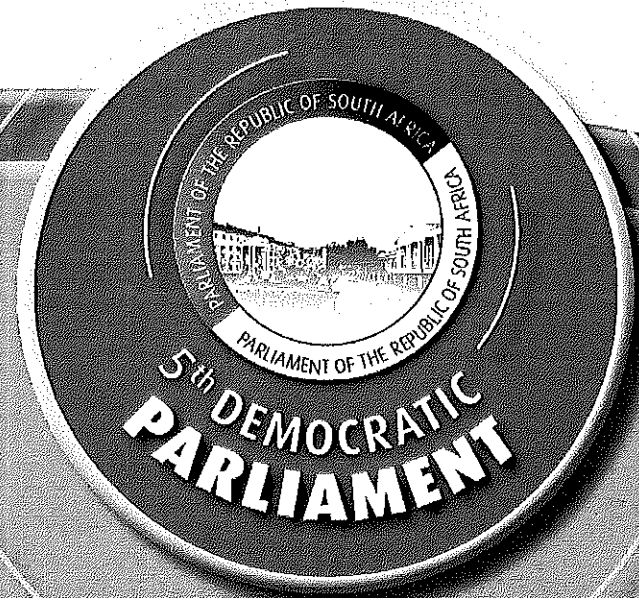




PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA



PERFORMANCE IN THE 3rd QUARTER

2016/17

October – December 2016



PARLIAMENT
OF THE REPUBLIC OF TURKEY

PRESENTATION LAYOUT

- ❖ **PART 1: Background Information**
- ❖ **PART 2: Strategic Outcome-Oriented Goals**
- ❖ **PART 3: Overall Institutional Performance**
- ❖ **PART 4: Conclusion**



PARLIAMENT
OF THE REPUBLIC OF SERBIA

PART 1

BACKGROUND INFORMATION



PARLIAMENT
of the Republic of South Africa

The Constitution

of the Republic of South Africa, 1996



Act 108 of 1996

VISION

An activist and responsive people's Parliament that improves the quality of life of South Africans and ensures enduring equality in our society

MISSION

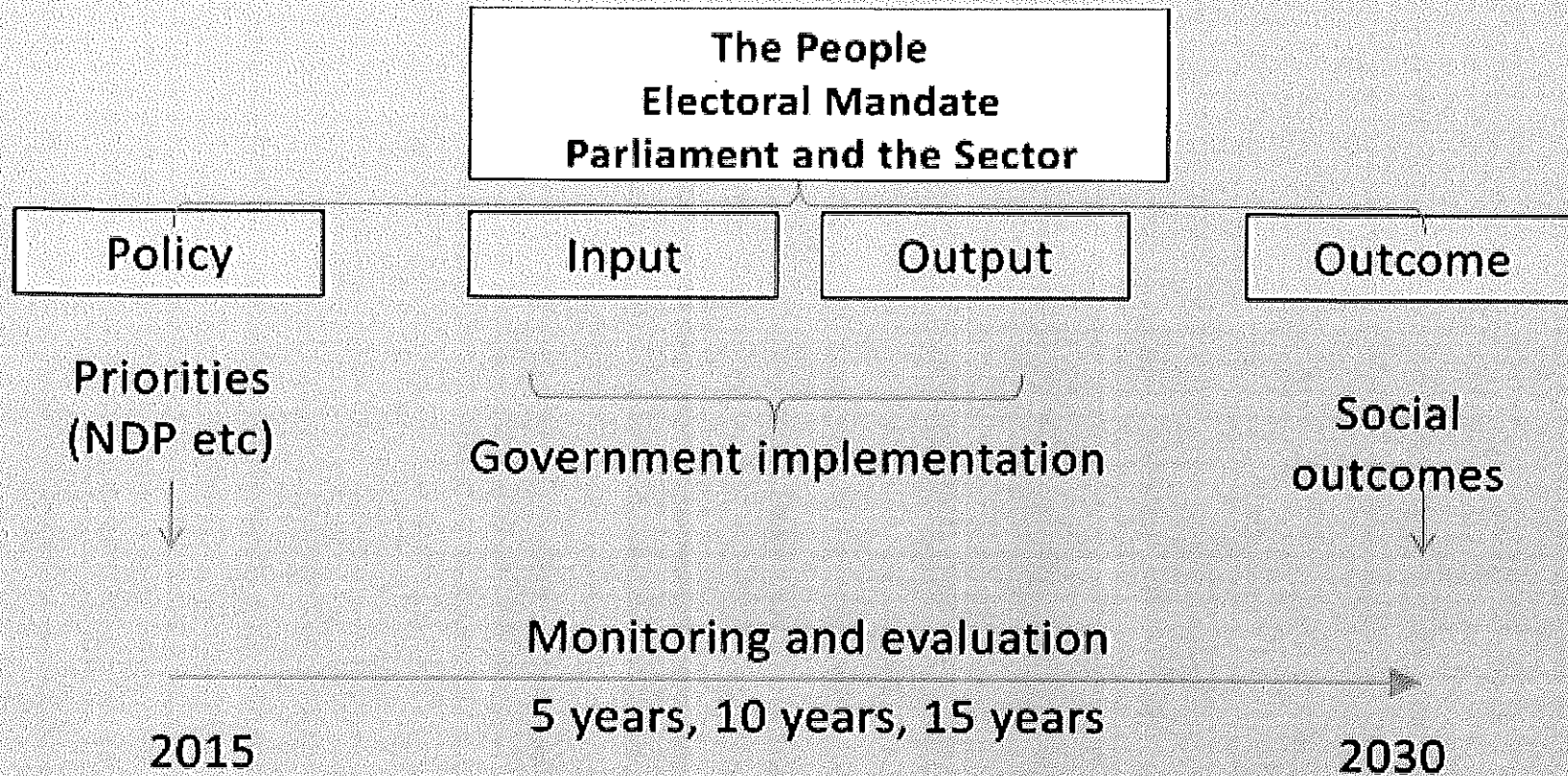
- Providing a vibrant people's assembly;
- Providing effective oversight over the Executive
- Providing participation of South Africans in the decision-making processes
- Providing a healthy relationship between the three arms of the State
- Providing an innovative, transformative, effective and efficient parliamentary service

VALUES

Openness - Responsiveness - Accountability - Teamwork - Professionalism - Integrity

