Provinces and Metros supported on the implementation of PHP Policy | Nine Provinces and eight Metros supported on the implementa-tion of PHP Policy | Supported five (5) PHP’s to deliver 6 801 PHP units | Six (6) Provinces supported in the delivery of 6 292 PHP units | A report on support provided to Provinces to utilize Cooperatives and informal settlements upgrading through PHP | Consolidation of the institutional capacity for PHP and all Informal Settlement Upgrading support programmes completed | Five Provinces and four Metros supported on the implementa-tion of PHP Policy | Seven Provinces and six Metros supported on the implementa-tion of PHP Policy | Nine Provinces and eight Metros supported on the implementa-tion of PHP Policy |
| A Report on stakeholder participation to Human Settlements Development | Mobilisation of private sector investment on human settlements development | Implemented Sector Stakeholder Programmes. | Inter-sectorial and inter-sphere collabora-tion agreements signed and implemented | 4 reports on inter-sectoral, inter-sphere collaboration agreement signed and implemented | Progress report on the implementa-tion of Inter-sectoral collaboration agreements  . | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development |

**Programme 2: Human Settlements Policy, Strategy and Planning**

**Strategic Objectives: Development of human settlements policies and programmes for improved alignment**

5.3 **Quarterly Performance Targets for 2016/2017**

**Sub-Programme: HS Planning**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| A Multiyear human settlements development plan Developed | Quarterly | A Multiyear human settlements development plan Developed | Engagements with stakeholders on the development of Multiyear human Settlements Development Plan | First draft of the Multiyear human Settlements Development Plan completed. | Second draft of the Multiyear human Settlements Development Plan completed | A Multiyear human settlements development plan Developed |
| An approved National Human Settlements Development Plan for Provinces | Quarterly | An approved National Human Settlements Development Plan for Provinces | Engagements with stakeholders on development of National Human Settlements Development Plan | First draft of the National Human Settlements Development Plan completed. | Second draft of the National Human Settlements Development Plan | An approved National Human Settlements Development Plan for Provinces |
| Guidelines for Human Settlements Planning and Design approved  (Red Book) | Quarterly | 1st draft of the revised Guidelines for Human Settlements Planning and Design (Red Book) approved for consultation | No Target | Consultation on selected themes of the draft revised Guidelines for Human Settlements Planning and Design (Red Book) | Consultation on selected themes of the draft revised Guidelines for Human Settlements Planning and Design (Red Book) | 1st draft of the revised Guidelines for Human Settlements Planning and Design (Red Book) approved for consultation |
| An approved Human Settlements Master Spatial Plan | Quarterly | An approved Human Settlements Master Spatial Plan | No Target | Draft Human Settlements Master Spatial Plan | Second draft of Human Settlements Master Spatial Plan | Approved Human Settlements Master Spatial Plan |
| Number of title deeds backlog eradicated | Quarterly | 100 000 title deeds backlog eradicated | 25 000 title deeds backlog eradicated | 50 000 title deeds backlog eradicated | 75 000 title deeds backlog eradicated | 100 000 title deeds backlog eradicated |

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Number of Hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households | Quarterly | 2 455 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households | 614 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households | 1228 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households | 1842 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households | 2455 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households |

**Strategic Objective:** Responsive policies and programmes

**Sub-Programme: Operational Frameworks**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Q1** | **Q2** | **Q3** | **Q4** |
| Approved Human Settlements White Paper | Quarterly | A draft White Paper for Human Settlements | Consultations on the development of a Draft White Paper | Consultations on the development of a Draft White Paper | A draft White Paper submitted to Cabinet | A draft White Paper for Human Settlements |
| Human settlements Code approved | Quarterly | A framework for the HS code developed | Conduct research on the review of the existing programmes | Existing Programmes in the Housing Code reviewed | Existing Programmes in the Housing Code reviewed | A framework for the code developed |
| Revised Accreditation Framework | Quarterly | Revised Accreditation Framework | Consultation on Revised Accreditation Framework | Consultation on revised Accreditation Framework | Draft Accreditation Framework | A Revised Accreditation Framework |

**Strategic Objective:** Establishment and strengthening of partnerships for human settlements development

**Sub-Programme: Stakeholder and IGR Engagement**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Number of Provinces and Metros supported on the implementation of PHP Policy | Quarterly | Five Provinces and four Metros supported on the implementation of PHP Policy | Five Provinces and four Metros supported on the implementation of PHP Policy | Five Provinces and four Metros supported on the implementation of PHP Policy | Five Provinces and four Metros supported on the implementation of PHP Policy | Five Provinces and four Metros supported on the implementation of PHP Policy |
| A Report on stakeholder participation to Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development | Mobilisation and strengthening of stakeholder participation on Human Settlements Development |

**Programme 3: Programme Monitoring and Delivery Support**

* **Programme Purpose:** The purpose of this programme is to support the execution, and monitor and evaluate the implementation, of human settlements programmes and projects.
* Manage the building of capacity and skills in the sector and provide oversight of public entities.

6.1 **Strategic objective annual targets for 2016/17**

and

6.2 **Programme performance indicators and annual targets for 2016/17**

**Sub-Programme: Programme and Project Planning**

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target )** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Base line** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Provide implementation support on the delivery of adequate housing | Number of catalytic projects implemented | 50 catalytic projects implemented | No Target | No Target | 15 Planned catalytic projects that addresses spatial, social and economic integration | 10 Planned catalytic projects that addresses spatial, social and economic integration | 15 catalytic projects implemented | 10 catalytic projects implemented | No Target |
| Number of human settlements interventions implemented in mining towns | 21 human settlement interventions implemented in mining towns | New target | New target | New target | 22 Mining Towns interventions | 9 human settlements interventions implemented in mining towns | 8 human settlements interventions implemented in mining towns | No target |

**Sub-Programme: Programme Implementation facilitation**

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Base line** |  | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Provide implementation support on the delivery of adequate housing | Number of  feasibilities on informal settlements conducted | 2 200 feasibilities on informal settlements conducted | No Target | No Target | 200 Informal Settlements assessed | 460 Informal Settlements assessed | 500 feasibilities on informal settlements conducted | 500 feasibilities on informal settlements conducted | 540 feasibilities on informal settlements conducted |
| Number of Informal settlement upgrading plans developed | 2 200 of informal settlement upgrading plans developed | New target | Four quarterly progress report on 49 municipalities supported | 336 Informal settlement upgrading plans developed | 460 Informal settlement upgrading plans developed | 444 Informal settlement upgrading plans developed | 460 informal settlement upgrading plans developed | 500 Informal settlement upgrading plans developed |

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | **2013/14**  **Base line** | **2014/15**  **Base line** |  | **2016/17**  **Target** | | **2017/18**  **Target** | **2018/19**  **Target** |
|  | Number of households upgraded to phase 2 | 750 000 households assisted through the Informal Settlements Upgrading Programme by 2019 | New target | Four quarterly progress reports on the 49 municipalities supported and assisted with technical support, informal settlements classification and formulation of informal settlements upgrading plans in line with Outcome 8 outputs. | 74 017 households upgraded to phase 2 | 150 000 households upgraded to phase 2 of the UISP programme | 175 000 households upgraded to phase 2 | | 175 000 households upgraded to phase 2 | 175 983 households upgraded to phase 2 |
| Number of individual units for subsidy housing opportunities provided | 563 000 individual units for subsidy housing opportunities provided | - | - | 95 210 of housing units for subsidy housing submarket provided | 113 600 housing units delivered | 115 000  individual units for subsidy housing opportunities provided | 119 000 individual units for subsidy housing opportunities provided | | 120 190 individual units for subsidy housing opportunities provided |

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target )** | | **Audited/Actual Performance** | | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13**  **Base line** | | **2013/14**  **Base line** | **2014/15**  **Base line** | **2016/17**  **Target** | | **2017/18**  **Target** | **2018/19**  **Target** | |
| Provide implementation support on the delivery of adequate housing | Number of affordable Rental Housing opportunities delivered | 35 000 Affordable rental units through the private sector | New target | | New target | | 8 994 affordable rental housing opportunities delivered | 14 400 affordable rental opportunities | 3 700 affordable rental opportunities delivered | 3 800 affordable rental opportunities delivered | | | 4 106 affordable rental opportunities delivered |
| Number of Social Housing units delivered | 27 000 Social Housing units delivered | New target | | New target | | 2 053 Social Housing units | 5 400 Social Housing units | 6 301 Social Housing units delivered | 6 301 Social Housing units delivered | | | 6 945 Social Housing units delivered |
| Number of Community Residential Units (CRU) delivered | 10 000 Community Residential Units (CRU) delivered | New target | | new target | | 2 017 Community Residential Units (CRU) | 2 000 Community Residential Units (CRU) | 2 000 Community Residential Units (CRU) delivered | 2 000 Community Residential Units (CRU) delivered | | | 1983 Community Residential Units (CRU) delivered |

**Sub-Programme: Monitoring and Evaluation**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | |
| **2012/13**  **Base line** | **2013/14**  **Base line** | | **2014/15**  **Base line** | **2016/17**  **Target** | | **2017/18**  **Target** | **2018/19**  **Target** |
| Enhanced sector monitoring and evaluation | Percentage of projects under implementation monitored and verified (HSDG and USDG) | 100% of projects under implementation monitored and verified (HSDG and USDG) | Four quarterly reports on projects financed through the HSDG and USDG | | No Target | 57% of running projects monitored through physical site visits and other verification method-logies  Revised Monitoring and Evaluation Framework | 75% of running projects monitored through physical site visits and other verification methodologies | 100% of projects under implementation monitored and verified (HSDG and USDG) | 100% of projects under implementation monitored and verified (HSDG and USDG) | | 100% of projects under implementation monitored and verified (HSDG and USDG) |
|  | Number of Quarterly reports on the implementation of Outcome 8 | 20 Outcome 8 reports produced | 4 Quarterly reports on the implementa-tion of Outcome 8 | | 4 Quarterly reports on the implementa-tion of Outcome 8 | 4 Quarterly reports on the implementa-tion of Outcome 8 | 4 Quarterly reports on the progress with the implementa-tion of the Human Settlements Programme of Action Delivery Agreement | 4 Quarterly reports on the implementation of Outcome 8 | 4 Quarterly reports on the implementation of Outcome 8 | | 4 Quarterly reports on the implementation of Outcome 8 |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target )** | **Audited/Actual Performance** | | | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | | | |
| **2012/13**  **Base line** | | **2013/14**  **Base line** | | **2014/15**  **Base line** | **2016/17**  **Target** | | **2017/18**  **Target** | **2018/19**  **Target** | |
|  | Number of evaluation studies completed | 8 Evaluation studies completed | | - | | New target | 2 Evaluation reports on Human Settlements strategic areas completed | Evaluations for improved human settlements conducted (Access and Social Housing | 2 evaluation studies (Affordable Housing, Access Evaluation ) | 1 study conducted (UISP 3 Year Impact) | | | No target |
|  | Number of data analysis reports on delivery trends produced | Data analysis reports on delivery trends produced | | New Target | | 16 data analysis reports on HS projects data | Not Planned | New Target | 4 data analysis reports on delivery trends produced | 4 data analysis reports on delivery trends produced | | | 4 data analysis reports on delivery trends produced |