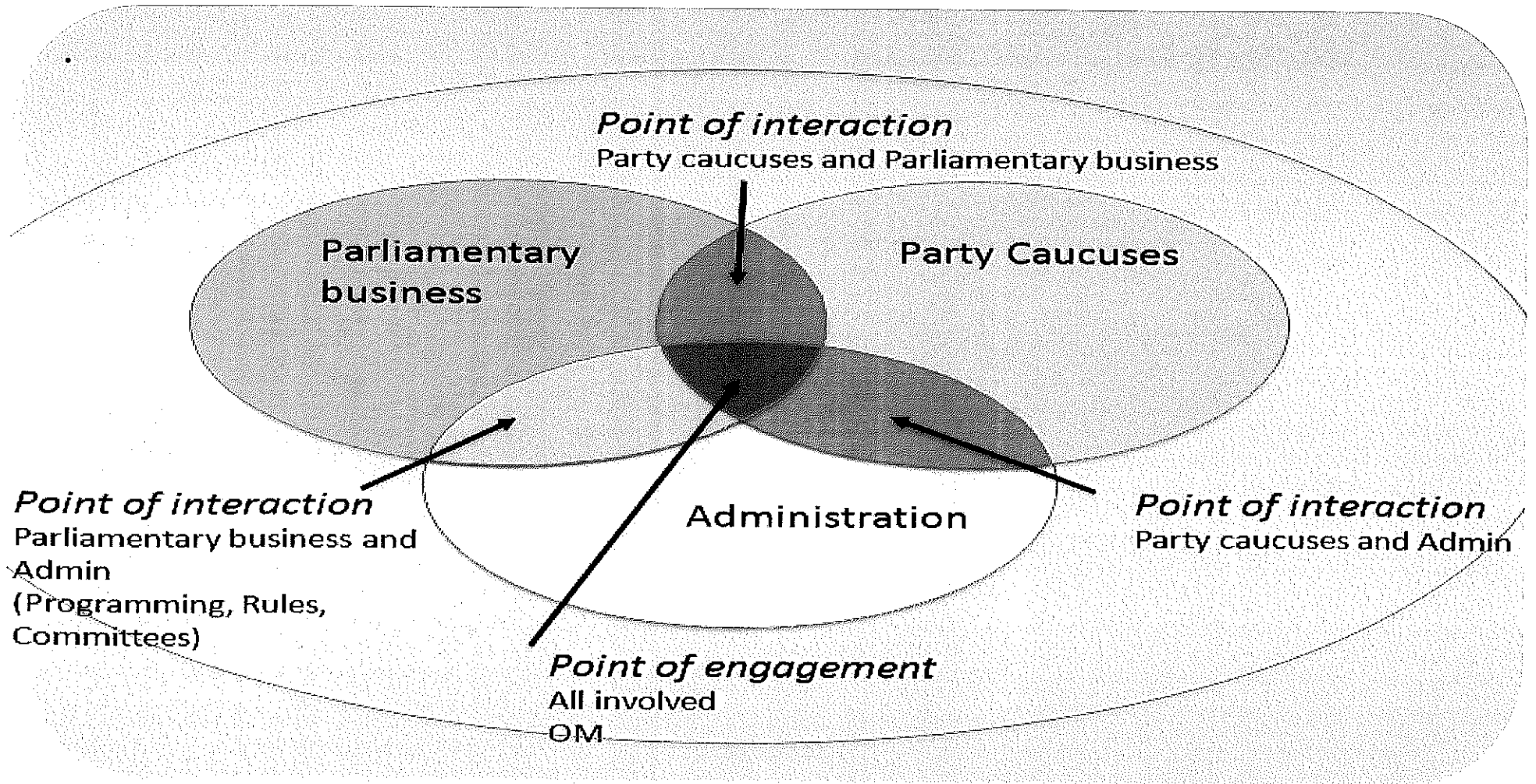


THE OUTRCOMES OF PARLIAMENT





INSTITUTIONAL ARCHITECTURE





PARLIAMENT
OF THE REPUBLIC OF KENYA

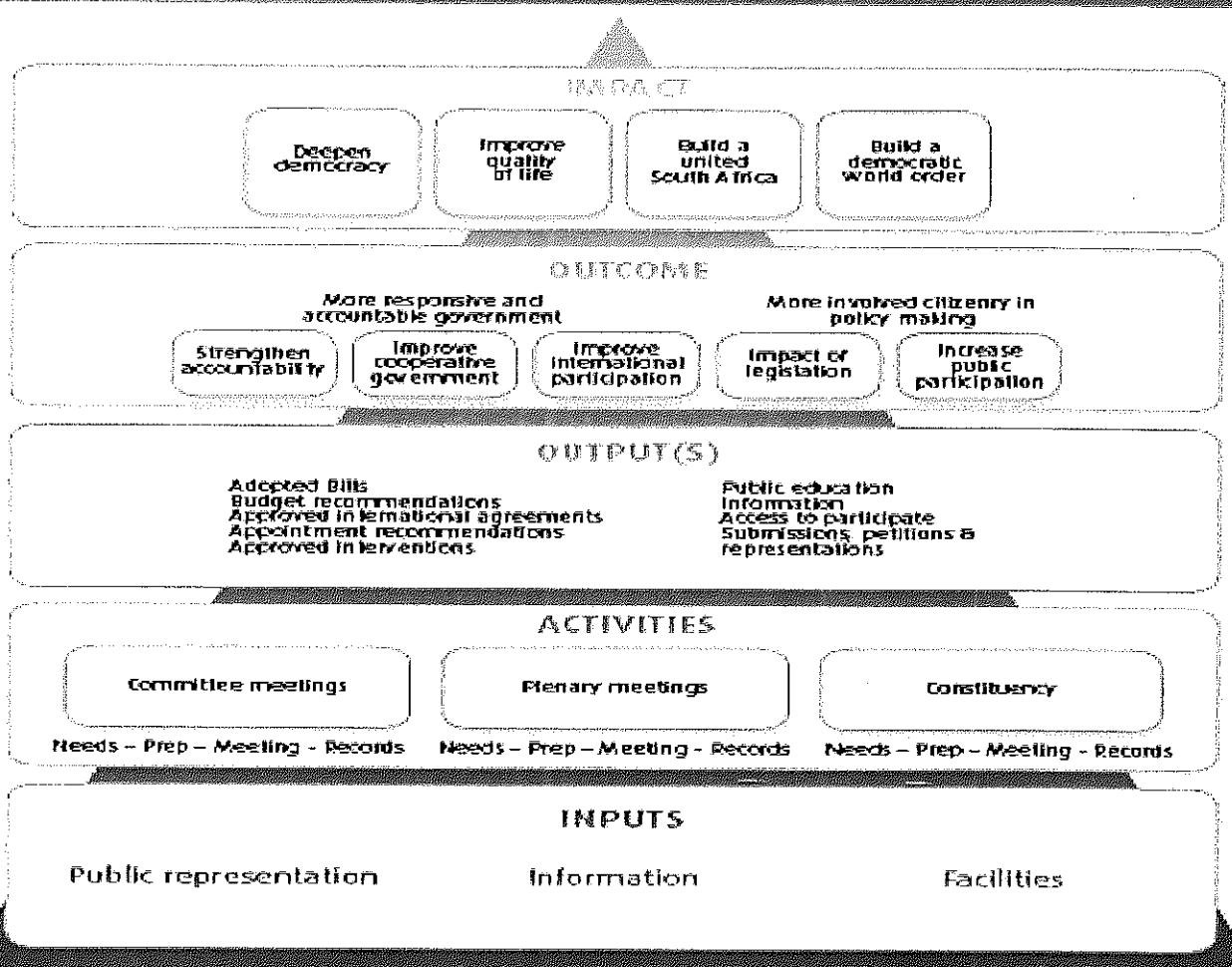
PART 2

STRATEGIC OUTCOME ORIENTED GOALS



PARLIAMENT
OF BOTSWANA

STRATEGIC OUTCOME ORIENTED GOALS





PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

GOAL 1: ENHANCED OVERSIGHT AND ACCOUNTABILITY

Oversight & Accountability
Programmes; House Papers;
Research;
Analysis services;
Procedural Advice;
Legal Advice;
ICT, Payments, Communications

Support provided to the Houses and its Committees

- Legislation, questions, debates, statements, Medium Term Budget Policy Statement, statutory appointment, Ad hoc Committee, etc

POLICY -
STATE OF
THE NATION
ADDRESS

BUDGET

LEGISLATION
AND
OVERSIGHT

MEDIUM
TERM
EXPENDITURE
FRAMEWORK

Focussed
oversight
programme



PARLIAMENT
OF BOTSWANA

GOAL 1: ENHANCED OVERSIGHT AND ACCOUNTABILITY

Research Support
Secretariat support
Minutes
Resolutions tracking
Reports
Information requests
Comm services
ICT support

● Interim
Constitution
and Senate

● Constitution
and NCOP

● 1st to 4th
Parliament -
existence of the
NCOP

● The 20th
anniversary
of the
Constitution
and the
establishment
of the
NCOP



PARLIAMENT
OF SOUTH AFRICA

GOAL 2: IMPROVED CO-OPERATIVE GOVERNMENT AND SOUND INTER-GOVERNMENTAL RELATIONS

Corp gov mechanism
Research Support
Minutes
Resolutions tracking
Reports
Information requests
Comm services
ICT support

**Strengthening
Sector Collaboration**

**Draft Legislative Sector Bill
referred to legislatures**

Regulates, promotes and facilitates inter-
legislature relations including co-
operation with Municipal Councils

Provides for the governance of the
legislative sector and standardisation

Provides for the establishment of the Institute for
South African Legislatures and for mechanisms and
procedures to facilitate the settlement of inter-
legislature disputes





PARLIAMENT
OF SOUTH AFRICA

GOAL 3: ENHANCED PUBLIC INVOLVEMENT TO REALISE PARTICIPATORY DEMOCRACY

Public Participation
Model;
Research Support
Minutes
Resolutions tracking
Reports
Information requests
Comm services
ICT support

Progress on public participation

Level 4: Partner:

High Level Panel (Public Hearings in Gauteng, Western Cape and KwaZulu Natal)

Level 3: Involve:

Taking Parliament to the People Programme (pre-visits: 18-21 Oct; Campaign: 14 – 19 Nov)

Level 2: Consult:

Bills: 15 bills debated and/or passed

MTBPS: debated

Level 1: Inform:

Public education programmes: Continuous programmes



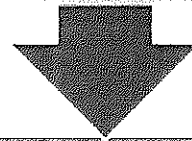
PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

GOAL 3: ENHANCED PUBLIC INVOLVEMENT TO REALISE PARTICIPATORY DEMOCRACY

Taking Parliament to the People Programme: Sector approach implemented

INPUT

Pre-Visit Programme in the Alfred Nzo District Municipality: **18-21
October 2016**



ACTIVITY

Taking Parliament to the People Programme (TPTPP) in the Eastern
Cape: **14 – 19 November 2016**



OUTPUT

Consolidated Report on TPTTP completed and distributed to governance
structure



PARLIAMENT
of South Africa

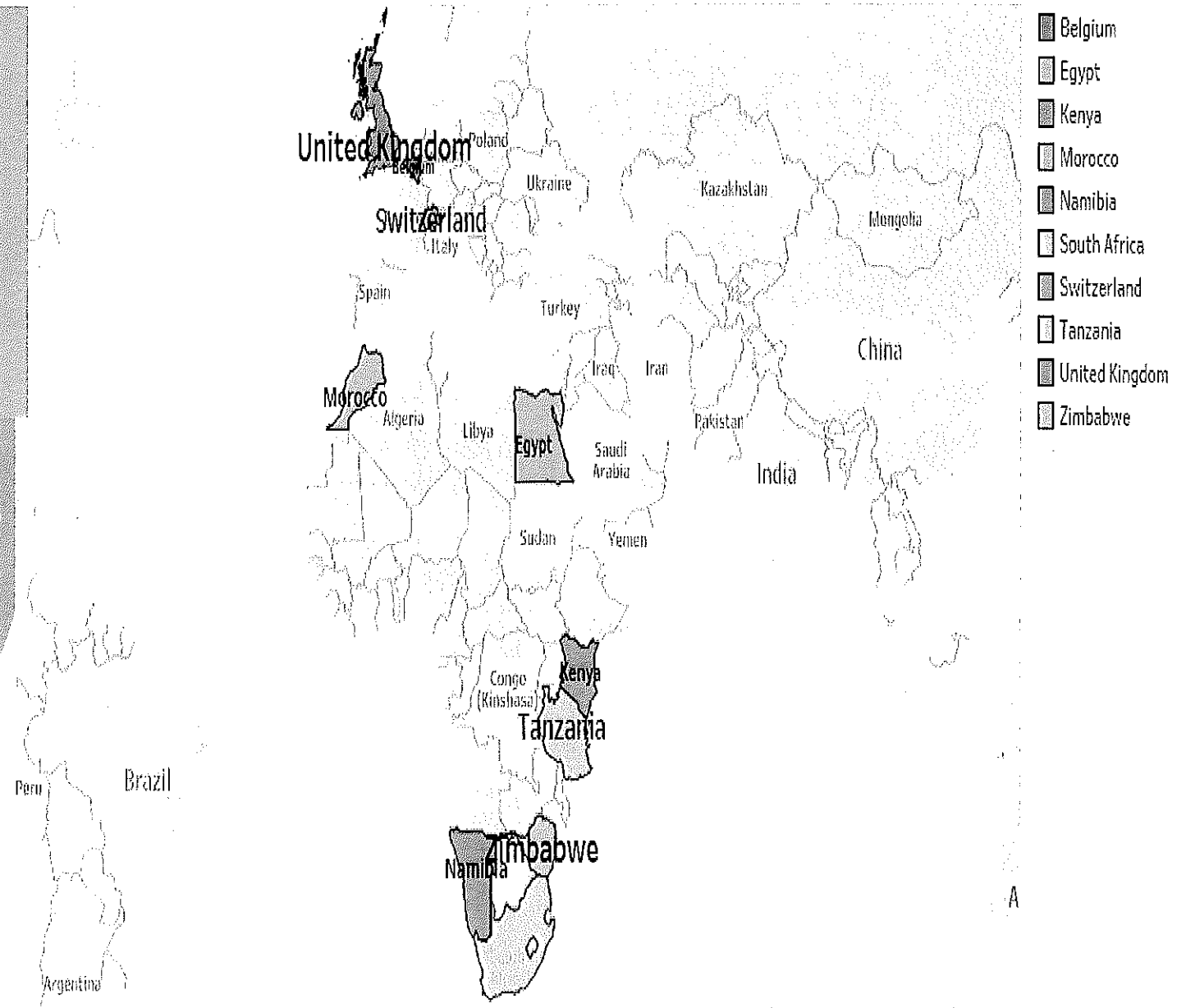
GOAL 4: ENHANCED PARLIAMENTARY INTERNATIONAL ENGAGEMENT AND COOPERATION

Multi-lateral Engagements

International agreements analysed
Research support
Reports
State protocol services
Information requests
Comin services and ICT support

Climate Change Committee Meetings

Plenary & Conference Natural Resources





PARLIAMENT
OF ZIMBABWE

GOAL 4: ENHANCED PARLIAMENTARY INTERNATIONAL ENGAGEMENT AND COOPERATION

Bilateral Engagements

International agreements analysed;
Research & reports;
State protocol services;
Information requests;
Comm services & ICT support

Courtesy
Call

Meeting
4

Study Visit
2

Official
Visit

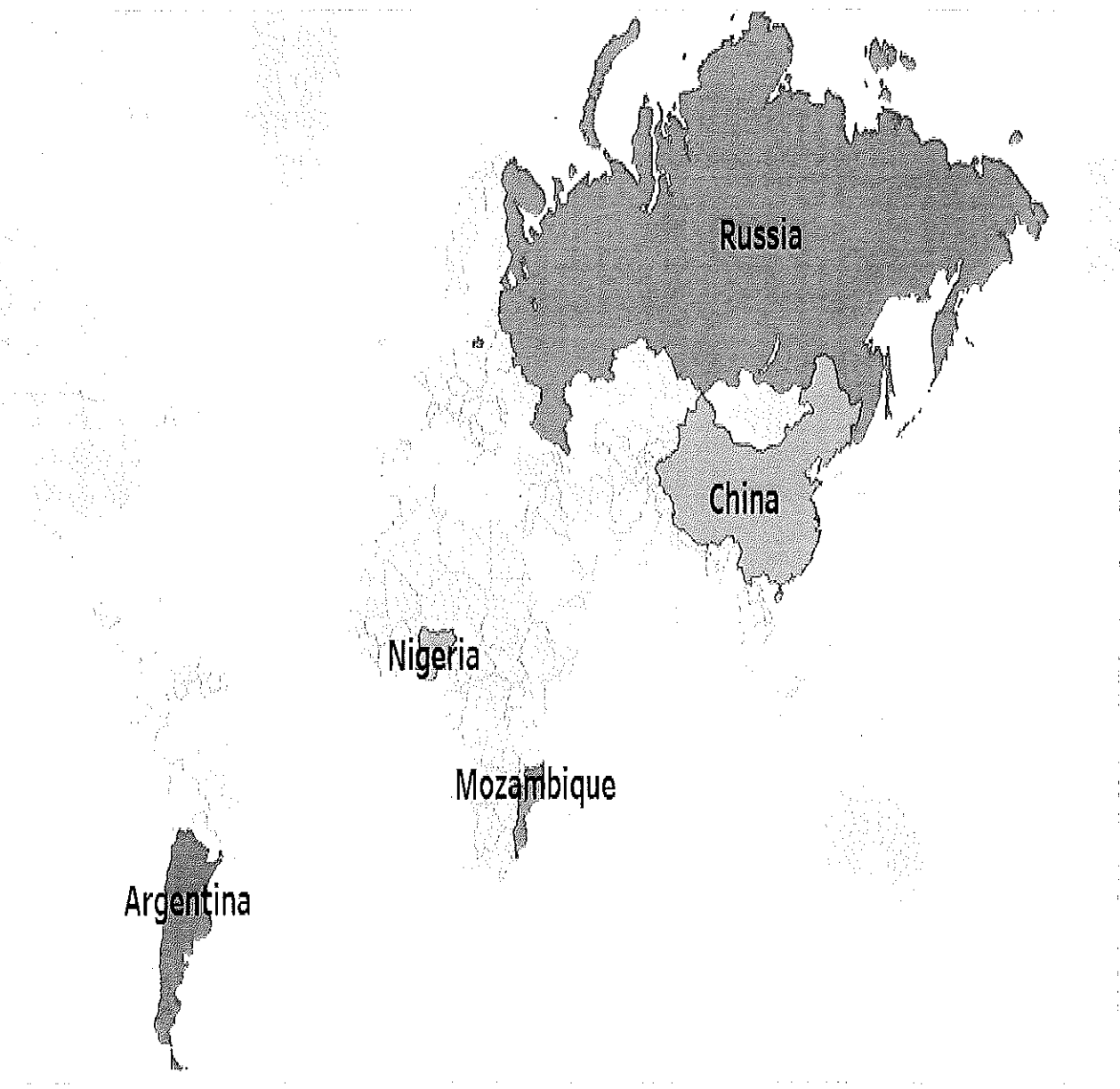
Argentina

Nigeria

Mozambique

Russia

China





PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

GOAL 5: ENHANCED ABILITY OF PARLIAMENT TO EXERCISE ITS LEGISLATIVE POWER

Legislative model
Research support
Reports
Information
requests
Comm services
ICT support

Impact assessment of legislation passed since 1994

The work of the High Level Panel -

- A total of 4 public hearings were held in the Free State, Gauteng, KwaZulu Natal and Western Cape in quarter 3 and written submissions were also received.
- The public hearings continue to attract between 500 and 700 average number of participants per province.
- The success of public hearings and submissions of written inputs is attributed to a series of targeted public mobilisation initiatives and strong partnerships with the Provincial Legislatures to ensure greater and meaningful participation by the citizens.



PARLIAMENT
OF THE REPUBLIC OF BOTSWANA

GOAL 6: BUILD A CAPABLE AND PRODUCTIVE PARLIAMENTARY SERVICE

Elements of the Sector Capacity Development Strategy – the approach

Integrated services;
Stakeholder management plan;
Client satisfaction;
Research;
Reports;

Current Status Assessment

- Analysis of relevant information
- Stakeholder input
- Review of the LCBPF

Key Strategic Drivers

Legislative Mandate (Constitution etc)

Sector Methodologies – SOM, PP; M&E, Budget Analysis

Best practices

National Development Plan

Strategy Building Blocks

Capacity Building Conceptual approach

Design principles

Competency Focus

Centre of Excellence

Members Capacity Building Strategy

Vision

Strategic Goals and Objectives

Members Capacity Building

Institutional Development

Sectoral and Development

Partnerships

Performance Monitoring and Evaluation Framework

Results



PARLIAMENT
DEMOCRACY AND JUSTICE

QUARTER 4 2016/17: PRIORITIES

- **Oversight & Accountability Programme:** Fast-track completion of all models, and integration into practice;
- **FMPPLA Compliance:** Continue to improve processes for compliance with prescripts and regulations;
- **Core Business Support:** Continue improving timeliness of information products where necessary;
- **Stakeholder management:** Finalise strategy for engaging with key stakeholders;
- **Projects:** Fast-track completion of strategic projects aimed at accelerating implementation (ICT; HR etc.).



PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

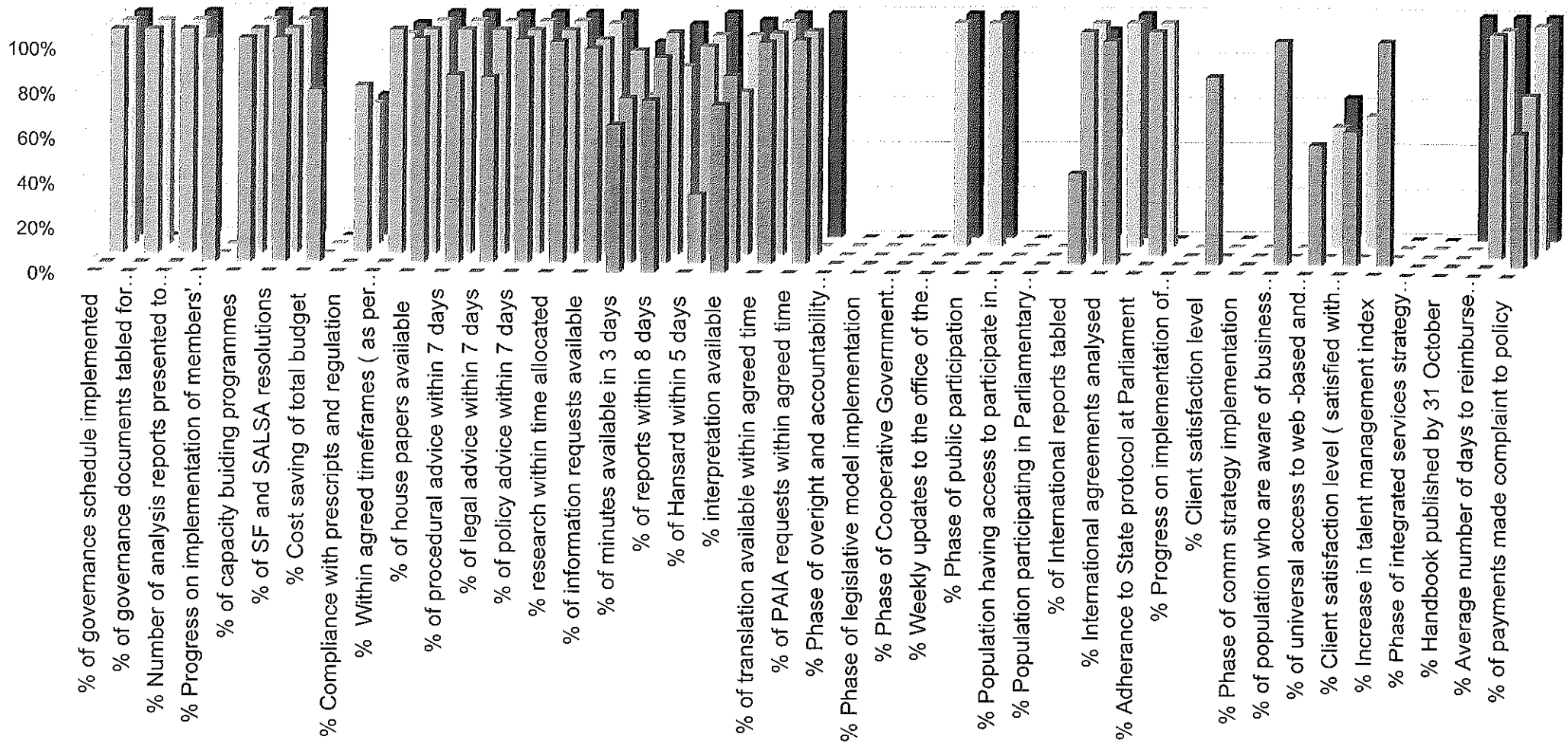
PART 3

OVERALL INSTITUTIONAL PERFORMANCE



PARLIAMENT
OF THE REPUBLIC OF SERBIA

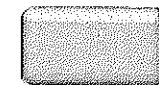
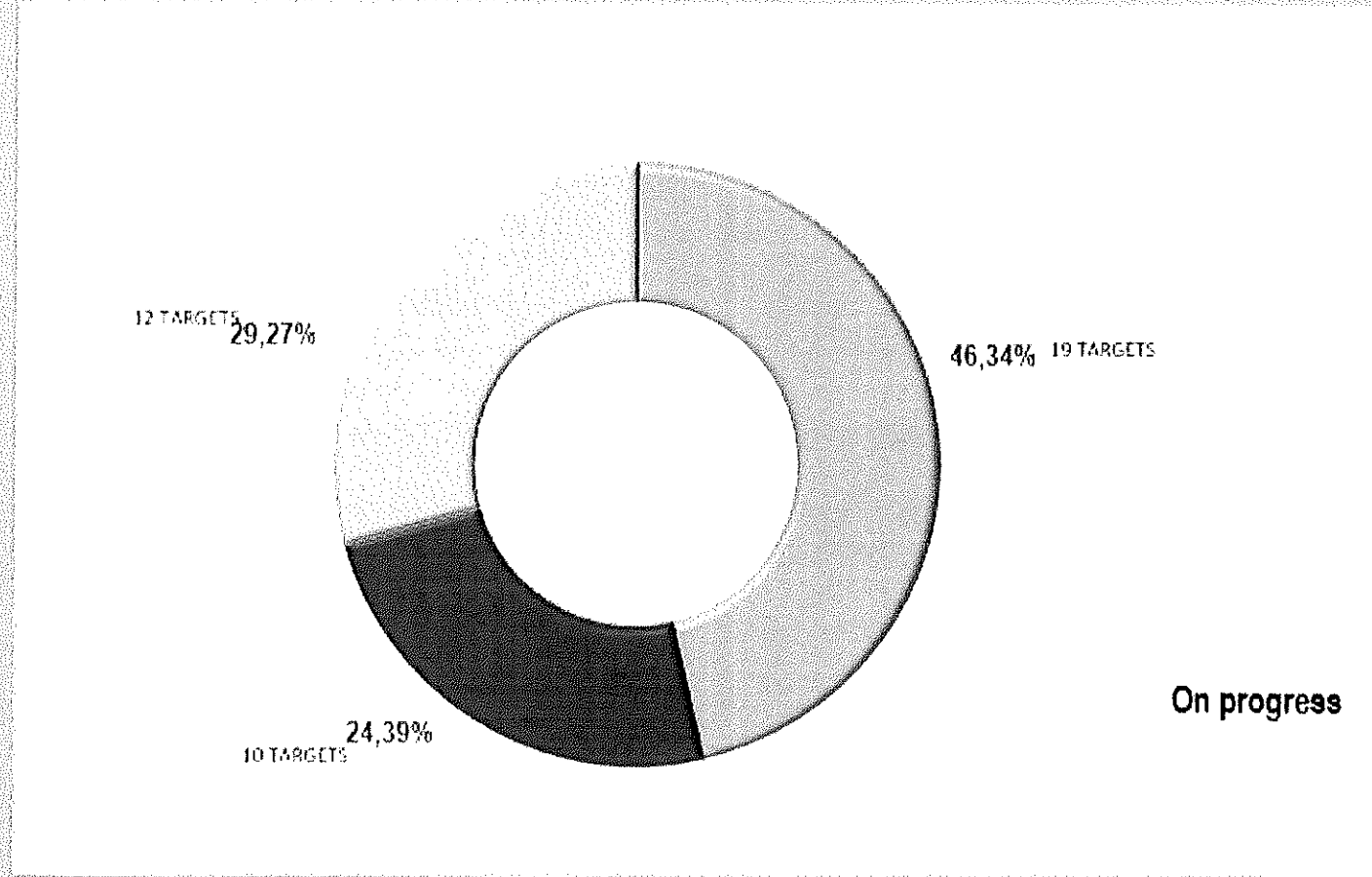
3RD QUARTER OVERALL PERFORMANCE TRENDS



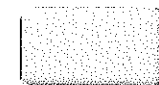


3RD QUARTER OVERALL PERFORMANCE

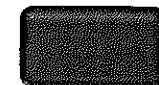
PARLIAMENT
OF MALDIVES



Achieved



On progress



Not met



APRIL TO DECEMBER 2016 ACTUALS AGAINST BUDGET

April to
December 2016
Actual

April to
December 2016
Budget

R1,571,982m

R1,786,832m

Parliament spent R1,571,982m or 88% of its allocated budget of R1,786,832m for the nine-month period.