**SuBPROGRAMME: REGULATORY COMPLIANCE SERVICES**

| **Strategic Objective**  **(High level output)** | **Performance Indicators** | **Strategic Plan Target**  **(5 year target)** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016**  **(Target)** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Provide implementation support on the delivery of adequate housing | Single Development Finance Institution established | A Single DFI established | New target | New target | New Target | DFI Consolidation process applied | Single DFI operational integration completed | Single DFI draft Bill | Single DFI established |
| Number of Shareholder Compacts of the human settlements entities aligned to the MTSF | Approved Shareholder Compacts of the human settlements entities aligned to the MTSF targets | New Target | Target | New target | New Target | 4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2017/2018) | 4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2018/2019) | 4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2019/2020) |

**SUB PROGRAMME: TECHNICAL CAPACITY DEVELOPMENT**

| **Strategic Objective** | **Performance Indicator** | **Strategic Plan Target** | **Audited/Actual Performance** | | | **Estimated Performance**  **2015/2016** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17** | **2017/18** | **2018/19** |
| Improved support and capacity for the human settlements sector | Number of youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 68 580 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 4 Reports on Human Settlements training and skills development programmes | New Target | 7 296 consumers reached | No target | 22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes |
|  | Number of Provincial departments and municipalities supported in the implementa-tion of capacity development programmes | Nine (9) Provincial departments and eight (8) municipalities supported in the implementa-tion of capacity development programmes | Nine (9) Provincial departments and eight (8) municipalities supported in the implementa-tion of capacity development programmes | Nine (9) Provincial departments and six (6) municipalities supported in the development & implementa-tion of capacity development programmes | Nine (9) Provincial departments and six municipalities supported in the development & implementa-tion of capacity development programmes | Nine (9) Provincial departments and six municipalities supported in the development & implementa-tion of capacity development programmes | Nine (9) Provincial departments and eight (8) municipalities supported in the implementa-tion of capacity development programmes | Nine (9) Provincial departments and eight (8) municipalities supported in the implementa-tion of capacity development programmes | Nine (9) Provincial departments and eight (8) municipalities supported in the implementa-tion of capacity development programmes |

**PROGRAMME 3:** Human Settlements Delivery Support

**Strategic Objective:** Provide implementation support on the delivery of adequate housing

6.3 **Quarterly Performance Targets for 2016/2017**

**Sub-Programme: Programme and Project Planning**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Number of catalytic projects implemented | Quarterly | 15 catalytic projects implemented | 3 catalytic projects implemented | 3 catalytic projects implemented | 4 catalytic projects implemented | 5 catalytic projects implemented |
| Number of human settlement interventions implemented in mining towns | Quarterly | 9 human settlement interventions implemented in mining towns | 2 human settlement interventions implemented in mining towns | 3 human settlement interventions implemented in mining towns | 2 human settlement interventions implemented in mining towns | 2 human settlement interventions implemented in mining towns |

**Strategic Objective:** Provide implementation support on the delivery of adequate housing

**Sub-Programme: Programme Implementation facilitation**

| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Q1** | | **Q2** | **Q3** | **Q4** |
| Number of feasibilities on informal settlements conducted | Quarterly | 500 feasibilities on informal settlements conducted | 75 feasibilities on informal settlements conducted | | 100 feasibilities on informal settlements conducted | 150 feasibilities on informal settlements conducted | 175 feasibilities on informal settlements conducted |
| Number of Informal settlement upgrading plans developed | Quarterly | 444 Informal settlement upgrading plans developed | 100 Informal settlement upgrading plans developed | | 100 Informal settlement upgrading plans developed | 100 Informal settlement upgrading plans developed | 144 Informal settlement upgrading plans developed |
| Number of households upgraded to phase 2 | Quarterly | 175 000 households upgraded to phase 2 | 45 000 households upgraded to phase 2 | | 50 000 households upgraded to phase 2 | 25 000 households upgraded to phase 2 | 55 000 households upgraded to phase 2 |
| Number of individual units for subsidy housing opportunities provided | Quarterly | 115 000 individual units for subsidy housing opportunities provided | 20 000 individual units for subsidy housing opportunities provided | | 30 000 individual units for subsidy housing opportunities provided | 20 000 individual units for subsidy housing opportunities provided | 45 000 individual units for subsidy housing opportunities provided |
| Number of affordable Rental Housing opportunities delivered |  | 3 700 affordable rental opportunities delivered | 1 000 affordable rental opportunities delivered | 1 000 affordable rental opportunities delivered | | 700 affordable rental opportunities delivered | 1 000 affordable rental opportunities delivered |
| Number of Social Housing units delivered | Quarterly | 6 301 of Social Housing units delivered | 1 500 of Social Housing units delivered | 1 500 of Social Housing units delivered | | 1 500 of Social Housing units delivered | 1 801 of Social Housing units delivered |
| Number of Community Residential Units (CRU) delivered | Quarterly | 2 000 Community Residential Units (CRU) delivered | 500 Community Residential Units (CRU) delivered | 500 Community Residential Units (CRU) delivered | | 500 Community Residential Units (CRU) delivered | 500 Community Residential Units (CRU) delivered |

**Strategic Objective:** Enhanced sector monitoring and evaluation

**Sub-Programme: Monitoring and Evaluation**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Performance Indicators** | **Reporting Period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Percentage of projects under implementation monitored and verified. | Quarterly | 100% of projects under implementation monitored and verified (HSDG and USDG) | 25% of projects monitored and verified (HSDG and USDG) | 50% of projects monitored and verified (HSDG and USDG) | 75% of projects monitored and verified (HSDG and USDG) | 100% of projects monitored and verified (HSDG and USDG) |
| Number of Quarterly reports on the implementation of Outcome 8 | Quarterly | 4 Quarterly reports on the implementation of Outcome 8 | Quarterly report on the implementation of Outcome 8 | Quarterly report on the implementation of Outcome 8 | Quarterly report on the implementation of Outcome 8 | Quarterly report on the implementation of Outcome 8 |
| Number of Evaluation Studies Completed | Quarterly | 2 Evaluation studies conducted (Affordable Housing and Access) | A conceptual Framework developed | A draft report on evaluation studies | An overall analytical report on evaluation studies | 2 Evaluation studies conducted (Affordable Housing and Access) |
| Number of data analysis reports on delivery trends produced | Quarterly | 4 data analysis reports on delivery trends produced | 1 data analysis reports on delivery trends produced | 1 data analysis reports on delivery trends produced | 1 data analysis reports on delivery trends produced | 1 data analysis reports on delivery trends produced |

**Strategic Objective:** Provide implementation support on the delivery of adequate housing

**Regulatory Compliance Services**

| **Performance Indicators** | **Reporting period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Single Development Finance Institution established | Quarterly | Single DFI operational integration completed | Interim governance structures appointed by the Executive Authority | Operational integration work streams established | Systems integration completed | Single DFI Operational integration completed |
| Number of Shareholder Compacts of the human settlements entities aligned to the MTSF targets | Quarterly | 4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2017/2018) | No target | No target | 1st drafts of the Shareholder Compacts of the human settlement entities analysed to ensure alignment with the MTSF targets | 4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2017/18) |

**Strategic Objective** Improved support and capacity for the human settlements sector

**TECHNICAL CAPACITY DEVELOPMENTS**

| **Performance Indicators** | **Reporting period** | **Annual Targets 2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Q1** | **Q2** | **Q3** | **Q4** |
| Number youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | Quarterly | 22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 4 677 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 7 727 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 6 728 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes | 3 728 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes |
| Number of provinces and metros supported in the implementation of capacity development programmes | Quarterly | Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of Capacity development programmes | Three (3) Provincial departments and three (3) municipalities supported in the implementation of Capacity development programmes | Three (3) Provincial departments and three (3) municipalities supported in the implementation of Capacity development programmes | Three (3) Provincial departments and two (2) municipalities supported in the implementation of Capacity development programmes | An evaluation on the implementation of the capacity development programmes conducted. |
| Number of youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes | Quarterly | 2760 youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes | 652 youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes | 702 youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes | 703 youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes | 703 youth, women, military veterans and officials at all spheres of government trained on human settlements skills development programmes |

**PROGRAMME 4: Housing Development Finance**

**Programme Purpose**: Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector.

**Strategic Objective:** Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution

7.1 **Strategic objective annual targets for 2016/17**

and

7.2 **Programme performance indicators and annual targets for 2016/17**

| **Strategic Objective** | **Performance Indicators** | **Strategic Plan Target** | **Audited/Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
| Efficient and effective utilization of human settlements grants, and monitoring of lending patterns by financial institution | Approved Human Settlements Grants Frameworks | Approved Human Settlements Grants Frameworks | Grants frame-works approved | Grants frameworks approved | Grants frameworks approved | Housing and Human Settlements Grants Frameworks restructured by October 2015 | Approved Human Settlements Grants Frameworks | Approved Human Settlements Grants Frameworks | Approved Human Settlements Grants Frameworks |
| Number of HSDG & USDG quarterly performance reports | 20 HSDG & USDG quarterly performance reports | 4 HSDG & USDG quarterly performance reports submitted to management | 4 HSDG & USDG quarterly performance reports submitted to management | 4 HSDG & USDG quarterly performance reports submitted to management | 4 HSDG & USDG quarterly performance reports submitted to management | 4 HSDG & USDG quarterly performance reports | 4 HSDG & USDG quarterly performance reports | 4 HSDG & USDG quarterly performance reports |

| **Strategic Objective** | **Performance Indicators** | **Strategic Plan Target** | **Audited/Actual Performance** | | | **Estimated Performance 2015/16** | **Medium Term Target** | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **2012/13** | **2013/14** | **2014/15** | **2016/17**  **Target** | **2017/18**  **Target** | **2018/19**  **Target** |
|  | Approved annual report on the performance of financial institutions and their lending patterns | Annual report on the performance of financial institutions and their lending patterns on home loans | 3 reports on analysis of information submitted by financial institutions to detect lending patterns and practises on home loans produced. | Annual report on the performance and the ratings of financial institutions prepared for the Minister and submitted.  3 reports on lending practices were produced and submitted. | Approved annual report on the performance ratings of financial institutions.  2 reports on home loan lending patterns and practises by financial institutions. | Annual report on the performance of financial institutions and their lending patterns on home loans | Annual report on the performance of financial institutions and their lending patterns | Annual report on the performance of financial institutions and their lending patterns | Annual report on the performance of financial institutions and their lending patterns |
| Revised state finance products for the affordable gap submarket | Approved State Finance Products for the affordable market | new target | new target | new target | 4 performance reports on state finance products for the gap market | State Finance Products for the affordable market revised | Approved State Finance Products for the affordable market | no target |

**PROGRAMME 4: Housing Development FINANCE**

Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution **Quarterly Performance Targets for 2016/2017**

7.3 **Quarterly Performance Targets for 2016/2017**

**Sub Programme:** Chief Investment Office

| **Performance indicator** | **Reporting Period** | **Annual Target**  **2016/17** | **Quarterly Targets** | | | |
| --- | --- | --- | --- | --- | --- | --- |
| **Quarter 1** | **Quarter 2** | **Quarter 3** | **Quarter 4** |
| Approved Human Settlements Grants Frameworks | **Quarterly** | Approved HS Grants Framework | No target | No target | HS Grant Framework developed | Approved HS Grants Framework |
| Number of reports on HSDG & USDG quarterly performance reports | 4 HSDG & USDG quarterly performance reports | HSDG & USDG quarterly performance reports | HSDG & USDG quarterly performance reports | HSDG & USDG quarterly performance reports | HSDG & USDG quarterly performance reports |
| Approved annual report on the performance of financial institutions and their lending patterns | Annual report on the performance of financial institutions and their lending patterns | No Target | No Target | No Target | Annual report on the performance of financial institutions and their lending patterns |
| Revised state finance products for the affordable submarket | State Finance Products for the affordable market revised | Consultation on State Finance Products for the affordable market revised | Consultation on State Finance Products for the affordable market revised | Consultation on State Finance Products for the affordable market revised | State Finance Products for the affordable market revised |

7.4 **Reconciling performance targets with the Budget and MTEF 2015/18**

**Programme 1: Administration**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sub-Programme R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| Ministry | 30 353 | 28 739 | 66 437 | 61 363 | 60 222 | 63 901 | 64 100 |
| Departmental Management | 61 571 | 53 508 | 101 857 | 98 773 | 102 143 | 107 153 | 98 487 |
| Corporate Services | 139 629 | 134 141 | 190 534 | 175 316 | 188 160 | 201 332 | 204 830 |
| Property Management | 20 403 | 24 699 | 32 153 | 37 677 | 39 900 | 41 895 | 43 990 |
| Financial Management | 33 722 | 39 312 | 42 099 | 47 487 | 51 849 | 55 639 | 55 915 |
| **Total** | **285 678** | **280 399** | **433 080** | **420 616** | **442 274** | **469 920** | **467 322** |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |
| **Economic classification R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| ***Current payments*** | ***282 013*** | ***272 908*** | ***397 840*** | ***407 883*** | ***438 900*** | ***466 381*** | ***463 602*** |
| Compensation of employees | 134 699 | 140 578 | 178 849 | 197 063 | 216 458 | 233 145 | 228 846 |
| Goods and services | 147 314 | 132 294 | 218 970 | 210 816 | 222 442 | 233 236 | 234 756 |
| Interest and rent on land | - | 36 | 21 | 4 | - | - | - |
| ***Transfers and subsidies*** | ***74*** | ***175*** | ***22 706*** | ***857*** | ***46*** | ***46*** | ***49*** |
| Provinces and municipalities | - | - | - | - | - | - | - |
| Departmental agencies and accounts | - | - | - | - | - | - | - |
| Higher education institutions | - | - | 1 170 | - | - | - | - |
| Foreign governments and international organisations | - | - | - | - | - | - | - |
| Public corporations and private enterprises | - | - | - | - | - | - | - |
| Non-profit institutions | - | - | - | - | - | - | - |
| Households | 74 | 175 | 21 536 | 857 | 46 | 46 | 49 |
| **Payments for capital assets** | **3 537** | **7 198** | **12 426** | **11 549** | **3 328** | **3 493** | **3 671** |
| Buildings and other fixed structures | - | - | - | - | - | - | - |
| Machinery and equipment | 3 507 | 6 617 | 11 019 | 11 494 | 3 059 | 3 211 | 3 373 |
| Software and other intangible assets | 30 | 581 | 1 407 | 55 | 269 | 282 | 298 |
| **Payments for financial assets** | 54 | 118 | 108 | 82 | - | - | - |
| **Total** | **285 678** | **280 399** | **433 080** | **420 371** | **442 274** | **469 920** | **467 322** |

This programme allocation grows from R420.3 million in 2015/16 to R467.3 million in 2018/19, an average nominal growth of 2.4%. The programme biggest cost drivers apart from compensation of employees, are funds provided for Internal Audit activities and special investigations, computer services and travel and subsistence. The programme has 426 funded positions. The programme mainly provides strategic leadership to the sector and Department as well as support to the Department.

**PROGRAMME 2: Human Settlements Policy, Strategy and Planning**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sub-Programme R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| Management for Policy, Strategy and Planning | 2 160 | 3 568 | 3 902 | 6 262 | 7 424 | 7 844 | 7 758 |
| Human Settlements Policy Frameworks | 27 765 | 27 756 | 29 731 | 28 174 | 30 852 | 32 887 | 32 254 |
| Human Settlements Strategy and Planning | 33 275 | 42 003 | 45 070 | 39 102 | 44 787 | 47 567 | 46 939 |
| **Total** | **63 200** | **73 327** | **78 703** | **73 538** | **83 063** | **88 298** | **86 951** |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |
| **Economic classification R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| ***Current payments*** | ***60 953*** | ***71 572*** | ***72 128*** | ***71 320*** | ***80 985*** | ***86 115*** | ***84 648*** |
| Compensation of employees | 33 282 | 38 322 | 45 311 | 48 533 | 55 724 | 59 591 | 58 099 |
| Goods and services | 27 671 | 33 250 | 26 817 | 22 787 | 25 261 | 26 524 | 26 549 |
| Interest and rent on land | - | - | - | - | - | - | - |
| ***Transfers and subsidies*** | ***1 807*** | ***1 148*** | ***5 394*** | ***1 150*** | ***1 211*** | ***1 272*** | ***1 346*** |
| Provinces and municipalities | - | - | - | - | - | - | - |
| Departmental agencies and accounts | - | - | - | - | - | - | - |
| Higher education institutions | - | - | - | - | - | - | - |
| Foreign governments and international organisations | 1 279 | 1 075 | 1 269 | 1 150 | 1 211 | 1 272 | 1 346 |
| Public corporations and private enterprises | - | - | 4 000 | - | - | - | - |
| Non-profit institutions | 400 | - | - | - | - | - | - |
| Households | 128 | 73 | 125 | - | - | - | - |
| **Payments for capital assets** | **425** | **575** | **1 170** | **1 068** | **867** | **911** | **957** |
| Buildings and other fixed structures | - | - | - | - | - | - | - |
| Machinery and equipment | 425 | 575 | 1 170 | 1 068 | 867 | 911 | 957 |
| Software and other intangible assets | - | - | - | - | - | - | - |
| **Payments for financial assets** | 15 | 32 | 11 | - | - | - | - |
| **Total** | **63 200** | **73 327** | **78 703** | **73 538** | **83 063** | **88 298** | **86 951** |

This programme allocation grows from R74.3 million in 2015/16 to R86.9 million in 2018/19, an average nominal growth of 5.4%. The programme biggest cost drivers are compensation of employees and travel and subsistence. The programme will undertake the Development of the white and green paper, the red book as well reviewing and aligning various policies and plans with the NDP, over the MTEF.

**Programme 3: Programme Delivery Support**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sub-Programme R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| Management for Programme Delivery Support | 3 121 | 4 071 | 7 019 | 7 351 | 9 240 | 9 806 | 9 802 |
| Programme Management Unit | 39 821 | 50 480 | 69 598 | 124 901 | 165 021 | 145 092 | 137 119 |
| Chief of Operation | 85 544 | 44 194 | 57 095 | 53 348 | 50 321 | 53 441 | 53 000 |
| **Total** | **128 486** | **98 745** | **133 712** | **185 600** | **224 582** | **208 339** | **199 921** |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |
| **Economic classification R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| ***Current payments*** | ***110 938*** | ***89 275*** | ***119 096*** | ***175 331*** | ***214 178*** | ***197 414*** | ***188 372*** |
| Compensation of employees | 40 080 | 47 245 | 53 001 | 59 877 | 93 259 | 75 473 | 75 368 |
| Goods and services | 70 858 | 42 030 | 66 092 | 115 454 | 120 919 | 121 941 | 113 004 |
| Interest and rent on land | - | - | 3 | - | - | - | - |
| ***Transfers and subsidies*** | ***16 951*** | ***8 543*** | ***13 602*** | ***9 171*** | ***9 355*** | ***9 822*** | ***10 392*** |
| Provinces and municipalities | - | - | - | - | - | - | - |
| Departmental agencies and accounts | - | - | - | - | - | - | - |
| Higher education institutions | 3 090 | 3 949 | 4 499 | - | - | - | - |
| Foreign governments and international organisations | - | - | - | - | - | - | - |
| Public corporations and private enterprises |  |  |  |  |  |  |  |
| Non-profit institutions |  |  |  |  |  |  |  |
| Households | 13 861 | 4 594 | 9 103 | 9 171 | 9 355 | 9 822 | 10 392 |
| **Payments for capital assets** | **580** | **922** | **1 011** | **1 086** | **1 049** | **1 103** | **1 157** |
| Buildings and other fixed structures | - | - | - | - | - | - | - |
| Machinery and equipment | 580 | 806 | 1 011 | 1 086 | 1 049 | 1 103 | 1 157 |
| Software and other intangible assets | - | 116 | - | - | - | - | - |
| **Payments for financial assets** | 17 | 5 | 3 | 12 | - | - | - |
| **Total** | **128 486** | **98 745** | **133 712** | **185 600** | **224 582** | **208 339** | **199 921** |

This programme allocation grows from R185.6 million in 2015/16 to R199.9 million in 2018/19, an average nominal growth of 5.6%. The programme biggest cost drivers are compensation of employees Consultants and travel and subsistence. The programme will undertake the National Upgrading Support Programme as well as various direct implementation support activities.