1. Improve capacity building and development programmes for members
2. Improve timelines and quality of advisory and information services and products
3. Increase efficiency of processes and resources
4. Improve the usage and management of space, facilities and conducive environment

BRANCH: APRIL - DECEMBER 2016 ACTUALS AGAINST BUDGET



	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Strategic Leadership & Governance	83 619	75 211	90	8 408	111 492	111 492	0
Administration	140 297	109 504	78	30 793	187 062	187 062	0
Core Business	423 680	383 840	91	39 840	564 907	564 907	0
Support Services	274 394	233 450	85	40 944	365 858	365 858	0
Associated Services	467 494	447 391	96	20 103	623 325	623 325	0
TOTAL	1 389 484	1 249 396	90	140 088	1 852 644	1 852 644	0
Direct Charge	397 348	322 586	81	74 762	529 798	430 115	99 683
TOTAL	1 786 832	1 571 982	88	214 850	2 382 442	2 282 759	99 683

Parliament has spent 88% of its budget for the nine month period of 2016 as per the original annual performance plans. There is an underspending of R74.7m on direct charges and indications are that there will be an underspending of R99.7m at the end of the financial year, which will be surrendered to the National Revenue Fund in terms of Section 23(4) of the FMPPLA. The Administration and Support Services branches are also underspending at 78% and 85% respectively. The low spend on both administration support services is mainly attributable to projects as detailed below.

Economic classification: April – December 2016



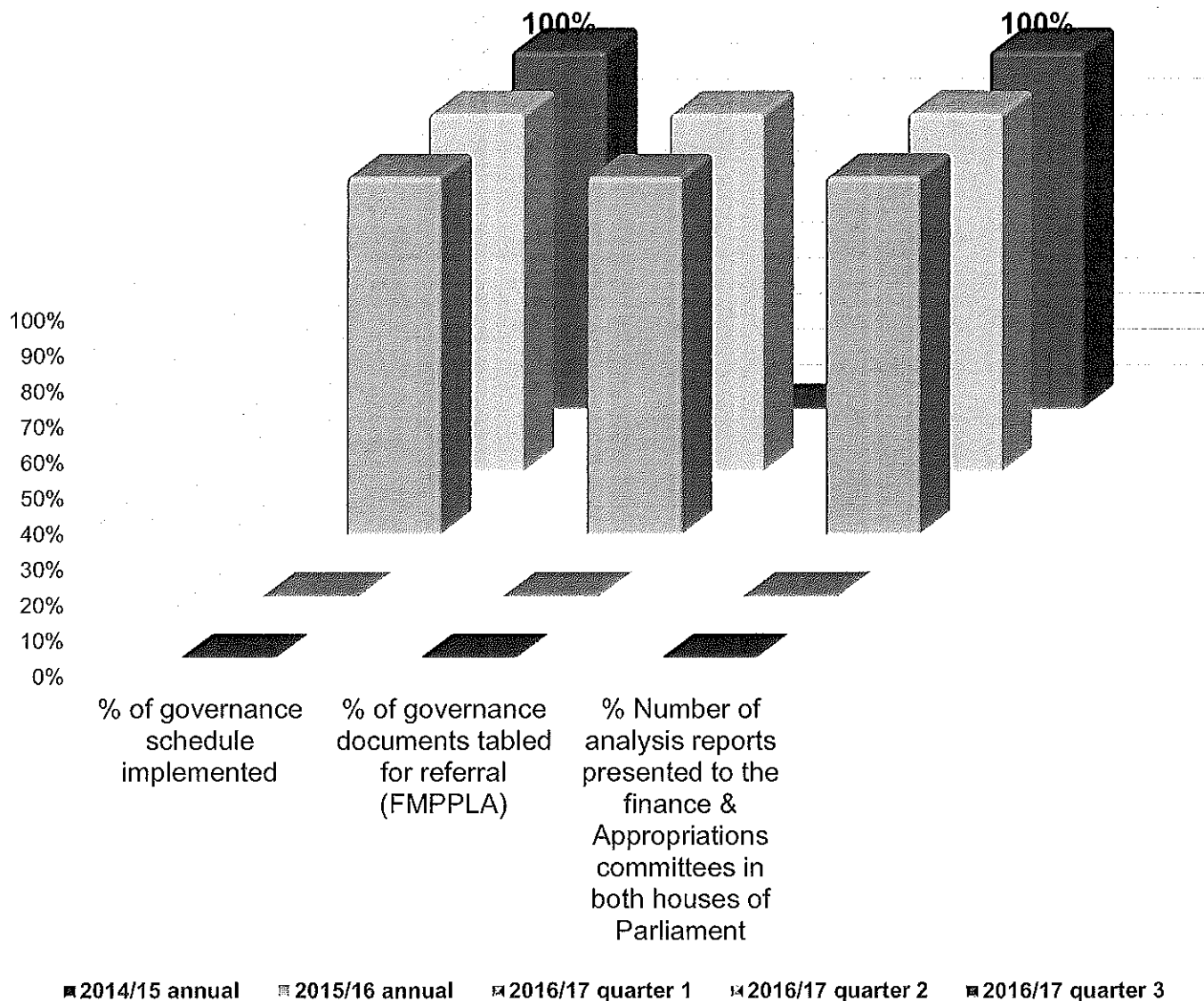
	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections (Actual & Commitments) R'000	Variance R'000
Compensation of Members	397 348	322 586	81	74 762	529 798	430 115	99 683
Compensation of employees	682 688	658 834	97	23 854	910 250	910 250	0
Goods and Services	385 423	282 452	73	102 971	513 896	513 896	0
Capital	22 607	11 522	51	11 085	30 143	30 143	0
Transfers	298 766	296 588	99	2 178	398 355	398 355	
TOTAL	1 786 832	1 571 982	88	214 850	2 382 442	2 282 759	99 683

Parliament has spent 88% of its budget of R1,787,832 million for the nine month period of the financial year. Compensation of Members spent 81% and indications are that there will be underspending of R99m at the end of the financial year which will be surrendered to the National Revenue Fund in terms of Section 23(4) of the FMPPLA. Goods and services are underspending due to the long recess from May month relating to August local government elections as well as the delay in the finalisation of the Back to Basics project. Capital expenditure spending is low due to delay with the finalisation of the Video broadcast projects and My Parliament software, which will be finalised in the last quarter of the financial year.



PARLIAMENT
OF BOTSWANA

PROGRAMME 1: PERFORMANCE OVERVIEW





PARLIAMENT
OF TRINIDAD AND TOBAGO

PROGRAMME 1: PERFORMANCE TRENDS

Indicators	2014/15 annual actual	2015/16 annual actual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 3	Status
% of governance schedule implemented	-	-	100%	100%	100%	
% of governance documents tabled for referral (FMPPLA)	-	-	100%	100%	Late tabling of documents	
% Number of analysis reports presented to the Finance & Appropriations Committees in both Houses of Parliament	-	-	100%	100%	100%	

STRATEGIC AND LEADERSHIP GOVERNANCE



	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Office of the Speaker	39 352	32 804	84	6 548	52 470	52 470	0
Office of the Chairperson	28 452	27 525	97	927	37 936	37 936	0
Joint Services							
Treasury Advisory Office	0	0	0	0			0
Parliamentary Budget Office	11 620	10 260	88	1 360	15 493	15 493	0
Office of the Institutions Supporting Democracy	4 194	4 621	103	(427)	5 593	5 593	0
TOTAL	83 619	75 211	90	8 4308	111 492	111 492	0

The branch has spent 90% of its budget in the nine months. The Office of the Speaker under spent during the period due to terminations of two officials. Parliamentary Budget Office underspent their budget for the three quarters due to international travelling which was postponed and will be undertaken during the last quarter. Spending under the Office of the Institutions Supporting Democracy is more than the budget for the same period due to the appointment that was not budgeted for as the position was vacant at the beginning of the financial year.