**PROGRAMME 4: HOUSING DEVELOPMENT FINANCE**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sub-Programme R`000** | **Audited outcome** | | | **Adjusted  Appropriation** | **Indicative allocation** | | |
| **2012/13** | **2013/14** | **2014/15** | **2015/16** | **2016/17** | **2017/18** | **2018/19** |
| Management for Housing Development Finance | 1 678 | 1 940 | 357 | 3 017 | 3 741 | 3 947 | 4 003 |
| Chief Investment Officer | 17 795 | 19 023 | 16 488 | 18 083 | 21 342 | 22 747 | 22 654 |
| Human Settlements Development Grant | 15 395 032 | 17 028 326 | 17 084 369 | 18 302 675 | 18 283 991 | 21 060 343 | 22 281 843 |
| Contributions | 912 808 | 864 648 | 1 026 839 | 885 507 | 792 395 | 1 240 485 | 1 505 196 |
| Urban Settlements Development Grant | 7 392 206 | 9 076 906 | 10 284 684 | 10 554 345 | 10 839 468 | 11 472 247 | 12 052 137 |
| Municipal Human Settlements Capacity Grant | - | - | 300 000 | 100 000 | - | - | - |
| **Total** | **23 719 519** | **26 990 843** | **28 712 737** | **29 863 627** | **29 940 937** | **33 799 769** | **35 865 833** |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | |  |  |  |  | |  |
| **Economic classification R`000** | **Audited outcome** | | | | **Adjusted  Appropriation** | **Indicative allocation** | | | |
| **2012/13** | **2013/14** | **2014/15** | | **2015/16** | **2016/17** | **2017/18** | **2018/19** | |
| ***Current payments*** | ***19 393*** | ***20 788*** | ***16 734*** | | ***20 222*** | ***24 861*** | ***26 461*** | ***26 412*** | |
| Compensation of employees | 14 821 | 14 083 | 13 695 | | 15 287 | 18 050 | 19 310 | 18 904 | |
| Goods and services | 4 572 | 6 705 | 3 039 | | 4 935 | 6 811 | 7 151 | 7 508 | |
| Interest and rent on land | - | - | - | | - | - | - | - | |
| ***Transfers and subsidies*** | ***23 548 077*** | ***26 869 880*** | ***28 465 892*** | | ***29 681 527*** | ***29 815 854*** | ***33 623 075*** | ***35 680 476*** | |
| Provinces and municipalities | 22 787 238 | 26 105 232 | 27 669 053 | | 28 957 020 | 29 123 459 | 32 532 590 | 34 333 980 | |
| Departmental agencies and accounts | 760 833 | 764 648 | 796 839 | | 724 507 | 692 395 | 1 090 485 | 1 346 496 | |
| Higher education institutions | - | - | - | | - | - | - | - | |
| Foreign governments and international organisations | - | - | - | | - | - | - | - | |
| Public corporations and private enterprises |  |  |  | |  |  |  |  | |
| Non-profit institutions |  |  |  | |  |  |  |  | |
| Households | 6 | - | - | | - | - | - | - | |
| **Payments for capital assets** | **74** | **175** | **110** | | **878** | **222** | **233** | **245** | |
| Buildings and other fixed structures | - | - | - | | - | - | - | - | |
| Machinery and equipment | 74 | 175 | 110 | | 878 | 222 | 233 | 245 | |
| Software and other intangible assets | - | - | - | | - | - | - | - | |
| **Payments for financial assets** | 151 975 | 100 000 | 230 001 | | 161 000 | 100 000 | 150 000 | 158 700 | |
| **Total** | **23 719 519** | **26 990 843** | **28 712 737** | | **29 863 627** | **29 940 937** | **33 799 769** | **35 865 833** | |

This programme allocation consists mostly of conditional grants and transfer to Departmental Institutions. The allocation grows from R29.8 billion in 2015/16 to R35.8 million in 2018/19, an average nominal growth of 5.8%. The Human Settlements Development Grant, which is a capital transfers grant to provinces allocation grows from R18.2 billion in 2015/16 to R22.2 billion in 2018/19 an average nominal growth of 7%. The Urban Settlements Development Grant, which is a capital transfers grant metropolitan municipalities grows from R10.5 billion in 2015/16 to R12 billion in 2018/19 an average nominal growth of 4.5%. The Municipal Human Settlements Capacity Grant was closed down in 2015/16 financial year.

**PART C: LINKS TO OTHER PLANS**

8. **LINKS TO THE LONG TERM INFRASTRUCTURE AND OTHER CAPITAL PLANS**

The Department directly contributes to the infrastructure build programme, across the strategic integrated projects (SIPs) and reports to the progress made to the PICC. A specific intervention on mining towns is the contributor to this, the projects implemented through the Urban Settlements Grants and the partnerships created with sector departments like Water and Sanitation linked to the long term infrastructure plans. The MSP that is currently under development also forms part of the long term infrastructure plan as it seeks to direct human settlements investments along the identified development corridors that will have long term impact on the country’s spatial transformation agenda.

The department through its programmes also contributes to the New Growth Path which is the main job driver to ensure effective delivery is to improve both physical infrastructure and human resources. The department’s contribution to the SIP’s assists in ensuring that the department and the sector plans ahead and move away from the stop-start syndrome around the building of infrastructure. This provides a greater certainty to the construction industry and provides a roadmap for investors and communities.

9. **CONDITIONAL GRANTS**

**Human Settlements Development Grant**

The conditional grant enables the Department to provide effective oversight and ensure compliance with the Housing code. The Grant reflects the conditional grant allocation that is transferred to the provinces for Human Settlements Development. Funding is provided on the basis of housing needs, the number of households earning less than R3 500 per month, and the population per province. The grant will continue over the reporting period.

**Urban Settlements Development Grant**

The is a supplementary capital infrastructure grant with conditions, objects and distribution criteria including infrastructure backlogs aimed at improving outcomes of the application of the equitable share. The Grant reflects the conditional grant to municipalities for infrastructure and human settlements development, to support the upgrading of informal settlements in metropolitan municipalities. The grant will continue over the reporting period.

10. **PUBLIC ENTITIES**

The Department of Human Settlements has eight public entities reporting to it:

1. Estate Agency Affairs Board (EAAB)
2. National Housing Finance Corporation (NHFC)
3. National Urban Reconstruction and Housing Agency (NURCHA)
4. Social Housing Regulatory Authority (SHRA)
5. National Home Builders Registration Council (NHBRC)
6. Rural Housing Loan Fund (RHLF)
7. The Housing Development Agency (HDA)
8. Community Schemes Ombud Service (CSOS)

Summarized below are the mandate and key strategic objectives of each of these entities.

**Human Settlement Entities**

Summarized below are the mandate and key strategic objectives of each of these entities.

**Table 13: Human Settlement Entities**

| **Name of Public Entity** | **Mandate** | **Output** | **Current Budget** | **Date of next Evaluation** |
| --- | --- | --- | --- | --- |
| National Housing Finance Corporation (NHFC) | Mobilise funding into human settlements space in partnership with broad range of institutions. It also provides wholesale finance and acts as a fund and risk manager. | * 3,200 housing opportunities facilitated through disbursements. * 2,754 rental housing opportunities facilitated through disbursements. * 445 affordable housing opportunities facilitated through disbursements. * 19,070 housing opportunities facilitated through leveraged funds. * R1.38 billion leveraged from the private sector. | R100,000,000 (capital grant) | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |
| Estate Agency Affairs Board (EAAB) | Regulate, maintain and promote the standard of conduct of estate agents; issue fidelity fund certificates to qualifying applicants; prescribe the standard of education and training of estate agents; investigate complaints lodged against estate agents, manage the Estate Agents Fidelity Fund. | * 7% reduction of non-compliant agents and agencies inspected * 400 000 radio consumers reached through radio awareness campaigns * 90% of compliant estate agents operating in affordable housing markets * 3 100 youth recruited on the one leaner one state agent programme * Fidelity Fund operated to achieve aggregate growth of 5% per annum * 30% increase in Estate Agencies operating in the affordable housing market | - | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |

| **Name of Public Entity** | **Mandate** | **Output** | **Current Budget** | **Date of next Evaluation** |
| --- | --- | --- | --- | --- |
| Home Builders Registration Council (NHBRC) | Provide housing consumers with warranty protection against defects in new homes, and to provide protection against any failure of builders to comply with their obligations in terms of the Housing Consumer Protection Measures Act, 1998 (Act 95 of 1998). | * 53 994 non-subsidy homes by private sector enrolled * 140 196 subsidy homes enrolled * 40 700 late home enrolments approved * 53 994 non-subsidy enrolled homes inspected * 140 196 subsidy homes enrolled inspected * 4 068 new homebuilder registrations approved * 13 034 renewals of homebuilder registrations * 1 200 homebuilders trained * 2 000 youth trained * 440 home inspectors trained * 351 Artisans trained * 900 women trained * 180 Military Veterans trained | - | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |
| Housing Development Agency: (H D A) | Facilitate the release of land and landed properties for human settlements development | * 3,000 hectares of well-located land released for human settlement development (targeting poor and middle income households). * Provide 274 informal settlements with technical support. * Provide technical support to 22 projects in mining towns. * 50 Catalytic projects supported. | R167,512,000  (R147,512,000 operational grant and R20,000,000 for the National Upgrading Support Programme) | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |

| **Name of Public Entity** | **Mandate** | **Output** | **Current Budget** | **Date of next Evaluation** |
| --- | --- | --- | --- | --- |
|  |  | * 9 provinces provided with capacity support as per MTOPs and business plans. * Implementation support provided to programmes and projects providing 6,912 housing units. * Implementation support provided to programmes and projects providing 9539 serviced sites. |  |  |
| National Urban Reconstruction and Housing Agency: (NURCHA) | NURCHA’s mandate is to ensure the availability of bridging finance to small, medium and established contractors building low and moderate-income housing and related communities facilities and infrastructure | * 2,500 affordable houses built and sites serviced with NURCHA bridging finance. * 12,830 subsidy houses built and sites serviced with NURCHA bridging finance. * 4 infrastructure and community facility projects completed with NURCHA bridging finance. * 60 contractors trained through the Contractor Finance and Development Programme. | - | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |
| Rural Housing Loan Fund: (RHLF) | The RHLF is a development finance institution, established in August 1996 as an association not for gain. The company is mandated to empower low income households in rural areas to access housing credit. | * 43,187 housing loans disbursed * 60% of loans provided to people earning R3,500 or less per month * R224.9 million disbursed to retail intermediaries. * 50 Rural Housing Vouchers issued. * 20 Rural Housing Voucher houses completed. | - | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |

| **Name of Public Entity** | **Mandate** | **Output** | **Current Budget** | **Date of next Evaluation** |
| --- | --- | --- | --- | --- |
|  | It operates as a wholesale lender and thus attains its mandate by providing loans through retail intermediaries to its target market to be utilised for incremental housing purposes. |  |  |  |
| Social Housing Regulatory Authority: (SHRA) | Regulate and support the social housing sector in order to accelerate the delivery of sustainable and financially viable social housing projects. It is responsible for the disbursement of institutional investment and capital grants to social housing institution | * Norms and standards for social housing developed and approved. * 6 New social housing institutions accredited * 50 Social housing institutions re-accredited * 10,000 Units approved for RCG award. * 3,500 Social housing units delivered. | R500,963,000  (R36,392,000 operational grant, R40,183,000 institutional investment grant and R424,388,000 restructuring capital grant) | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |
| Community Schemes Ombud Service (CSOS) | To provide a dispute resolution service for community schemes, monitor and control the quality of all sectional title schemes governance documentation and to take custody of, preserve and provide public access to scheme governance documentation. | * 800 Conciliation settlements achieved. * 200 Adjudication orders achieved at specified service levels as per the dispute resolution model. * 80% of Conciliation settlement agreements concluded within 40 days. | R23,920,000 | 1st quarter review: August 2016  2nd quarter review: November 2016  3rd quarter review: February 2017  4th quarter review: May 2017 |

11. **Public private partnerships**

The department is partnering with the Banking Association South Africa in intervening in the housing markets through the implementation of the housing programmes catering for various households. The department also has formed strategic partnership with the Development Bank of Southern Africa in the implementation of infrastructure projects that contribute to the achievement of the human settlements mandate.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Name PPP** | **Purpose** | **Outputs** | **Current Value of Agreement** | **Date when agreement expires** |
| A Social Contract for the Development of Sustainable Human Settlements: Building Partnerships for the accelerated delivery | The Social Contract for Sustainable Human Settlements is a statement of intent to work together for a common goal, whilst appreciating and understanding the relationship between the stakeholders. It provides a framework for partnerships and targeted resource mobilization, and articulates stakeholders’ commitment to develop answers collectively for a shared future.  As a result of this contract, which is a framework for specific partnerships, individual private sector developers/financial institutions would enter into direct agreements with the department through the HDA. | Increased property markets for the different beneficiary needs | In principle the private sector, through catalytic projects would contribute about **R210 billion** towards the Human Settlements Development. | The actual Social Contract would be reviewed after 5 years from the date of commencement, 2014, and it would be up to such review whether it should be renewed |

**Annexure E**:

TECHNICAL INDICATOR DESCRIPTION

**PROGRAMME 1: ADMINISTRATION**

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | % compliance with statutory tabling and prescripts |
| **Short definition** | The indicators measures levels of compliance to the legislated key governance legislation and prescripts that include:   * Adherence to the Treasury Framework for the development of Strategic and Annual Performance Plans * Framework for managing performance information and reporting * Framework for the developing and submitting Interim and Annual Financial Statements * Estimated National Expenditure (ENE) * Departmental Procurement Plan * MPAT processes and improvement plan |
| **Purpose/importance** | To monitor and ensure compliance with relevant key governance prescripts and requirements (PFMA, Treasury Regulations, Framework for Strategic Plans and Annual Performance Plans and Guides) and enhance accountability and stakeholder/public confidence. |
| **Source/collection of data** | Reports on:   * The development of Strategic and Annual Performance Plans * Quarterly performance reports * Annual Reports * Reports on Interim and Annual Financial Statements developed and submitted * Estimated National Expenditure (ENE) * Departmental Procurement Plan * Report on the implementation of MPAT processes and improvement plan |
| **Method of calculation** | Quantitative and Quantitative verification of compliance to ensure that all the prescribed timeframes are adhered to when submitting the Departmental plans and report specifically Strategic and Annual Performance Plans (1st and 2nd drafts submitted to DPME), Quarterly Performance Reports, Interim Financial Statements, ENE and MPAT processes. |
| **Data limitations** | Non-compliance with relevant key governance prescripts and requirements |
| **Type of indicator** | Process |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | 100% Compliance with relevant key governance prescripts and requirements |

|  |  |
| --- | --- |
| **Indicator responsibility** | CD: Enterprise Architecture  CD: Financial Management  CD: Regulatory Compliance |
| **Portfolio of Evidence** | Reports on:   * The development of Strategic and Annual Performance Plans * Quarterly performance reports * Annual Reports * Reports on Interim and Annual Financial Statements developed and submitted * Estimated National Expenditure (ENE) * Departmental Procurement Plan * Report on the implementation of MPAT processes and improvement plan |

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | Unqualified audit report |
| **Short definition** | The indicator of Unqualified audit report is a process and output indicator that required the accounting Officer and Management to develop and implement effective and efficient systems of internal control for financial management, organizational performance management and ensure full compliance with relevant laws and regulations to achieve the unqualified audit. |
| **Purpose/importance** | To ensure compliance with good corporate governance principles, prescripts and regulations |
| **Source/collection of data** | Audited annual financial and performance reports |
| **Method of calculation** | Indicator will be calculated based on audits conducted and management letters issued that would result into the targeted performance |
| **Data limitations** | Non-availability of performance information |
| **Type of indicator** | Compliance (efficiency) |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Unqualified audit report |
| **Indicator responsibility** | CFO  COO  CD: Financial Management  CD: Enterprise Architecture  CD: Regulatory Compliance |
| **Portfolio of Evidence** | Reports on internal controls put in place, Report on the implementation of audit recommendations, An Audit report issued by AGSA |

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | % adherence to Cabinet and cluster schedule as per approved protocol |
| **Short definition** | To ensure compliance to cabinet requirements as prescribed and as per the requirements by Parliamentary Committees and Cabinet |
| **Purpose/importance** | Ensure that the Department meets its obligations related to Parliament and Cabinet. (*Trias Politica*) |
| **Source/collection of data** | Reports submitted to Parliament as prescribed |
| **Method of calculation** | Number of cabinet and cluster sessions the Department adhering to divided by total cabinet and cluster schedule as per approved protocol |
| **Data limitations** | Sourcing replies/information to Parliamentary Questions and presentations to committees of Parliament timely. |
| **Type of indicator** | Effectiveness and efficiency. |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Optimal performance/ Achieved Target |
| **Indicator responsibility** | Chief Director: Executive Support |
| **Portfolio of Evidence** | Reports on Parliamentary and Cabinet engagements |

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | Percentage implementation of approved audit plans |
| **Short definition** | Implementation of the internal audit plan, which is an annual plan with audits to be conducted in a particular financial year. |
| **Purpose/importance** | Audits completed will provide assurance in the adequacy and effectiveness of the departmental controls, risk management and governance process, as well as areas of improvement to be considered by management. |
| **Source/collection of data** | Risk assessment, management, external audit report and red flags |
| **Method of calculation** | Number of audit plans implemented quarterly dived by total number of audit plans to be implemented for the year. |
| **Data limitations** | Incomplete, inaccurate information and lack of co-operation from stakeholders |
| **Type of indicator** | Efficiency and effectiveness |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Effectiveness of the internal controls, risk management and governance processes |

|  |  |
| --- | --- |
| **Indicator responsibility** | Chief Director: Internal Audit, Risk Management and Special Investigations |
| **Portfolio of Evidence** | Approved three year and one year operational audit plan  Status/progress Report on the implementation Internal Audit Plan  Internal Audit Reports issued |

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | Percentage Implementation of the approved risk management plan |
| **Short definition** | Risk management implementation plan is aligned to risk management strategy and unpacks all risk management activities that needs to be implemented for the particular year including responsible persons and targets dates. |
| **Purpose/importance** | The risk management plan is the measure as to how risk management strategy has been implemented |
| **Source/collection of data** | Risk management policy, strategy and guidelines, inputs from management, Risk Management Committee, Risk Champions Forums and Audit Committee |
| **Method of calculation** | Qualitative |
| **Data limitations** | Incomplete, inaccurate, lack of timeous information and lack of co-operation from stakeholders |
| **Type of indicator** | Efficiency and effectiveness |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Risk management embedded in day to day activities of the department and considered during decision making processes |
| **Indicator responsibility** | Chief Director: Internal Audit, Risk Management and Special Investigations |
| **Portfolio of Evidence** | Top ten risk of the department  Branch Risk Registers  Operational Risk Registers  Mitigation plans |

|  |  |
| --- | --- |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | Percentage Implementation of the Approved anti-fraud and corruption plan |
| **Short definition** | Anti-Fraud and Corruption plan is aligned to Anti-Fraud and Corruption Strategy and unpacks all the components of the Anti-Fraud and Corruption Strategy that needs to be implemented for the particular year including responsible persons and targets dates. |
| **Purpose/importance** | The anti-fraud and corruption implementation plan is the measure as to how the anti-fraud and corruption strategy has been implemented |
| **Source/collection of data** | Anti-Fraud and Corruption Strategy and quarterly status report on the implementation of Anti-Fraud and Corruption Strategy |

|  |  |
| --- | --- |
| **Method of calculation** | Qualitative |
| **Data limitations** | Incomplete, inaccurate, lack of timeous information and lack of co-operation from stakeholders |
| **Type of indicator** | Efficiency and effectiveness |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Improve efficiency in the prevention, detection and reduction of corrupt activities within the sector |
| **Indicator responsibility** | Chief Director: Internal Audit, Risk Management and Special Investigations |
| **Portfolio of Evidence** | Status report on the implementation of the Anti-Fraud and Corruption plan |
| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | To Promote efficient and effective administrative processes |
| **Indicator title** | % of PAIA information requests processed |
| **Short definition** | Processing of PAIA requests for information/ records held by the department |
| **Purpose / importance** | To measure compliance to the provisions of PAIA |
| **Source / collection of data** | Registers, compliance reports |
| **Method of calculation** | Number of PAIA requests processed quarterly dived by number of PAIA request received for the quarter. |
| **Data limitations** | None |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Full compliance to Promotion of Access to Information Act |
| **Indicator responsibility** | Chief Director: Corporate Support |
| **Portfolio of evidence** | Quarterly reports on request processed on access to information as per the provisions of the act |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | A capacitated and diverse workforce in a conducive working environment |
| **Indicator title** | Percentage compliance to HR Statutory Requirements |
| **Short definition** | To implement initiatives contained in the HR Plan and comply with all HR statutory requirements and all relevant DPSA directives and PSCBC resolutions |
| **Purpose/importance** | To maximize the productivity of the National Department by optimizing the effectiveness of its employees |
| **Source/collection of data** | Analysis of DPSA HR Prescripts/ directives |
| **Method of calculation** | number of all DPSA directives and PSCBC resolutions implemented divide by all applicable DPSA directives and PSCBC resolutions |
| **Data limitations** | Data is based on what is updated in PERSAL system depends on what is updated in the system |
| **Type of indicator** | Reports & Attendance registers |
| **Calculation type** | Non-Cumulative |