PARLIAMENT
OF ZIMBABWE

PROGRAMME 2: PERFORMANCE INFORMATION

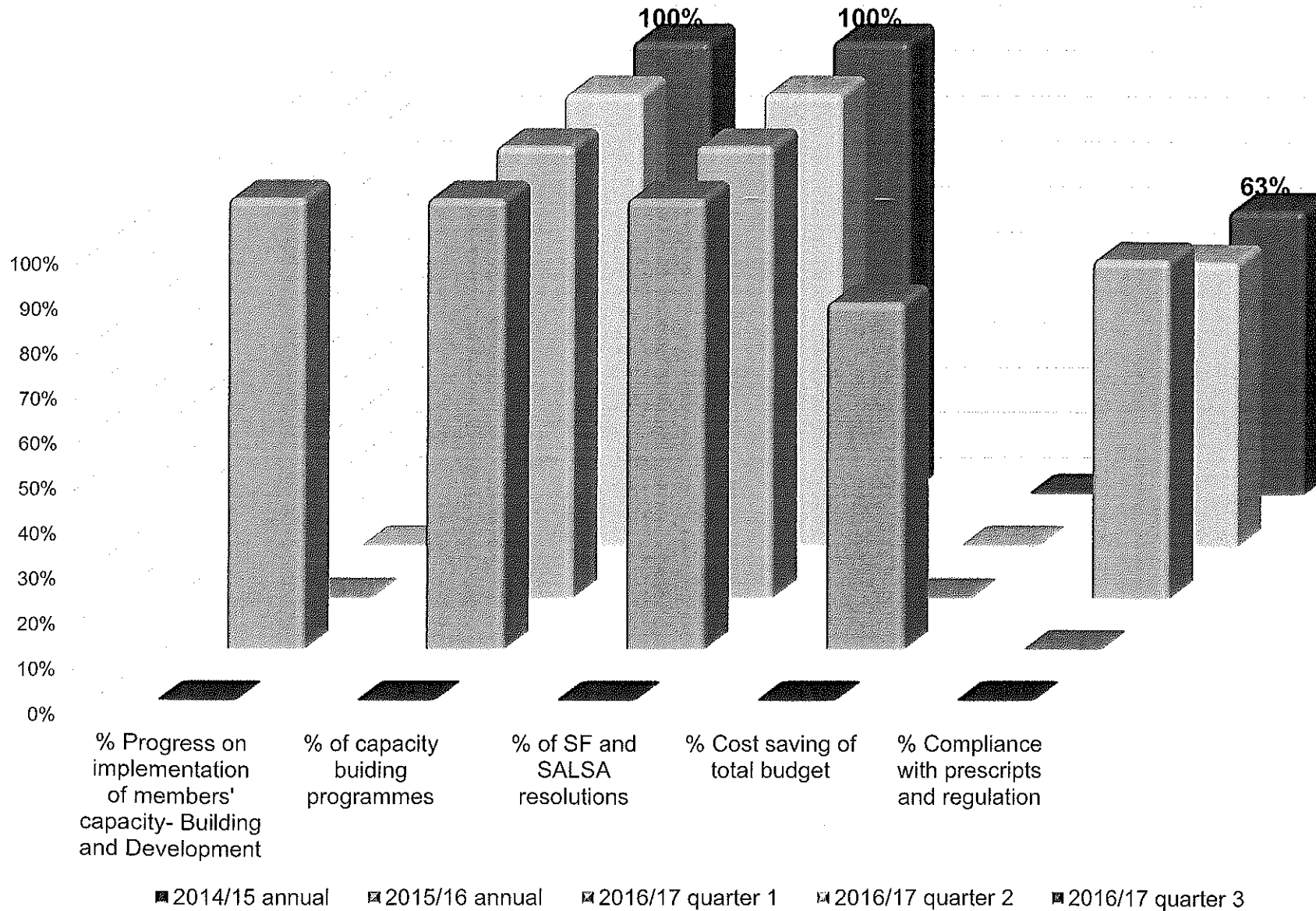
Programme 2: Administration

Strategic leadership support and management, institutional policy and governance, development programmes for Members, management, and the Registrar of Members' Interests



PARLIAMENT
OF LESOTHO

PROGRAMME 2 PERFORMANCE OVERVIEW





PARLIAMENT
OF LESOTHO

PROGRAMME 2: PERFORMANCE TRENDS

Indicators	2014/15 actual	2015/16 actual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 3	Status
% Progress on implementation of Members' capacity building and development	-	100%	Not applicable	Not applicable	Not applicable	
% of capacity building programmes	-	100%	100%	100%	100%	
% of Secretaries Forum and SALSA resolutions	-	100%	100%	100%	100%	
% Cost saving of total budget	-	0.77%	Not applicable	Not applicable	Not applicable	
% Compliance with prescripts and regulations	-	-	75%	63%	63%	

ADMINISTRATION



	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Secretary's Office	16 152	11 188	69	4 964	21 536	21 536	0
Finance Management Office	39 131	34 359	88	4 772	52 175	52 175	0
Internal Audit	10 473	9 516	91	957	13 964	13 964	0
Strategic Management & Governance	11 804	9 655	82	2 149	15 739	15 739	0
Registrar of Members Interest	1 843	1 943	105	(100)	2 457	2 457	0
Legislative Sector	31 832	19 214	60	12 618	42 442	42 442	0
Projects	29 061	23 629	81	5 432	37 748	38 748	0
TOTAL	140 297	109 504	78	30 793	187 062	187 062	0

The branch has spent 78% of its R140.3 million budget for the nine months. Underspending in the Office of the Secretary is the result of annual subscriptions which will only be paid in the last quarter as well as less international travelling which is centralised in the office. Finance Management Office underspent due to investigations on political parties funding which did not happen. Strategic Management & Governance has underspent due to use of internal venues for strategic planning workshops. Registrar of Members Interest has overspent the budget for the three quarters by 5% due to the contract appointment of the co-ordinator which was not budgeted for. Spending under the Legislative Sector is low due to cancelled SALSA seminar. Spending on projects is also low due to delay with the finalisation of the Video broadcast projects and My Parliament software, which will be finalised in the last quarter of the financial year.



PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

PROGRAMME 3: PERFORMANCE INFORMATION

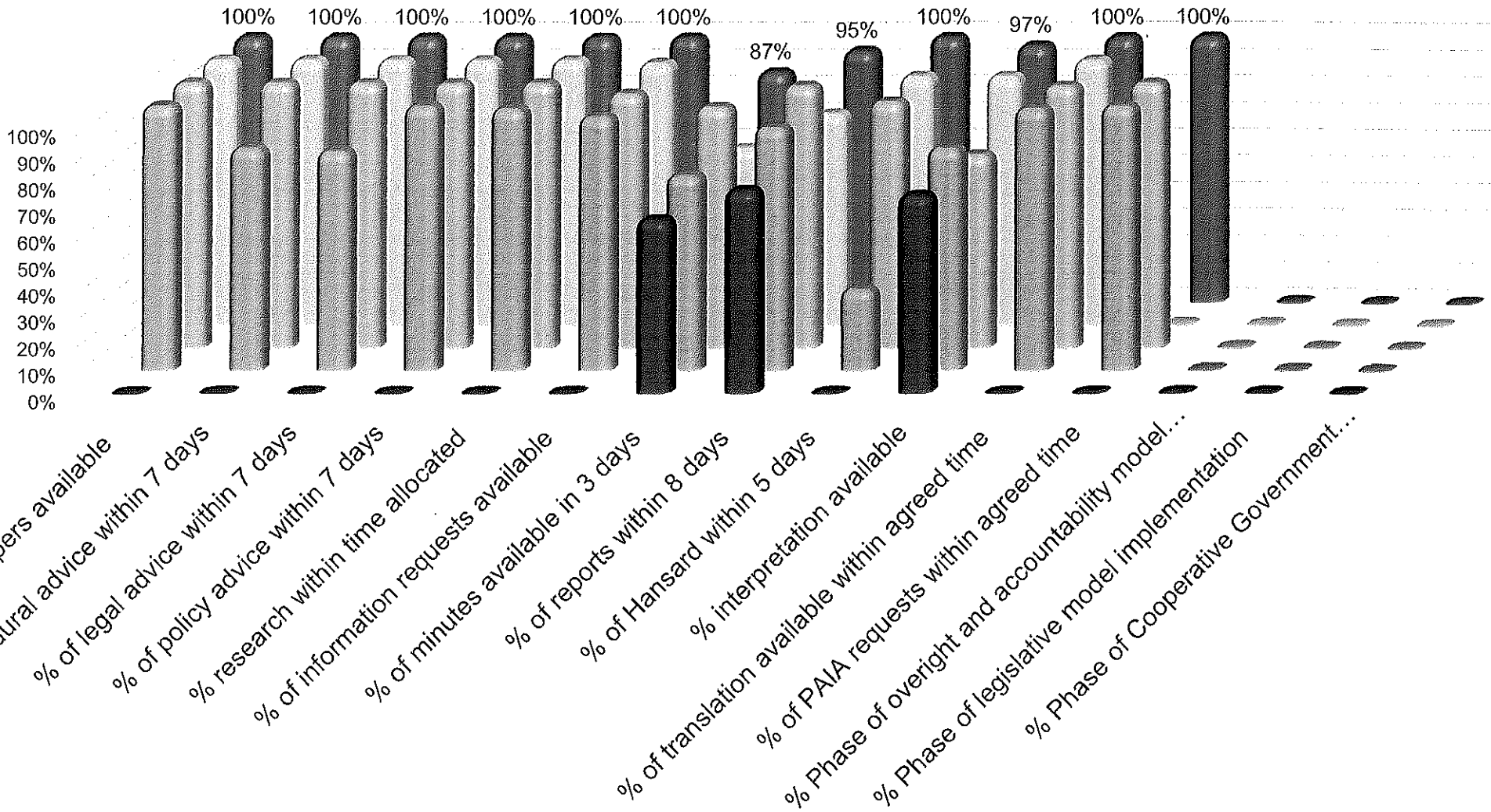
Programme 3: Core Business

Procedural and legal advice, analysis, information and research, language, content, secretarial and legislative drafting services for meetings of the National Assembly, National Council of Provinces and their committees. Provide public education, information and access to support public participation. Provide analysis, advice and content support for parliamentary international engagements