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| **Reporting cycle** | Quarterly |
| **New indicator** | New |
| **Desired performance** | 100% Implementation of the approved HR Plan |
| **Indicator responsibility** | Chief Director: Human Resources Management |
| **Portfolio of Evidence** | Approved annual WSP report & quarterly training & development & expenditure PSETA reports & annual HRD Implementation Plan & Monitoring Tool |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | A capacitated and diverse workforce in a conducive working environment |
| **Indicator title** | Percentage implementation of HR Plan |
| **Short definition** | To implement initiatives contained in the HR Plan to attainment of the Departmental Strategic Plan and MTSF priorities |
| **Purpose/importance** | To maximize the productivity of the National Department by ensuring that the department attains it pre-determined objectives |
| **Source/collection of data** | Progress report on the implementation of the HR Plan, Persal and Vulindlela |
| **Method of calculation** | target achieved dived by all the milestones planned in the HR Plan for that period |
| **Data limitations** | Data is based on what is updated in PERSAL system depends on what is updated in the system |
| **Type of indicator** | Reports & Attendance registers |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | New |
| **Desired performance** | 100% Implementation of the approved HR Plan |
| **Indicator responsibility** | Chief Director: Human Resources Management |
| **Portfolio of Evidence** | Approved annual WSP report & quarterly training & development & expenditure PSETA reports & annual HRD Implementation Plan & Monitoring Tool |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | Effective and efficient legal support |
| **Indicator title** | Percentage of litigation matters received and attended to |
| **Short definition** | Attended to means work performed in terms of the SOP from date of receipt up to the relevant stage or process as determined by the court rules and/or the SOP. |
| **Purpose/importance** | To provide effective litigation management and advisory services to the Department |
| **Source/collection of data** | * Legislation * Court Rulings * Legal opinions * Legal Research |
| **Method of calculation** | Target achieved (matters received and attended to) divided by all matters received for that period (quarter or annual). |

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| **Data limitations** | Lack of electronic legal library |
| **Type of indicator** | Compliance with court rules, legislative framework |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | * Signing of motions on time * Cooperation from relevant parties |
| **Indicator responsibility** | Chief Director: Legal Services |
| **Portfolio of Evidence** | Yes, provided litigation handled and opinions and advices provided |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | Effective and efficient legal support |
| **Indicator title** | Percentage of contracts processed within reasonable timeframes |
| **Short definition** | Reasonable time frames mean processing within eight working days from having received all supporting documentation from the requesting unit. |
| **Purpose/importance** | To provide effective legal support to the Department in concluding contract with service providers |
| **Source/collection of data** | * Legislation * Court Rulings * Legal Research |
| **Method of calculation** | number of contracts processed within reasonable time (as per Contract processing SoP) divide by all contracts received for processing |
| **Data limitations** | Lack of electronic legal library |
| **Type of indicator** | Compliance with court rules, legislative framework |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Legal Sound contracts |
| **Indicator responsibility** | Chief Director: Legal Services |
| **Portfolio of Evidence** | Report on contracts processed |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | Effective and efficient legal support |
| **Indicator title** | Number of reports on developed legislative framework as per approved Legislative programme |
| **Short definition** | The indicator envisages that all the targets in the approved Legislative Programme will be achieved as planned. |
| **Purpose / importance** | To develop legislative framework that will regulate Human Settlements sector. |
| **Source / collection of data** | * Policy * Office of the State Law Advisors * The Department of Justice * Entities of the department * Public hearings |

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| **Method of calculation** | One report per quarter will record all the legislative framework developed as per approved legislative programme. The approved legislative will be the basis of performance and Performance management will be measured against what is planned in the approved legislative programme. |
| **Data limitations** | None |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Develop legislative framework to regulate Human Settlement Sector |
| **Indicator responsibility** | Chief Directorate: Legal Services |
| **Portfolio of evidence** | Reports on developed legislative framework as per approved Legislative programme |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | The ICT infrastructure is , reliable, secure and available |
| **Indicator title** | % availability of the HSS Database Systems to province |
| **Short definition** | Provision of IT support to the department and to provinces |
| **Purpose / importance** | Enable functionality of the department and allow capturing of delivery information on HSS |
| **Source / collection of data** | System reports on the availability of systems |
| **Method of calculation** | Percentage of time that the HSS database is available during office hours |
| **Data limitations** | None |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | 99.9% HSS information accessible on database systems |
| **Indicator responsibility** | Chief Director IMS & IT |
| **Portfolio of evidence** | Quarterly progress/ compliance reports on facilitated public access to HSS information on database Systems. Report on availability of HSS during office hours |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | The ICT infrastructure is , reliable, secure and available |
| **Indicator title** | Percentage availability of IT Services |
| **Short definition** | Provide 90% Internal Information Technology Support Systems to internal corporate access |
| **Purpose / importance** | To support the department in accessing efficient and effective ITS Systems for performing business operations |
| **Source / collection of data** | Footprint (Help Desk System) and User Survey Reports |
| **Method of calculation** | Check the percentage availability of the IT systems to officials of DHS |
| **Data limitations** | None |
| **Type of indicator** | Output |

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| --- | --- |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | 100% access to IT Systems |
| **Indicator responsibility** | Chief Director IMS & IT |
| **Portfolio of evidence** | Quarterly progress/ compliance reports on percentage of availability of IRT Systems to DHS officials |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | Promote internal and external communication on human settlements |
| **Indicator title** | % implementation of the approved communication strategy |
| **Short definition** | Implementation of communication strategy |
| **Purpose/importance** | Communicate the Department’s policies and programmes to all stakeholders and clients |
| **Source/collection of data** | Quantitative and Qualitative |
| **Method of calculation** | Number of communication milestones achieve divide by number of communication milestones planned for that period (quarter/annual). |
| **Data limitations** | Work not profiled and not enabling the department to report |
| **Type of indicator** | Outcome |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Improved profiling of the work done by the department |
| **Indicator responsibility** | Chief Director Communications |

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| **Strategic Outcome Oriented Goal 1** | Enhanced efficiency and effectiveness of the department |
| **Strategic Objective** | Promote internal and external communication on human settlements |
| **Indicator title** | Number of consumers reached through various communication platforms |
| **Short definition** | Reports on implemented communication strategy |
| **Purpose/importance** | Communicate the Department’s policies and programmes to all stakeholders and clients |
| **Source/collection of data** | Reports (Media clippings, campaigns, posters) |
| **Method of calculation** | Simple count of Consumers reached through various communication platforms |
| **Data limitations** | None |
| **Type of indicator** | Reports on implemented communication strategy |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | 100 percent performance in implementing the communication strategy |
| **Indicator responsibility** | Chief Director Communications |
| **Portfolio of Evidence** | Reports on implemented communication strategy |

**PROGRAMME 2: HS POLICY, STRATEGY AND PLANNING**

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| --- | --- |
| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Establishment of partnerships for human settlements |
| **Indicator title** | A Multiyear human settlements development plan Developed |
| **Short definition** | Establishment of horizontal and vertical consultative mechanisms among spheres of government contributing to human settlements development, Strengthening of partnerships with private sector for human settlements development |
| **Purpose/importance** | To measure the alignment of human settlements development plans to other sectorial spheres of government |
| **Source/collection of data** | Memorandum of agreements entered into and reports on their implementation, Provincial Business Plans and BEPP’s |
| **Method of calculation** | simple count and verification of the existence of Multi-year human settlements development plan |
| **Data limitations** | Lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Human settlements development plans aligned to other sectoral delivery initiatives |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | An approved Multiyear human settlements development plan |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Approved National Human Settlements Development Plan for Provinces |
| **Short definition** | An Annual Business Plan for Human Settlements Development aligned with other sectorial spheres of government |
| **Purpose/importance** | To ensure that planned projects are in line with Human Settlements Priorities (MTSF) and are coordinated and aligned to other sectorial spheres development initiatives |
| **Source/collection of data** | Provincial Business Plans |
| **Method of calculation** | Verification of the Provincial Business Plans submitted |
| **Data limitations** | Incomplete, inaccurate information from the provincial business plans |
| **Type of indicator** | Output indicator |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |

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| **Desired performance** | Human Settlements Development aligned with other sectorial spheres of government |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | Approved Multi-Year Plan and Annual National Human Settlements Development Plan for Provinces |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Guidelines for Human Settlements Planning and Design revised (Red Book) |
| **Short definition** | A guideline for human settlements spatial planning and design |
| **Purpose/importance** | Ensures that human settlements spatial planning practices are adhered to |
| **Source/collection of data** | Provincial business plans and readiness matrix |
| **Method of calculation** | Verification of the existence of the revised guidelines for HS Planning and design |
| **Data limitations** | Lack of financial resource, and lack of co-operation from stakeholders |
| **Type of indicator** | Output Indicator |
| **Calculation type** | Cumulative for the year |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Human Settlements investments directed along the priority precincts |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | A draft revised red book |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Approved Human Settlements Master Spatial Plan |
| **Short definition** | A Master Spatial Framework that will guide Framework to ensure spatial, social and economic integration (spatial targeting) of human settlements developed |
| **Purpose/importance** | To direct human settlements investments along the priority precincts / corridors |
| **Source/collection of data** | SDF for provinces and municipalities, Provincial Business plans |
| **Method of calculation** | Verification of the existence of the approved HS Master Spatial Plan |
| **Data limitations** | Unavailability of geo-spatially referenced information on planned projects |
| **Type of indicator** | Output |

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| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Human Settlements investments directed along the priority areas |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | Approved MSP , Reports on consultation with other spheres of government and stakeholders |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Number of title deeds backlog eradicated |
| **Short definition** | To track performance on the eradication of title deeds |
| **Purpose/importance** | To manage performance in relation to the title deeds backlog |
| **Source/collection of data** | Progress reports (POA) |
| **Method of calculation** | Simple count and verification of the presence of reports on the eradication of title deeds backlog |
| **Data limitations** | Poor reporting and lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Eradication of the title deeds backlog |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | A status report on the number of title deeds eradicated |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Number of Hectares of well-located land acquired, rezoned, and released for new developments |
| **Short definition** | To track performance on the acquiring, rezoning and release of well-located land for new developments |
| **Purpose/importance** | To manage performance in relation to acquiring, rezoning and release of well-located land for new developments |
| **Source/collection of data** | Progress reports (POA) |

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| **Method of calculation** | Simple count and verification of the presence of reports on hectares of land rezoned and released for HS development targeting poor households |
| **Data limitations** | Poor reporting and lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Well located Land acquired for human settlements development rezoned and released for human settlements |
| **Indicator responsibility** | CD Planning |
| **Portfolio of Evidence** | A status report detailing the hectares of land acquired, rezoned and released for new developments |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | D Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Approved Human Settlements White Paper |
| **Short definition** | The development of the Green/ Draft White Paper for Human Settlements |
| **Purpose/importance** | To guide human settlements planning and implementation to better realise constitutional housing rights |
| **Source/collection of data** | Research reports, recorded proceedings of the Consultative Engagements |
| **Method of calculation** | simple count and verification of the presence of an approved HS White Paper |
| **Data limitations** | Lack of inputs and cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | Green/ A draft White Paper |
| **Indicator responsibility** | CD : Operational Frameworks |
| **Portfolio of Evidence** | Research reports, recorded proceedings of the Consultative Engagements and Green / A draft White Paper on Human Settlements |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | Human settlements code approved |
| **Short definition** | The review of the housing code to human settlements code to guide programme implementation |