PARLIAMENT
OF NEW ZEALAND

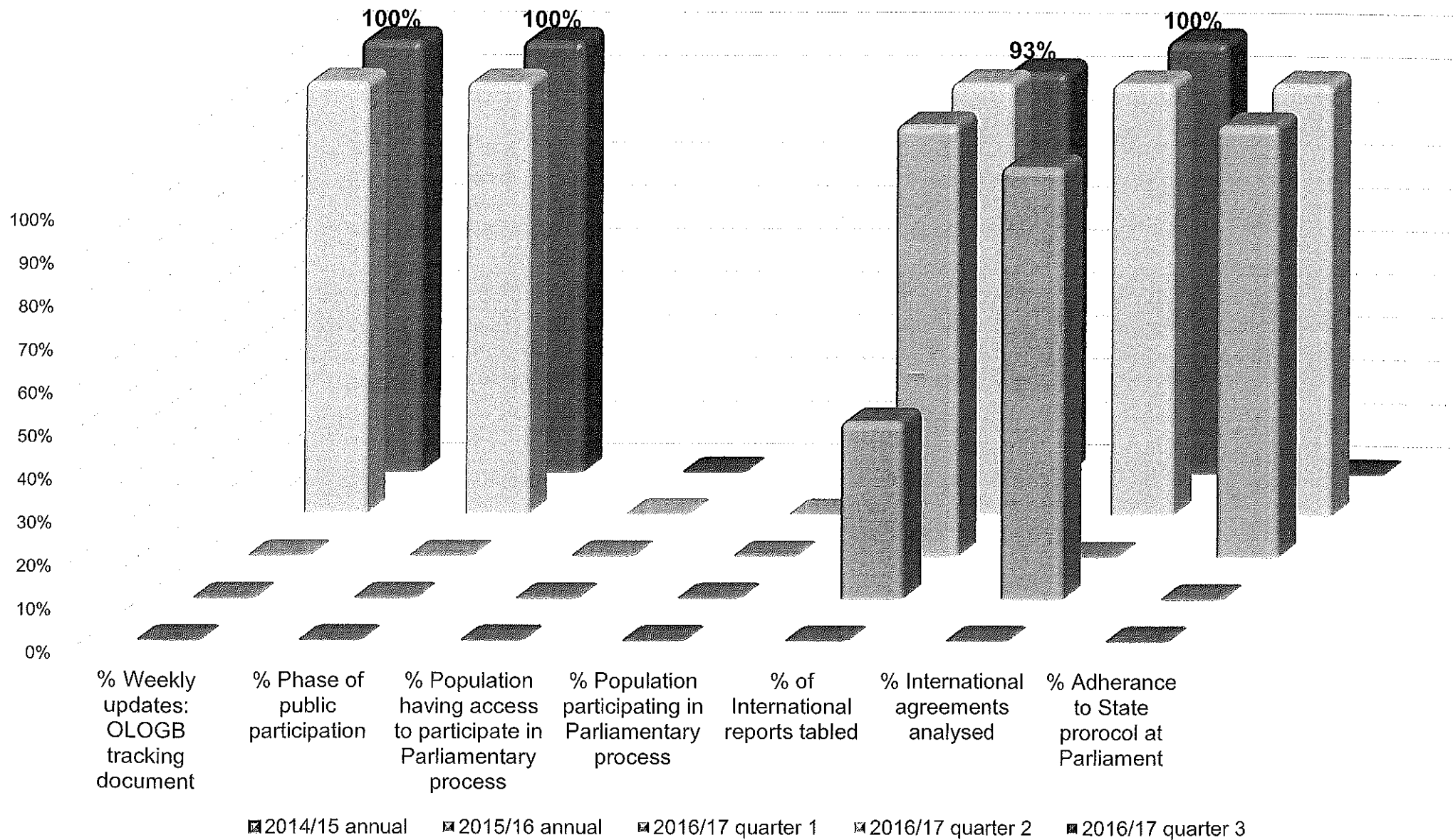
PROGRAMME 3: PERFORMANCE OVERVIEW





PARLIAMENT
OF GEORGIA

PROGRAMME 3: PERFORMANCE OVERVIEW (cont.)





PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

PROGRAMME 3: PERFORMANCE TRENDS

Indicators	2014/15 annual	2015/16 actual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 3	Status
House Papers: % available on sitting days	-	100%	100%	100%	100%	
Procedural advice: % within 7 days average	-	84%	100%	100%	100%	
Legal advice: % within 7 days average	-	83%	100%	100%	100%	
Policy advice: % within 7 days average	-	100%	100%	100%	100%	
Research: % within time allocated	-	99%	100%	100%	100%	
Information requests: % within time allocated	-	96%	96%	99%	100%	
Minutes: % available within 3 days	66%	74%	91%	67%	87%	
% Reports within 8 days	77%	92%	99%	80%	95%	
% of Hansard within 5 days	-	31%	93%	94%	100%	
% Interpretation available	750%	910%	720%	910%	970%	



PARLIAMENT
REPUBLIC OF SOUTH AFRICA

PROGRAMME 3: PERFORMANCE TRENDS (cont.)

Indicators	2014/ 15 annual	2015/16 annual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 3	Status
% of PAIA requests within agreed time	-	100%	100%	Not applicable	100%	
Phase of oversight & accountability model implementation	-	Not achieved	Not applicable	Not applicable	1 st draft developed	
Phase of legislative model implementation	-	Not achieved	Not applicable	Not applicable	1 st draft developed	
Phase of cooperative government mechanism implementation	-	Not achieved	Not applicable	Not applicable	1 st draft developed	
% OLOGB tracking document	-	-	100%	100%	100%	
Phase of public participation model	-	In progress	Not applicable	Not applicable	Draft implementation submitted	
% Population having access to participate in parliamentary process	-	-	Not applicable	Not applicable	Field work on progress	
% of international parliamentary delegation reports submitted for	-	41%	100%	100%	93%	

CORE BUSINESS



PARLIAMENT

	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Office of the Deputy Secretary Core Business Branch	2 928	88	3	2 840	3 903	3 903	0
National Assembly	28 293	25 024	88	3 269	37 723	37 723	0
National Council of Provinces	39 967	35 652	89	4 315	53 290	53 290	0
International Relations and Protocol	29 675	26 314	89	3 361	39 566	39 566	0
Core Business Support	196 197	176 124	90	20 073	261 596	261 596	0
Knowledge and Information Services	126 622	120 638	95	5 984	168 829	168 829	0
TOTAL	423 682	383 840	91	39 840	564 907	564 907	0

The branch has spent 91% of its budget of R424million. The spending under office of the Deputy Secretary is low due to the fact that the position is still vacant (the only expenditure is in respect of acting allowance). National Assembly underspent due to delayed activities which will happened during the fourth quarter. NCOP have underspent due to spending on Taking Parliament to the People which was less than projected. International Relations and Protocol spent less due to delay with the submission of invoices by DIRCO.



PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

PROGRAMME 4: PERFORMANCE INFORMATION

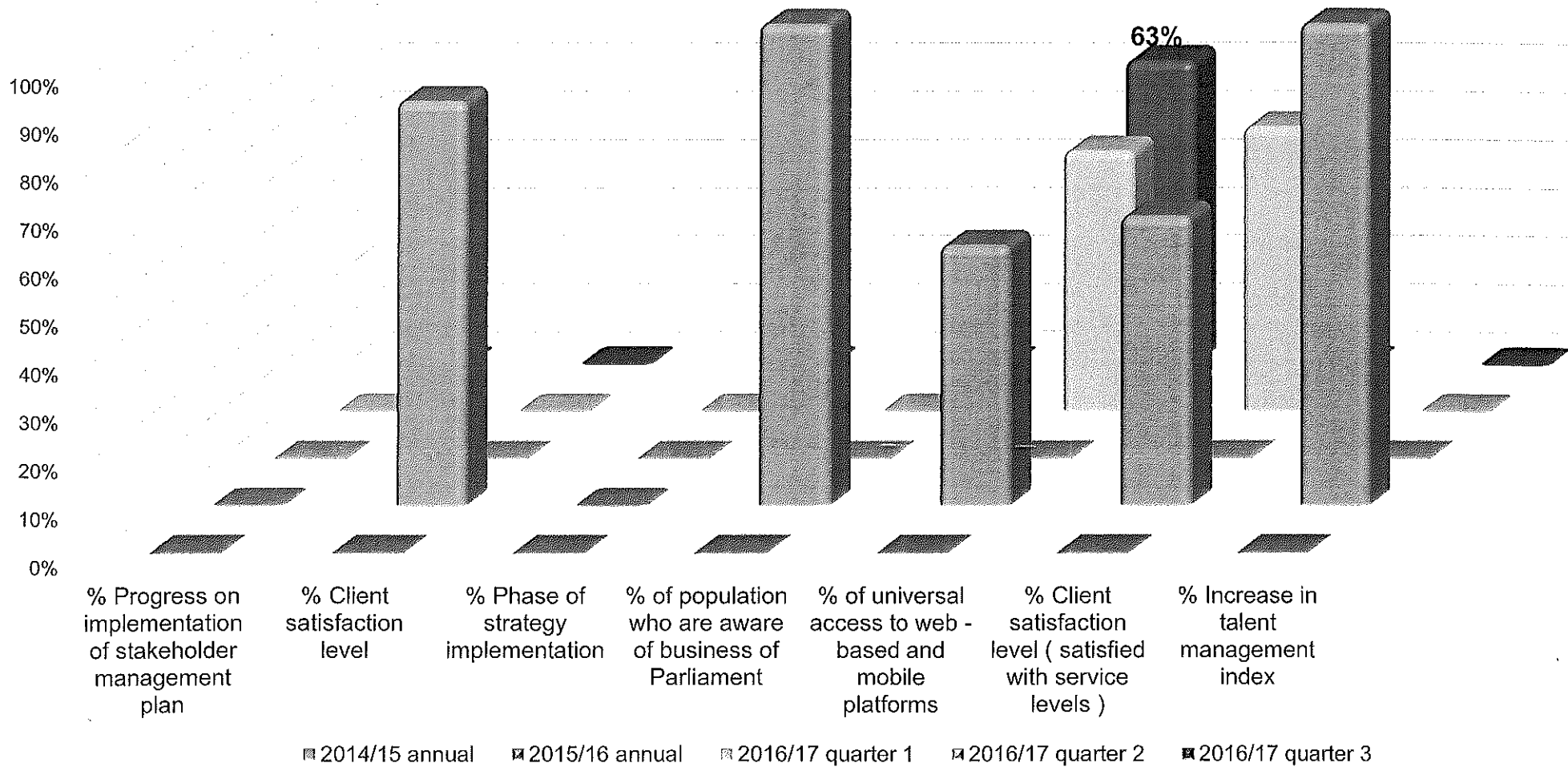
Programme 4: Support Services

Provide institutional communication services, human resource management, information communication technology, institutional support services and Members' support services