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| **Purpose/importance** | To guide programme implementation through the application of norms and standards applicable to the housing /human settlements sector |
| **Source/collection of data** | Research reports on the review of the existing programmes |
| **Method of calculation** | Simple count and verification of approved Human Settlements regulations |
| **Data limitations** | Lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | A revised Human settlements Code |
| **Indicator responsibility** | CD : Operational Frameworks |
| **Portfolio of Evidence** | A draft Framework for Human Settlements Code |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Development of human settlements policies and programmes for improved alignment |
| **Indicator title** | A Revised Accreditation Framework |
| **Short definition** | Framework for accreditation of Municipalities revised |
| **Purpose/importance** | Measure progress on the accreditation of municipalities to perform housing administrative function |
| **Source/collection of data** | Reports on the number of municipalities accredited |
| **Method of calculation** | Qualitative |
| **Data limitations** | Lack of information on the process and lack of cooperation by Provinces and Municipalities |
| **Type of indicator** | Output |
| **Calculation type** | cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | A revised accreditation framework, All 21 Municipalities accredited at various levels to perform housing administrative function |
| **Indicator responsibility** | CD : Operational Frameworks |
| **Portfolio of Evidence** | A revised accreditation framework , A report on the number of municipalities accredited |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Establishment and strengthening of partnerships for human settlements |
| **Indicator title** | Number of Provinces and Metros supported on the implementation of PHP Policy |
| **Short definition** | Provinces and Municipalities including Metros are supported in the implementation of the enhanced PHP Policy Framework by advocating and creating awareness on the Policy, in collaboration with provinces and metros identify communities interested in PHP, conduct PHP capacity development initiatives to the Provinces, Municipalities and Metros, PHP Sector Support Agencies and communities, support Provinces/Municipalities and Metros with PHP project pipelining by facilitating PHP planning and conduct oversight on the roll-out of the Programme in all provinces through monitoring and evaluating the implementation of PHP in line with approved provincial business plans |
| **Purpose/importance** | The indicator demonstrates the Department’s intervention in the implementation of the PHP Policy as it contributes to the achievement of the human settlements outcomes (housing opportunities, enhances the self-help methodology, builds community and contributes to the achievement of the NDP by capacity building and Empowerment) |
| **Source/collection of data** | Reports on engagements with Provinces/ Municipalities and Metros  Reports on all PHP capacity development workshops conducted  Reports on PHP projects planned  Reports on oversight conducted to PHP projects. |
| **Method of calculation** | Simple count and verification of support provided to provinces and metros on implementation of PHP policy |
| **Data limitations** | Lack of planning for PHP by some Provinces (only six (6) Provinces implementing PHP |
| **Type of indicator** | Output / Process |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | All the nine (9) Provinces implementing the PHP |
| **Indicator responsibility** | Chief Director: IGR: DDG Human Settlements planning |
| **Portfolio of Evidence** | Reports on engagements with Provinces/ Municipalities and Metros  Reports on all PHP capacity development workshops conducted  Reports on PHP projects planned  Reports on oversight conducted to PHP projects |

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| **Strategic Outcome Oriented Goal 2** | Integrated and responsive housing/human settlements sector planning and policy environment |
| **Strategic Objective** | Establishment and strengthening of partnerships for human settlements development |
| **Indicator title** | A report on stakeholder participating on Human Settlements Development |
| **Short definition** | Mobilisation and strengthening of stakeholder participation on Human Settlements Development through IGR engagements and oversight to achieve the Human Settlements/ MTSF outcomes  ( Public, private sector, NGO’s/ CBO’s and international stakeholders) |
| **Purpose/importance** | The indicator seeks to promote stakeholder participation (*Public and Private sector)* on human settlements development, ensure that there is improved intergovernmental coordination within the sector and with other sectoral spheres of government and track performance through quarterly reviews. |
| **Source/collection of data** | Memorandum of agreements entered into, reports on stakeholder forums held, Service level agreements signed and implemented, Report on quarterly reviews conducted |
| **Method of calculation** | simple count and verification of the existence of report on stakeholder participation to human settlements development |
| **Data limitations** | Lack of cooperation by stakeholders, inaccurate reports submitted |
| **Type of indicator** | Output |
| **Calculation type** | Non-cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Increased stakeholder participation in human settlements development and improved intergovernmental coordination to achieve human settlements outcomes |
| **Indicator responsibility** | DDG: Human Settlements Strategy, Chief Director Stakeholder relations and IGR, Chief Director Executive Support |
| **Portfolio of Evidence** | * Reports detailing stakeholders mobilized and participating in human settlements development. * A report on the number of service level agreements signed and implemented across the sector. * Signed agreements with other sectoral spheres of government * A report detailing the contribution of the financial institutions towards human settlements development * A report detailing the functioning of the IGR Forum (Quarterly reviews) * A report on MINMEC, Implementation Forums and Technical MINMEC. * A report on international engagements to achieve Human Settlements outcomes |

**PROGRAMME 3: PROGRAMME MONITORING AND DELIVERY SUPPORT**

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of catalytic projects implemented |
| **Short definition** | Catalytic Projects are the National priority projects aimed at addressing spatial, social and economic integration |
| **Purpose/importance** | To achieve better spatial targeting |
| **Source/collection of data** | Collected from Provincial plans and Built Environment Performance Plans (BEPPs) |
| **Method of calculation** | simple count of catalytic project implemented |
| **Data limitations** | Reliability and credibility of information obtained from Provinces and metros |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Functional settlements that are spatially, socially and economically integrated |
| **Indicator responsibility** | Chief Director: Program and Project Planning |
| **Portfolio of Evidence** | Quarterly Reports on Catalytic project Implemented. |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of human settlement interventions implemented in mining towns |
| **Short definition** | Human Settlements interventions in mining towns to address with housing needs |
| **Purpose/importance** | Intervention to deal with housing / human settlements challenges in mining towns |
| **Source/collection of data** | Reports on interventions implemented |
| **Method of calculation** | simple count of HS interventions in mining towns |
| **Data limitations** | Reliant on credible information obtained from Provinces |
| **Type of indicator** | Output and Outcome |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |

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| **Desired performance** | Mining communities benefiting on human settlements interventions |
| **Indicator responsibility** | Chief Director: Program and Project Planning |
| **Portfolio of Evidence** | Reports on Mining town interventions implemented |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of feasibilities on informal settlements conducted |
| **Short definition** | Assessment and Categorisation of informal settlements within Municipalities |
| **Purpose/importance** | Assessment of informal settlements in line with National Development Directives to determine the possible future development of the settlements. Informal settlements are assessed to determine whether the settlements can be upgraded in situ, whether the settlement needs to be relocated to alternative land or whether part of the settlement can be upgraded in situ and part of the community needs to be relocated. |
| **Source/collection of data** | Reports |
| **Method of calculation** | simple count of feasibilities on informal settlements conducted |
| **Data limitations** | Lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Informal settlements assessed to inform human settlements planning broadly across all spheres |
| **Indicator responsibility** | CD: Programme Implementation Facilitation |
| **Portfolio of Evidence** | Reports on feasibilities on informal settlements conducted |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of informal settlement upgrading plans developed |
| **Short definition** | Settlement level plans for informal settlements |
| **Purpose/importance** | Development of upgrade plans to enable the upgrade process |
| **Source/collection of data** | Reports |
| **Method of calculation** | simple count of informal settlements upgrading plans developed |
| **Data limitations** | Incomplete information |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |

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| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Informal Settlements Upgrading Plans developed, integrated in the municipalities IDP and prioritised |
| **Indicator responsibility** | CD: Programme Implementation Facilitation |
| **Portfolio of Evidence** | Reports on the upgrade plans developed |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number households upgraded to phase 2 of the informal settlements upgrading programme |
| **Short definition** | Households upgraded to phase 2 in terms of the Upgrading of Informal Settlements Programme (UISP) |
| **Purpose/importance** | To ensure that the households have access to basic (phase 2) services |
| **Source/collection of data** | Reports from Provinces and Municipalities |
| **Method of calculation** | Non-Cumulative |
| **Data limitations** | Inaccurate and incomplete information |
| **Type of indicator** | simple count of households upgraded to phase 2 |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Households have access to basic services |
| **Indicator responsibility** | CD: Programme Implementation Facilitation |
| **Portfolio of Evidence** | Outcome 8 Report (POA) |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of individual units for subsidy housing opportunities provided |
| **Short definition** | Provisioning of housing units for subsidy housing market (This includes units for military veterans). |
| **Purpose/importance** | To provide access to adequate housing in quality living environments |
| **Source/collection of data** | POA reports |
| **Method of calculation** | Simple count of housing units for subsidy housing opportunities |
| **Data limitations** | Inaccurate and incomplete information |
| **Type of indicator** | Output |

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| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Poor households provided with adequate housing in quality living environments |
| **Indicator responsibility** | CD: Programme Implementation Facilitation |
| **Portfolio of Evidence** | Outcome 8 Report |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of affordable Rental Housing opportunities delivered |
| **Short definition** | Provide affordable Rental Housing opportunities across all income bands |
| **Purpose/importance** | To deal with housing challenges and market related challenges with respect to housing. |
| **Source/collection of data** | Reports from Entities, Provinces and Metros (POA) |
| **Method of calculation** | simple count of affordable rental housing opportunities |
| **Data limitations** | Reliant on credible information obtained |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Increase in affordable rental housing |
| **Indicator responsibility** | Director: Rental Housing CODHI |
| **Portfolio of Evidence** | Number of affordable Rental Housing opportunities delivered |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of Social Housing units provided |
| **Short definition** | Provide affordable Rental Housing opportunities |
| **Purpose/importance** | Increase in rental accommodation |
| **Source/collection of data** | POA report |
| **Method of calculation** | simple count of social housing units |
| **Data limitations** | Reliant on credible information obtained from Provinces |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |

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| **New indicator** | No |
| **Desired performance** | Affordable rental housing opportunities created |
| **Indicator responsibility** | Director: Rental Housing CODHI |
| **Portfolio of Evidence** | Reports on affordable Rental Housing opportunities delivered |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of Community Residential Units (CRU) delivered |
| **Short definition** | Delivery of the Community Residential Units to ensure that rental stock is available to the low-income households |
| **Purpose/importance** | Rental accommodation made available |
| **Source/collection of data** | Provinces and municipalities Reports |
| **Method of calculation** | simple count of Community Residential Units |
| **Data limitations** | Data accuracies |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Delivery of rental stock |
| **Indicator responsibility** | Director: Rental Housing CODHI |
| **Portfolio of Evidence** | Reports on Community Residential Units (CRU) delivered |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Enhanced sector monitoring and evaluation |
| **Indicator title** | Percentage of projects under implementation monitored and verified |
| **Short definition** | Percentage of projects financed through the HSDG and USDG monitored and verified |
| **Purpose/importance** | Verification of the performance reported by the Provincial Department of Human Settlements and Metropolitan Municipalities |
| **Source/collection of data** | HSS, Provincial Department of Human Settlements and Metropolitan Municipalities, POA |
| **Method of calculation** | Number of projects monitored and verified divide by number of all projects financed through the HSDG and USDG. |
| **Data limitations** | Data inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Reliable and Verifiable performance information |
| **Indicator responsibility** | CD: Programme Monitoring and Evaluation |
| **Portfolio of Evidence** | Reports on the performance of Provinces and Metropolitan Municipalities with the implementation of human settlements and projects |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Enhanced sector monitoring and evaluation |
| **Indicator title** | Number of Quarterly reports on the implementation of Outcome 8 |
| **Short definition** | POA report on the performance of the sector |
| **Purpose/importance** | Managing performance in line with the grants disbursed |
| **Source/collection of data** | Delivery reports from PHSDs and data extracted from the HSS |
| **Method of calculation** | simple count of reports on implementation of Outcome 8 |
| **Data limitations** | Data Inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Updated and reliable data analysis reporting |
| **Indicator responsibility** | CD: Programme Monitoring and Evaluation |
| **Portfolio of Evidence** | Data analysis reports on human settlements projects(delivery performance) funded from the Human Settlements Development |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Enhanced sector monitoring and evaluation |
| **Indicator title** | Number of evaluation studies completed |
| **Short definition** | Evaluation of existing programmes |
| **Purpose/importance** | Policy decision making |
| **Source/collection of data** | Evaluation reports |
| **Method of calculation** | simple count of evaluation studies completed |
| **Data limitations** | Delays in completing the evaluation studies, lack of cooperation by stakeholders |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Completed evaluations |
| **Indicator responsibility** | CD: Programme Monitoring and Evaluation & CD: HS Strategy |
| **Portfolio of Evidence** | Evaluation Reports |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Enhanced sector monitoring and evaluation |
| **Indicator title** | Number of data analysis reports on delivery trends produced |
| **Short definition** | Data analysis reports that provide information on human settlements delivery trends. |
| **Purpose/importance** | Managing performance in line with the grants disbursed |
| **Source/collection of data** | Delivery reports from PHSDs and data extracted from the HSS |
| **Method of calculation** | Simple count of data analysis reports on delivery trends |
| **Data limitations** | Data unavailability, unreliability and/or inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Updated and reliable data analysis reporting |
| **Indicator responsibility** | CD: Programme Monitoring and Evaluation |
| **Portfolio of Evidence** | Data analysis reports on human settlements projects (delivery performance) . |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Single Development Finance Institution established |
| **Short definition** | Consolidation of DFI’s |
| **Purpose/importance** | Single DFI to support delivery and the transformation of the property market |
| **Source/collection of data** | Reports on the milestones achieved |
| **Method of calculation** | simple count of the reduction of existing DFIs to the envisaged one DFI |
| **Data limitations** | Lack of availability of information due to delayed processes |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Single DFI established within scheduled time. |
| **Indicator responsibility** | Chief Director: Regulatory Compliance Services |
| **Portfolio of Evidence** | Progress reports on the establishment of Single DFI |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Provide implementation support on the delivery of adequate housing |
| **Indicator title** | Number of Shareholder Compacts of the human settlements entities aligned to the MTSF |
| **Short definition** | The indicator target 6 Shareholder Compacts that represent an agreement between the Executive Authority and the Accounting Authority of the entity, with respect to performance expectations and parameters. It does not replace the annual performance plan but it rather complements it. It describes the relationship between the signatories and identifies the behaviour that would be required on both sides to support effective management and performance of the entity. |
| **Purpose/importance** | The Shareholder Compact documents key performance measures and indicators to be attained by the public entity as approved by the Minister in the Annual Performance Plans for the year of agreement. |
| **Source/collection of data** | Entities submit the Shareholder Compacts to the Department |
| **Method of calculation** | simple count of HS entities shareholder compacts aligned to MTSF submitted for approval |
| **Data limitations** | Delays is submissions by the entities may affect the final approval of the Shareholder Compacts |
| **Type of indicator** | Output Indicator |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Annually |
| **New indicator** | Yes |
| **Desired performance** | 6 Shareholder Compacts of the human settlement entities submitted in time and aligned to the APP targets |
| **Indicator responsibility** | Chief Director: Regulatory Compliance Services |
| **Portfolio of Evidence** | 6 Shareholder Compacts of the human settlement entities (2016/17) |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Improved support and capacity for the human settlements sector |
| **Indicator title** | Number of youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes |

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| **Short definition** | * 2250 youth & women, 200 military veterans and 410 officials at all spheres of government trained on human settlements skills development programmes. * Quarter 1- 525 Youth &women   Quarter 2- 575 Youth &women  Quarter 3- 575 Youth &women  Quarter 4- 575 Youth &women   * 50 Military veterans per quarter * Quarter 1- 102 Officials   Quarter 2- 102 Officials  Quarter 3- 103 Officials  Quarter 4- 103 Officials   * Educate 20 000 beneficiaries and housing consumers on their roles and responsibilities * Quarter 1- 3000 beneficiaries * Quarter 2- 7000 beneficiaries * Quarter 3- 6000 beneficiaries * Quarter 4- 4000 beneficiaries |
| **Purpose/importance** | * To develop the skills of youth, women, military veterans and officials to enable them to perform their duties adequately and to enhance service delivery. * To assist beneficiaries and housing consumers to be informed of their roles and responsibilities to enable them to take informed and responsible decisions on their housing needs. |
| **Source/collection of data** | Workshops and formal training programmes, training materials and booklets. |
| **Method of calculation** | Simple count of youth, women, military veterans, government officials and consumers who attended the training on available finance options and Human Settlements programmes |
| **Data limitations** | The data provided will only be numbers trained and will not include aspects of improvement in quality of life etc. |
| **Type of indicator** | Impact |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | 22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes |
| **Indicator responsibility** | CD : Technical Capacity Development, CD: Governance Frameworks and CD: Military Veterans |
| **Portfolio of Evidence** | Report on Training provided  Attendance Registers |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Improved support and capacity for the human settlements sector |
| **Indicator title** | Number of provinces and metros supported in the implementation of capacity development programmes |
| **Short definition** | * Provision of capacity support to provinces and metros by developing guidelines for capacity development programmes, * Monitor the implementation of capacity development programmes. * Coordinate training / capacity development interventions with institutions of Institutions of Higher Learning, TVET Colleges and Human Settlements entities, targeting youth, women and officials across the three spheres of government. * Manage the implementation of programmes and projects to professionalise the Human Settlements Sector |
| **Purpose/importance** | Capacity development interventions critical for delivery in the built environment. |
| **Source/collection of data** | Provinces, Metros, Institutions of Higher Learning, TVETS and Human Settlements entities (NHBRC & EAAB) collaborating with the Department. |
| **Method of calculation** | Capacity development programmes implemented |
| **Data limitations** | Lack of cooperation by stakeholders |
| **Type of indicator** | Process |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | Yes |
| **Desired performance** | This target is for monitoring the implementation of capacity development programmes |
| **Indicator responsibility** | Chief Director: Technical Capacity Development |
| **Portfolio of Evidence** | Guidelines for capacity development  Implementation reports on capacity development programmes implemented  Reports on the implementation of programmes and projects to professionalise the Human Settlements Sector |

**Programme 4: Housing Finance**

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution |
| **Indicator title** | Approved Human Settlements Grants Framework |
| **Short definition** | Development of the grant framework for disbursement |
| **Purpose/importance** | Guide the disbursement of Human Settlements Grants |
| **Source/collection of data** | BAS |
| **Method of calculation** | verification of the presence of an Approved Human Settlements Grants Framework |
| **Data limitations** | Data inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Annually |
| **New indicator** | No |
| **Desired performance** | Grants disbursed and expenditure trends tracked |
| **Indicator responsibility** | Chief Investment Officer |
| **Portfolio of Evidence** | Approved Grant Framework |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution |
| **Indicator title** | Number of HSDG and USDG quarterly performance reports |
| **Short definition** | Reporting on the HSDG and USDG as per prescripts |
| **Purpose/importance** | Accounting on grants disbursed |
| **Source/collection of data** | BAS reports |
| **Method of calculation** | simple of report for HSDG and USDG |
| **Data limitations** | Data inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Non-Cumulative |
| **Reporting cycle** | Quarterly |
| **New indicator** | No |
| **Desired performance** | Adherence to prescripts and analysis of expenditure trends in line with performance |

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| **Indicator responsibility** | Chief Investment Officer |
| **Portfolio of Evidence** | Quarterly Reports on HSDG and USDG |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution |
| **Indicator title** | Approved annual report on the performance of financial institutions and their lending patterns |
| **Short definition** | Tracking performance of financial institutions on home loans granted |
| **Purpose/importance** | Track performance to improve the residential property market |
| **Source/collection of data** | Reports |
| **Method of calculation** | verification of the presence of an Approved annual report on the performance of financial institutions and their lending patterns |
| **Data limitations** | Data inconsistencies |
| **Type of indicator** | Output |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Annually |
| **New indicator** | Yes |
| **Desired performance** | Information on the performance of the property market informing programmes of the department |
| **Indicator responsibility** | Chief Investment Officer |
| **Portfolio of Evidence** | Annual Report on the performance of financial institutions and their lending patterns |

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| **Strategic Outcome Oriented Goal 3** | Increased delivery of adequate housing in quality living environments |
| **Strategic Objective** | Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution |
| **Indicator title** | Revised state finance products for the affordable gap submarket |
| **Short definition** | Revision of the housing subsidy regime |
| **Purpose/importance** | Better offering to consumers in the affordable gap market to access housing finance |
| **Source/collection of data** | Reports on lending patterns (FLISP) |
| **Method of calculation** | Approved annual report on the performance of financial institutions and their lending patterns |
| **Data limitations** | Incomplete information |
| **Type of indicator** | Input |
| **Calculation type** | Cumulative |
| **Reporting cycle** | Quarterly |

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| **New indicator** | No |
| **Desired performance** | Better performance of the state products |
| **Indicator responsibility** | Chief Investment Officer |
| **Portfolio of Evidence** | Reports on state finance products for the affordable gap submarket |