PARLIAMENT
OF UGANDA

PROGRAMME 4: PERFORMANCE OVERVIEW



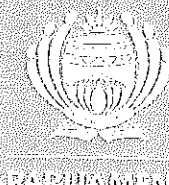


PARLIAMENT
of South Africa

PROGRAMME 4: PERFORMANCE TRENDS

Indicators	2014/15 actual	2015/16 actual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 3	Status
Progress on implementation of stakeholder management plan	-	Not achieved	Not achieved	Not achieved	Milestones not achieved	
% Client satisfaction level	-	84%	N/A	N/A	Field work	
Phase of communication strategy implementation	-	N/A	Not achieved	Not achieved	Draft strategy developed	
% of population who are aware of business of Parliament	-	100%	N/A	N/A	Field work in progress	
% of universal access to web-based & mobile platforms	-	54%	54%	54%	63%	
% Client satisfaction level	-	60%	N/A	N/A	Work in progress	
% increase in talent management	-				Work in	

SUPPORT SERVICES



	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Office of the Deputy Secretary Support Services Branch	2 290	2 197	96	92	3 052	3 052	0
Human Resources	51 848	32 969	64	18 879	69 132	71 091	0
Parliamentary Communication Services	46 139	38 902	84	7 237	61 518	61 518	0
Information Communication and Technology	64 191	59 127	92	5 064	85 587	85 587	0
Members Support	7 655	7 055	92	600	10 207	10 207	
Institutional Support Services	102 271	93 199	91	9 072	136 362	136 362	0
TOTAL	274 394	233 450	85	40 944	365 859	365 859	0

The branch has spent 85% of its budget of R274million. Spending under Human Recourses is less than projections due to delay in the finalisation of the Back to Basics. Underspending under Parliamentary Communication Services as a result of invoices for radio broadcasting were received late from the service provider although the service was already rendered.



PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

PROGRAMME 5: ASSOCIATED SERVICES

Programme 5: Associated services

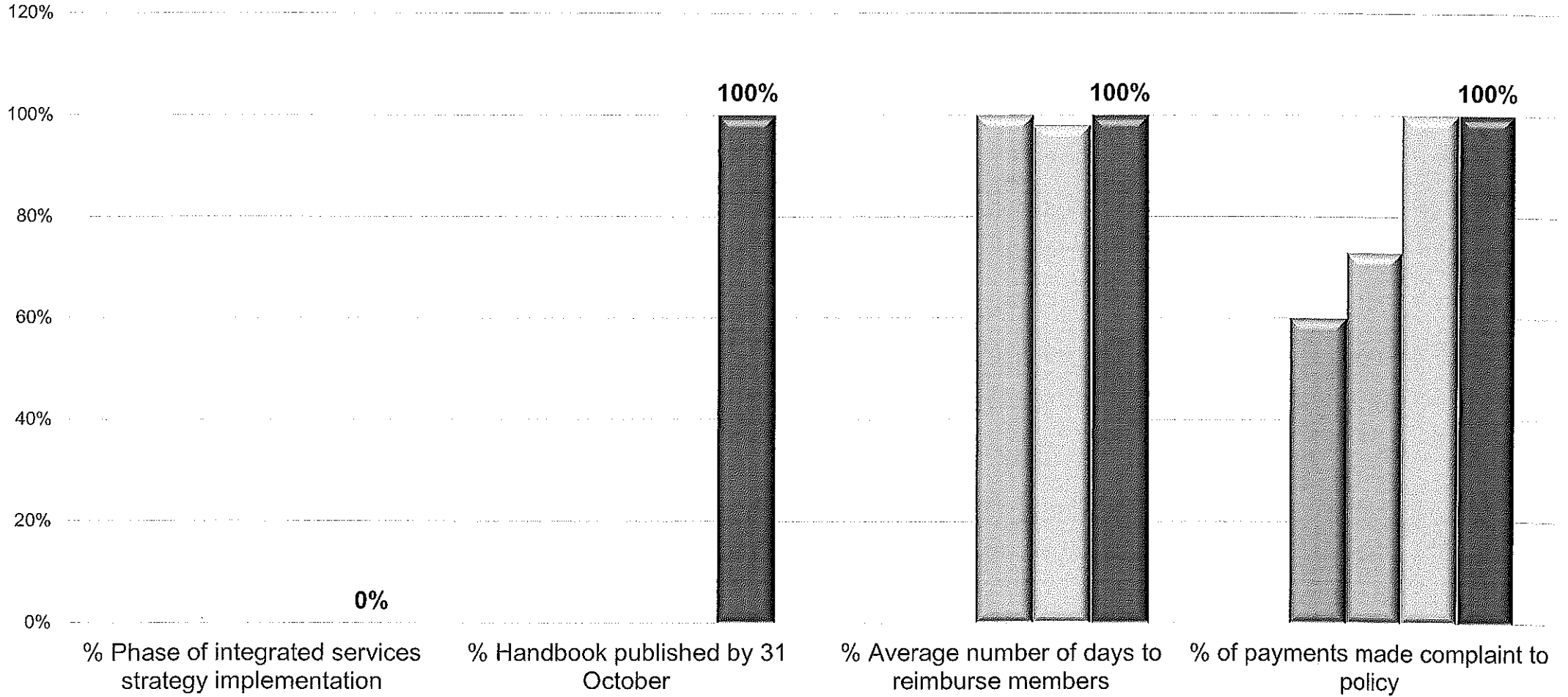
Provide travel, communication and other facilities for Members of Parliament to fulfil their duties as elected public representatives.

Provide financial support to political parties represented in Parliament, their leaders and constituency offices



PARLIAMENT
OF THE REPUBLIC OF SOUTH AFRICA

PROGRAMME 5: PERFORMANCE OVERVIEW



■ 2014/15 annual ■ 2015/16 annual ■ 2016/17 quarter 1 ■ 2016/17 quarter 2 ■ 2016/17 quarter 3



PARLIAMENT
OF SOUTH AFRICA

PROGRAMME 5: PERFORMANCE TRENDS

Indicators	2014/15 annual	2015/16 annual	2016/17 quarter 1	2016/17 quarter 2	2016/17 quarter 2	Status
Phase of integrated services strategy implementation	-	-	Not applicable	Not applicable	Milestones not achieved	
Handbook published by 31 October	-	-	Not applicable	Not applicable	Handbook published	
Average number of days to reimburse Members	-	-	100%	98%	100%	
% of payments made compliant to policy	-	60%	73%	100%	100%	

Associated Services



PARLIAMENT
1996-2019

	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Members Facilities	168 728	150 803	89	17 925	224 970	224 970	0
Transfer to Political Parties	298 766	296 588	99	2 178	398 355	398 355	0
TOTAL	467 494	447 391	96	20 103	623 325	623 325	0

Associated Services has spent 96% of its budget of R467 million. Spending on Members Facilities is less as the expenditure is dependent on the usage of Members' entitlements in terms of the travel allocation.

Direct Charges

	April to December 2016				Annual		
	Budget R'000	Expenditure R'000	spent %	Available R'000	Budget R'000	Projections R'000	Variance R'000
Members' remuneration	397 348	322 586	81	74 762	529 798	430 115	99 683
TOTAL	3970348	322 586	81	742 762	529 798	430 115	99 683

Spending on direct charges at the end of December is 81 percent of the budgeted expenditure for the nine month period. Indications are that there will be an underspending of R99,7m at the end of the financial year. The reason for the low spend is due to the fact that higher budget was allocated on an incremental bases since 2009. The unspent funds will be surrendered to National Revenue Fund in terms of section 23 (4) of the FMPPLA.



PARLIAMENT
of the Republic of Serbia

PART 4
CONCLUSION



PARLIAMENT
REPUBLIC OF SOUTH AFRICA

CONCLUSION

For effective execution of the constitutional mandate of Parliament, the Admiration will:

- Further improve alignment of the core business work of Parliament and the Sector to ensure the achievement of priorities identified during SONA, Budget and other parliamentary processes.
- Strengthen support provided to Members in relation to ensuring a dedicated focus on (complex) transversal issues to allow Parliament and the Sector to realise its obligations in building a democratic developmental and transformative South Africa; and
- Enhance the implementation of a results-based and outcomes-oriented approach as opposed to being processed-driven with limited focus on strategic priorities.